



Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

**DIRECTOR GENERAL PROJECT SEABIRD
IHQ-MoD (NAVY)
NEW DELHI**

**REQUEST FOR QUALIFICATION (RFQ)
FOR**

**CONSTRUCTION OF ARMAMENT STORAGE
FACILITIES, WORKSHOPS, OFFICE BUILDINGS AND
ALLIED SERVICES, MEP, SECURITY SURVEILLANCE
INCLUDING ROADS, BRIDGES AND OTHER
ASSOCIATED WORKS FOR PROJECT SEABIRD
PHASE IIA AT SITE-E, NAVAL BASE, KARWAR UNDER
DC-NAD WORKS PACKAGE**

RFQ NO. DGSB/NAD/02/01 OF 2020

As Part of Project Seabird Phase IIA at Naval Base, Karwar

February 2020





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GLOSSARY

Applicant	As defined in Clause 1.5.1
Application Due Date	As defined in Clause 1.4.4
Conflict of Interest.....	As defined in Clause 2.2.1 (c)
Employer	As defined in Clause 1.4.1
Estimated Cost	As defined in Clause 1.4.3
Project	As defined in Clause 1.2.1
Qualification	As defined in Clause 1.5.1
Qualification Stage.....	As defined in Clause 1.5.1
Request for Proposals	As defined in Clause 1.5.1
Similar Work(s)	As defined in Criteria No. 4.2 of Section 3
Specialised Subcontractor.....	As defined in Clause 2.2.4
Tender Documents.....	As defined in Clause 1.5.3
Tender Price	As defined in Clause 1.5.4
Tendering Process	As defined in Clause 1.5.1
Tender Stage	As defined in Clause 1.5.1
Tenders	As defined in Clause 1.5.3
Works	As defined in Clause 1.4.1

The words and expressions beginning with capital letters and defined in this document shall, unless repugnant to the context, have the meaning ascribed thereto herein above.





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SECTION 1

1 INTRODUCTION

1.1 Background

1.1.1 In the year 1986, the Indian Navy established a Project, code named "Seabird", to undertake planning for the establishment of the third major Naval Base at Karwar (Karnataka), located about 120 km south of Panjim, Goa on the west coast of India. A Master Plan for Project Seabird was completed in April 1990.

1.1.2 The Master Plan recommended that the Naval Base at Karwar be developed in two phases. In Phase I, facilities and infrastructure were required to be developed for the basing of certain ships/ submarines and support/ yard craft.

1.1.3 Project Seabird Phase IIA works are now being planned to enable increased berthing for ships/ submarines and support/yard craft at Karwar. Accordingly, existing facilities at Karwar will require considerable augmentation for efficient basing, operations, maintenance and support of a large, modern fleet. The key Phase IIA facilities and infrastructure are expected to be delivered progressively over seven to eight years.

1.2 Objective of Project Seabird Phase IIA

1.2.1 Project Seabird Phase IIA works involve construction of a wide range of new facilities and augmentation of certain existing facilities in Naval Base Karwar (the "Project"). Detailed Project Reports (DPRs) have been prepared and the required facilities have been divided into various work packages based on nature of work, expertise required and location.

1.2.2 The envisaged facilities are intended to provide Storage & process facilities and associated infrastructure including augmentation of existing infrastructure.

1.3 Project Site Description

1.3.1 The Project work is to be performed in the Naval Base, Karwar region including a large geographical area along the sea coast at Karwar.



FIGURE 1 – PROJECT SITE LOCATION IN SATELLITE MAPS – GOOGLE EARTH



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1.4 Objective of Pre-Qualification

1.4.1 The President of India acting through Director General, Project Seabird (the “Employer”) has decided to undertake Construction of Armament storage facilities, Workshops, Office buildings and allied services (MEP) including Security surveillance, Roads, Bridges, Boundary wall, fencing etc., for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package (the “Works”), through an item rate contract for construction and has decided to carry out the Tendering Process for selection of a Tenderer to whom the Contract may be awarded. Brief particulars of the Works are as follows:

Name of the Work	Indicative cost of Works (In INR Crore)
Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.	980

The Employer intends to pre-qualify and short-list suitable Applicants in accordance with the procedure set out herein who will be eligible for participation in the Tender Stage and ultimately, for contract award.

1.4.2 General description of the proposed works is given in Section 6: Scope of Works. However, detailed description shall be provided with Tender Documents.

1.4.3 Indicative construction cost of Works (“Estimated Cost”) is subject to revision.

1.4.4 The Employer shall receive Applications pursuant to this RFQ in accordance with the terms set forth herein as modified, altered, amended and clarified from time to time by the Employer. All Applications shall be prepared and submitted in accordance with such terms on or before the date specified in Clause 1.8 for submission of Applications (the “Application Due Date”).

1.5 Brief Description of Tendering Process

1.5.1 The Employer has adopted a two-stage tendering process (collectively referred to as the “Tendering Process”) for selection of the Tenderer for award of the Contract. The first stage (the “Qualification Stage”) of the process involves qualification (the “Qualification”) of interested parties who make an Application in





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accordance with the provisions of this RFQ (the “**Applicant**”). At the end of this stage, the Employer shall announce a list of all pre-qualified Applicants who shall be eligible for participation in the second stage of Tendering Process (“**Tender Stage**”) comprising Request for Proposals (“**Request for Proposals**” or “**RFP**”).

- 1.5.2 In the Qualification Stage, Applicants would be required to furnish all the information specified in this RFQ. Only those Applicants that are pre-qualified and short-listed by the Employer shall be invited to submit their Tenders for the Works.
- 1.5.3 In the Tender Stage, the Tenderers shall be called upon to submit their technical tender and financial tender (the “**Tenders**”) in accordance with the RFP and other documents to be provided by the Employer (collectively the “**Tender Documents**”). The Tender Documents for the Works will be provided to every pre-qualified Applicant.
- 1.5.4 The Contract shall be awarded to the Tenderer who is technically suitable as per approved technical evaluation report from concerned competent authority and quoted the lowest Tender Price (“**Tender Price**”). Details of the process to be followed at Tender Stage and terms thereof will be spelt out in Tender Documents.

1.6 Queries to RFQ/ Request for Clarification

- 1.6.1 Any queries or request for additional information concerning this RFQ shall be submitted in writing by speed post/ courier and by e-mail so as to reach the officer designated in Clause 2.10.4 by the Application Due Date specified in Clause 1.8. The envelopes/ communications shall clearly bear the following identification/ title: “**Queries/ Request for Clarification Information: RFQ for DC-NAD Contract Package**”

1.7 Address for Submission/ Opening of Applications

Director General

Project Seabird

Integrated HQs of MoD (Navy)

2nd Floor, West Block-5

R K Puram, New Delhi -110066

Telephone No: (011)26174312, (011) 26105539





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Fax: 011 2610 0608

E-Mail: dgpsb@navy.gov.in

Website: www.indiannavy.nic.in / www.indiannavy.gov.in

1.8 Schedule of Pre-Qualification (PQ) Process

The Employer shall endeavour to adhere to the following schedule:

SNo	Event Description	Date
1.8.1	Last date for receiving queries	11/03/2020 1500 hrs IST
1.8.2	Pre-Application Conference	24/03/2020 at 1000 hrs IST
1.8.3	Employer response to queries	08/04/2020
1.8.4	Application Due Date	05/05/2020 1500 hrs IST
1.8.5	Opening of Applications	05/05/2020 1530 hrs IST

1.9 Pre-Application Conference

A Pre-Application conference will be held to clarify the issues related to this RFQ. The date, time and venue of the Pre-Application Conference shall be:

Date and Time: As per S.No.1.8.2 of Clause 1.8 above

Venue:

M/s SS Infrastructure Development Consultants Ltd,
1st Floor, M18, Block M,
Green Park Extension,
Green Park,
New Delhi – 110 016.





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SECTION 2

2 INSTRUCTIONS TO APPLICANTS

2.1 Scope of Application

2.1.1 The Employer wishes to receive Applications for Qualification in order to short-list experienced and capable Applicants for the Tender Stage.

2.1.2 Short-listed Applicants may be subsequently invited to submit the Tenders for the Works.

2.2 Eligibility of Applicants

2.2.1 For determining the eligibility of Applicants for their pre-qualification hereunder, the following shall apply:

(a) The Applicant for pre-qualification shall be a single entity. No Applicant can be a Specialised Subcontractor of another Applicant. However, the Applicant(s) can have common Specialised Subcontractor(s) in their Application.

(b) An Applicant may be a private entity or a government owned entity.

(c) An Applicant shall not have a conflict of interest (the "Conflict of Interest") that affects the Tendering Process. Any Applicant found to have a Conflict of Interest shall be disqualified. An Applicant shall be deemed to have a Conflict of Interest affecting the Tendering Process, if:

(i) the Applicants in two different Applications have controlling shareholders in common; (however this provision is not applicable for government owned entity¹);

or

(ii) the Applicant submits more than one Application for pre-qualification;

or

(iii) the Applicant and/or his proposed Specialised Subcontractor (if any) has participated as a consultant in the preparation of the design or technical specifications of the Works that are subject of this RFQ;

or





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- (iv) such Applicant, or any associate thereof receives or has received any direct or indirect subsidy, grant, concessional loan or subordinated debt from any other Applicant, or any associate thereof or has provided any such subsidy, grant, concessional loan or subordinated debt to any other Applicant, or any associate thereof (however this provision is not applicable for government owned entity¹);
- or
- (v) such Applicant has the same Authorised Representative for purposes of this Application as any other Applicant.
- (d) An Applicant or his proposed Specialised Subcontractor (if any) shall not be under suspension from tendering by the Government ("the Government" means Central/State Government in India, or any entity controlled by it or Government of the country where the Applicant is incorporated) as the result of the execution of a Bid–Securing Declaration.
- (e) Any Applicant or his proposed Specialised Subcontractor (if any) who has been barred from tendering by the Government, and the bar subsist as on the Application Due Date, would not be eligible to submit an Application.
- (f) In regard to matters relating to security and integrity of the country, the Applicant / proposed Specialised Subcontractor (if any) should not have been charge-sheeted by any agency of the Government of India or any State in India or convicted by a Court of Law.
- (g) No investigation, related to security and integrity of the country, by a regulatory authority should be pending either against the Applicant / proposed Specialised Subcontractor (if any) or against CEO(s)/ directors/ managers/ employees of Applicant and/or Specialised Subcontractor (if any).
- (h) Not under the process of Resolution Plan of Debt Restructuring or not under the process of 'The Insolvency and Bankruptcy Code 2016' or has resolved all debt restructuring issues with the Banks/Institutions as of 31st Dec 2019. The Statutory Auditor's Certificate in this regard shall be furnished with the Application. (refer to **FORM-12: DEBT**).



¹ Government owned entity means an entity controlled by Central/ State Government in India or any entity controlled by these Government(s)



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- (i) The Applicant and/ or his proposed Specialised Subcontractor (if any) shall be subjected to Employer's security clearance and screening.
- (j) An Applicant shall provide such evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.

2.2.2 BLANK

2.2.3 BLANK

2.2.4 Specialised Subcontractor

In case any Specialised Subcontractor(s) is proposed by the Applicant in his Application, it shall comply with the following additional requirements:

- (a) Not more than one (01) Specialised Subcontractor shall be considered for one key construction activity described in Criteria No. 4.3 of Section 3: Eligibility and Qualification Criteria.
- (b) The Specialised Subcontractor(s) can be proposed by the Applicants for both the key construction activities described in Criteria 4.3 of Section 3: Eligibility and Qualification Criteria, and such Specialised Subcontractor(s) shall also meet the corresponding qualification requirements. The Applicant shall clearly identify such activities and submit details of his Specialised Subcontractor(s) and their credentials in the relevant Form(s).
- (c) No change of Specialised Subcontractor shall be permitted by the Employer during the Qualification Stage;
- (d) During Tender Stage, the Tenderer may be permitted only once, by the Employer, to change the Specialised Subcontractor(s).
- (e) For each Key Construction Activity, the Applicant is allowed to substantiate his experience through such contracts, been executed in individual capacity, either by the Applicant himself or by the proposed Specialised Subcontractor, if any. No Applicant shall be allowed to combine his experience with proposed Specialised Subcontractor for the each of the Key Construction Activity mentioned under Criteria No. 4.3 of Section-3.





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- (f) For the case described in paragraph (d) above, the request for change, along with the relevant documents, should be submitted to the Employer no later than 30 (thirty) days after the date of Invitation for Tender (IFT). In such cases, the Tenderer's qualification, as applicable on the Application Due Date, shall be again evaluated and the Tenderer should meet the qualification criteria, set forth in the RFQ Document failing which his request shall be rejected.
- (g) The key construction activity(ies) described in Criteria No. 4.3 of the Section 3: Eligibility and Qualification Criteria shall be executed only by the respective proposed Specialised Subcontractor(s), if any, duly approved by the Employer.

2.3 Applications and Costs Thereof

2.3.1 The Applicants shall be responsible for all of the costs associated with the preparation of their Applications and their participation in the Tendering Process. The Employer will not be responsible or in any way liable for such costs, regardless of the conduct or outcome of the Tendering Process.

2.4 Right to Accept or Reject Any or All Applications/ Tenders

2.4.1 Notwithstanding anything contained in this RFQ, the Employer reserves the right to accept or reject any Application and to annul the Tendering Process and reject all Applications, at any time without any liability or any obligation for such acceptance, rejection or annulment, and without assigning any reasons, thereof.

2.4.2 Employer reserves the right to call for original of the supporting documents or visit Applicant's offices/project sites (either completed or ongoing) for verification, if so deemed necessary and also to cross check for any details as furnished by the Applicants from their previous clients/consultants etc. Applicants shall have no objection whatsoever in this regard.

2.4.3 Employer reserves the right to make use of available in-house data/ information, if any, also for evaluation of Pre-Qualification Applications.

2.4.4 The Employer reserves the right to reject any Application and/ or Tender if at any time a material misrepresentation is made or uncovered.





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2.4.5 In case it is found during the evaluation of PQ Applications/ Tenders or at any time before signing of the Contract, or if the work is awarded, or after its execution and during the period of subsistence thereof, including the Defects Notification Period, that the Applicant has made material misrepresentation, the Applicant shall be disqualified forthwith if not yet appointed as the Contractor. If the Applicant has already been issued the LOA or has entered into the Contract, as the case may be, the same shall, notwithstanding anything to the contrary contained therein or in this RFQ, be liable to be terminated without the Employer being liable in any manner whatsoever to the Applicant/ Contractor and without prejudice to any other right or remedy which the Employer may have under this RFQ, the Tender Documents, the Contract or under applicable law.

2.5 Documents

Contents of the RFQ

This RFQ comprises the following:

- Invitation for Qualification
- Section 1: Introduction
- Section 2: Instructions to Applicants
- Section 3: Evaluation and Qualification Criteria
- Section 4: Fraud & Corrupt Practices
- Section 5: Miscellaneous
- Section 6: Scope of Works
- Section 7: Forms
- Annexure-1: Exchange Rates
- Annexure-2: Format for Application for Visit to IHQ of MoD (Navy)/ Naval Base Karwar

This RFQ should be read in conjunction with any Addendum issued in accordance with Clause 2.7.

The Applicant is expected to examine all instructions, appendices, forms and clauses in the RFQ and to furnish with its Application all information or documentation as required in the RFQ.





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2.6 Pre-Application Queries and Request for Clarifications

2.6.1 Applicants requiring any clarification on the RFQ may notify the Employer in writing by speed post/ courier and by e-mail in accordance with Clause 1.6. In addition, they are advised to provide an editable soft copy (MS Word) of the queries/request for clarifications raised by them.

2.6.2 The Applicants are further advised to use the following format for submitting their queries or request for clarification:

Name of the Applicant:					
Query No.	Applicant's Code*	Reference to RFQ		Existing Provision in the RFQ	Query or Clarification Sought
		Clause No.	Page No.		
(1)	(2)	(3)	(4)	(5)	(6)

* To be filled by the Employer

2.6.3 The Employer will upload responses to the queries/ clarifications sought on the official website (www.indiannavy.nic.in / www.indiannavy.gov.in) of the Employer, without identifying the source of queries.

2.6.4 The Employer reserves the right not to respond to any question or provide any clarification, in its sole discretion, and nothing in this clause shall be taken or read as compelling or requiring the Employer to respond to any question or to provide any clarification.

2.7 Amendment of Request for Qualification

2.7.1 At any time prior to the Application Due Date, the Employer may, for any reason, whether at its own initiative or in response to clarifications requested by Applicant(s), modify the RFQ by the issuance of Addenda.

2.7.2 Any addendum issued shall be part of the RFQ and shall be uploaded on the official website (www.indiannavy.nic.in / www.indiannavy.gov.in) of the Employer.

2.7.3 To give prospective Applicants reasonable time in which to take an addendum into account in preparing their Applications, the Employer may, at its discretion, extend the Application Due Date for the submission of the Application.





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2.8 Preparation and Submission of Application

2.8.1 Language

The Application and all related correspondence and documents in relation to the Pre-qualification Process shall be in English language only. Supporting documents and printed literature furnished by the Applicant with the Application may be in any other language provided these are accompanied with translations of all the pertinent passages in the English language, duly authenticated and certified by the Applicant. Supporting materials, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.

2.9 Format and signing of Application

2.9.1 The Applicant shall provide all the information sought under this RFQ. Incomplete and /or conditional Applications shall be liable to rejection.

2.9.2 The Applicant shall prepare 1 (one) original set of the Application (together with the documents required to be submitted pursuant to this RFQ) and clearly marked as "ORIGINAL". In addition, the Applicant shall submit 3 (three) copies of such Application and documents, which shall be marked as "COPY". The Applicant shall also provide 2 (two) scanned soft copies thereof on a Compact Disc (CD), in envelope marked "ORIGINAL". In the event of any discrepancy between the original and the copy, the original shall prevail.

2.9.3 The Application and its copy shall be typed or written in indelible ink. It shall be signed by the Authorised Representative of the Applicant who shall also initial each page of the Application (including each FORM) in blue ink. In case of printed and published documents, only the cover page or first page shall be initialled. All the alterations, omissions, additions or any other amendments made to the Application shall be initialled by the person(s) signing the Application. Each page of the Application and attached documents should bear the seal of the Applicant.

2.9.4 The Application shall contain machine number on all the pages and shall be in spiral or hard bound form only in a manner that does not allow replacement of any page. The Applicants are further advised to prepare a table of contents in the beginning of each volume of documents referring the page numbers of the indexed items.





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2.10 Sealing and Marking of Applications

2.10.1 The Applicant shall submit the Application in the format specified in **FORM-01: APPLICATION**, together with the documents specified in Clause 2.10.2, and seal it in an envelope and mark the envelope as "APPLICATION". The Applicant shall seal the original and the copies of the Application, together with their respective enclosures, in separate envelopes duly marking the envelopes as "ORIGINAL" and "COPY". The envelopes shall then be sealed in an outer envelope which shall also be marked in accordance with Clauses 2.10.3 and 2.10.4.

2.10.2 Each envelope shall contain:

- (a) Application for Pre-qualification in the prescribed format (**FORM-01: APPLICATION**) along with supporting documents.
- (b) Particulars of the Applicant (**FORM-02: PARTICULARS-1**).
- (c) In case the Applicant proposes Specialised Subcontractor, particulars of the Specialised Subcontractor(s) (**FORM-03: PARTICULARS-2**).
- (d) In case the Applicant proposes Specialised Subcontractor(s), Letter of Consent from the Specialised Subcontractor(s) (**FORM-04: CONSENT**).
- (e) Details of Historical Contract Non-Performance, Pending Litigation and Litigation History [(**FORM-05(A): NON-PERFO**, **FORM-05(B): LITIGATION** and **FORM-05(C): LITIGATION HIST**)].
- (f) Details of General Construction Experience (**FORM-06: EXP-1**).
- (g) Similar Works Experience details (**FORM-07: EXP-2**) along with supporting documents such as Client's Certificate etc.
- (h) Construction Experience in Key Activities details (**FORM-08: EXP-3**) along with supporting documents such as Client's Certificate etc.
- (i) Financial Situation of the Applicant (**FORM-09: FIN-1**).
- (j) Average Annual Construction Turnover (**FORM-10: FIN-2**).
- (k) Details of Current Contracts Commitments (**FORM-11: CCC**).
- (l) Certificate for Corporate Debt Restructuring (**FORM-12: DEBT**).





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- (m) Data and calculations for Tendering Capacity (**FORM-13: CAPACITY**).
- (n) Power of Attorney in favour of Authorised Representative (**FORM-14: POA-1**) along with extract of Board's Resolution or equivalent document in favour of the person executing the Power of Attorney.
- (o) In case the Applicant proposes Specialised Subcontractor(s), the Power of Attorney for Authorised representative(s) of Specialised subcontractor(s) (**FORM-15: POA-2**) along with extract of Board's Resolution or equivalent document in favour of the person(s) executing the Power of Attorney.
- (p) Solvency Certificate (**FORM-16: SOL**)
- (q) Check List as per format given in (**FORM-17: CHECKLIST**).
- (r) Copy of Memorandum of Association and Articles of Association or equivalent documents, if the Applicant is a body corporate, and in case of partnership firm a copy of its partnership deed.
- (s) Copies of Applicant's Audited Balance Sheets or if not required by the laws of the Applicant's country, other Financial Statement² duly certified³ as acceptable to the Employer of the last five financial years.
- (t) Two (2) scanned soft copies of the Application on a Compact Disc (CD), in envelope marked "ORIGINAL".
- (u) Any other document required in accordance with the RFQ Document; and
- (v) Duly signed RFQ Document (blank) and correspondence, addendum/corrigendum issued by the Employer in relation to the subject RFQ, in token of receipt and acceptance; (*in only one copy, which shall be submitted in a separate envelope*).
- (w) All Indian Applicants must submit long-term credit rating from a Reserve Bank of India-approved credit rating agency or any reputed international agency for foreign firms. The credit rating should be as of the quarter ending Dec 2019. It is mandatory for firms to submit credit rating. Note that even though credit rating is not a qualifying criterion, the Employer reserves the right to delete the requirement of credit rating or specify a minimum credit rating during the Tender Stage.



² The Financial Statement submitted by the Applicant must mention Total Assets, Current Assets; Total Liabilities; Current Liabilities; Share Capital, Profit Before Taxes, Profit After Taxes

³ In case the accounts of the Applicant are not required to be statutorily audited, the Financial Statement shall be certified in accordance with local legislation.



Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

2.10.3 Each of the envelopes shall clearly bear the following identification:

“APPLICATION FOR QUALIFICATION: DC-NAD PACKAGE”

and shall clearly indicate the name and address of the Applicant. In addition, the Application Due Date should be indicated on the right-hand corner of each of the envelopes.

2.10.4 Each of the envelopes shall be addressed to:

Director General, Project Seabird Integrated HQs of MoD (Navy) 2 nd Floor, West Block-5 R K Puram, New Delhi – 110066	
TELEPHONE NO:	011 26174312 011 26105539
FAX:	011 2610 0608
E-MAIL ADDRESS:	dgpsb@navy.gov.in
WEBSITE:	www.indiannavy.nic.in/ www.indiannavy.gov.in

2.10.5 If the envelopes are not sealed and marked as instructed above, the Employer assumes no responsibility for the misplacement or premature opening of the contents of the Application and consequent losses, if any, suffered by the Applicant.

2.11 Submission of Applications

2.11.1 Applicants may either submit their Applications by mail or by hand. Applications shall be received by the Employer at the address given in the above stated in clause 1.7 and no later than the deadline indicated in clause 1.8.

2.11.2 Applications submitted by fax, telex, telegram or e-mail shall not be entertained and shall be rejected.

2.11.3 Applications received by the Employer after the specified time on the Application Due Date shall not be eligible for consideration and shall be returned unopened.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

2.12 Modifications/ Substitution/ Withdrawal of Applications

2.12.1 The Applicant may modify, substitute or withdraw its Application after submission, provided that written notice of the modification, substitution or withdrawal is received by the Employer prior to Application Due Date. No Application shall be modified, substituted or withdrawn by the Applicant after the Application Due Date.

2.12.2 The modification, substitution or withdrawal notice shall be prepared, sealed, marked, and delivered in accordance with Clause 2.10, with the envelopes being additionally marked "MODIFICATION", "SUBSTITUTION" or "WITHDRAWAL", as appropriate.

2.12.3 Any additional information supplied subsequent to the Application Due Date, unless the same has been expressly sought for by the Employer, shall not be considered for evaluation.

2.13 Opening of Applications

2.13.1 The Employer shall open all Applications at the place given in the above stated Clause 1.7 and at the date and time indicated in Clause 1.8.

2.13.2 The Employer shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.

2.13.3 Applications for which a notice of withdrawal has been submitted in accordance with Clause 2.12 shall not be opened.

2.13.4 The Employer will subsequently examine and evaluate Applications in accordance with the provisions set out below.

2.14 Procedure for Evaluation of Applications

2.14.1 Confidentiality of Evaluation Process

Information relating to the examination, clarification, evaluation, and recommendation for the short-listed pre-qualified Applicants shall not be disclosed to any person who is not officially concerned with the process or is not a retained professional advisor advising the Employer in relation to or matters arising out of or concerning the Tendering Process. The Employer will treat all information, submitted as part of Application, in confidence and will require all those who have access to such material to treat the same in confidence. The Employer may not divulge any such information unless it is directed to do so by any statutory entity that has the power under law to require its disclosure or is to enforce or assert any right or privilege of the statutory entity and/ or the Employer or as may be required by law or in connection with any legal process.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

2.14.2 Responsiveness

The Employer reserves the right to reject any Application which is non-responsive with reference to the stipulations stated in the RFQ Document and no request for alteration, modification, substitution or withdrawal shall be entertained by the Employer in respect of such Application. Provided, however, that the Employer may, in its discretion, allow the Applicant to rectify any infirmities or omissions if the same do not constitute a material modification of the Application.

2.15 Clarifications

2.15.1 To facilitate evaluation of Applications, the Employer may, at its sole discretion, seek clarifications from any Applicant regarding its Application. Such clarification(s) shall be provided within the time specified by the Employer for this purpose. Any request for clarification(s) and all clarification(s) in response thereto shall be in writing.

2.15.2 If an Applicant does not provide clarifications sought under Clause 2.15.1 above within the prescribed time, its Application shall be evaluated based on the information and documents available at the time of evaluation of Application.

2.16 Evaluation of Applications and Prequalification of Applicants

2.16.1 The Employer shall use the factors, methods, criteria, and requirements defined in Section 3: Eligibility and Qualification Criteria, to evaluate the qualifications of the Applicants and any Specialised Subcontractors, and no other methods, criteria, or requirements shall be used. The Employer reserves the right to waive minor deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.

2.16.2 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be prequalified by the Employer.

2.16.3 An Applicant may be "conditionally pre-qualified", that is, qualified subject to the Applicant submitting or correcting certain specified nonmaterial documents or deficiencies to the satisfaction of the Employer.

2.16.4 Applicants that are conditionally pre-qualified will be so informed along with the statement of the condition(s) which must be met to the satisfaction of the Employer within four (4) weeks after the date of Invitation for Tenders.

2.16.5 The Employer shall notify all Applicants in writing of the names of those Applicants who have been pre-qualified or conditionally prequalified. In addition, those Applicants who have not been qualified will be informed separately.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

2.16.6 Promptly after the notification of the results of the prequalification, the Employer shall invite Tenders from all the Applicants that have been pre-qualified or conditionally prequalified.

2.16.7 Tenderers shall be required to provide a Tender Security acceptable to the Employer in the form and an amount to be specified in the Tender Documents, and the successful Tenderer shall be required to provide a Performance Security as specified in the Tender Documents.

2.16.8 The qualifications of Specialised Subcontractors, only for corresponding key construction activities shall be considered in the evaluation of an Applicant.

2.17 Proprietary data

2.17.1 All documents and other information supplied by the Employer or submitted by an Applicant to the Employer shall remain or become the property of the Employer. Applicants are to treat all information as strictly confidential and shall not use it for any purpose other than for preparation and submission of their Application. The Employer will not return any Application, or any information provided along therewith except the Applications received late pursuant to Clause 2.11.3 or Applications withdrawn pursuant to Clause 2.12.2.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

SECTION 3

3 ELIGIBILITY AND QUALIFICATION CRITERIA

This Section contains all the methods, criteria, and requirements that the Employer shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
1	ELIGIBILITY				
1.1	Conflict between Applicant and Specialised Subcontractor	No Applicant is a Specialised Subcontractor of another Applicant or vice versa in accordance with Clause 2.2.1 (a) and 2.2.1 (c)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION
1.2	Conflict of Interest	No conflicts of interest in accordance with Clause 2.2.1(c)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
1.3	Suspension Based on Execution of Bid Securing Declaration by the Government	Not under suspension based on execution of a Bid Securing Declaration in accordance with Clause 2.2.1(d)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION
1.4	Barred by Government	Not barred by Government as on the Application Due Date in accordance with Clause 2.2.1(e)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION
1.5	Not Charge Sheeted, or Convicted by a court of Law	Not charge sheeted by any agency of the Government / convicted by a court of Law in relation to Security and Integrity of the country in accordance with Clause 2.2.1(f)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
1.6	No Investigation, related to Security and Integrity of the Country	No investigation, related to security and integrity of the country, by a regulatory authority, is pending in accordance with Clause 2.2.1(g)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION
1.7	Corporate Debt Restructuring	No corporate debt restructuring is in process and/or no unresolved debt restructuring issues with the Banks/ Institutions as on 31 st December 2019 in accordance with Clause 2.2.1(h)	Must meet requirement	N/A	FORM-12: DEBT





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
2	HISTORICAL CONTRACT NON-PERFORMANCE, PENDING LITIGATION AND LITIGATION HISTORY⁴				
2.1	History of Non-Performing Contracts	Non-performance of a contract ⁵ did not occur as a result of contractor's default between 1 st January 2015 and 31 st December 2019	Must meet requirement ⁶	N/A	FORM-05(A): NON-PERFO ⁷
2.2	Pending Litigation	Applicant's financial position and prospective long term profitability still sound according to criteria established in Criteria No. 3.1 (ii) below and assuming that 50% of all pending litigation will be resolved against the Applicant	Must meet requirement	N/A	FORM-05(B): LITIGATION ⁷

⁴ Related to Construction Business only.

⁵ Non-performance, as decided by the Employer, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

⁶ This requirement also applies to contracts executed by the Applicant as JV member.

⁷ FORM-05(A), (B) and (C) should be duly certified by the Statutory Auditors of the Applicant.





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RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
2.3	Litigation History	No consistent history of court/arbitral award decisions against the Applicant ⁸ between 1 st January 2015 and 31 st December 2019	Must meet requirement	N/A	FORM-05(C): LITIGATION HIST ⁷
3	FINANCIAL SITUATION AND PERFORMANCE				
3.1	Financial Capabilities	The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements* ⁹ acceptable to the Employer, for the last 5 (five) financial years shall be submitted and must demonstrate the current soundness of the Applicant's financial position.	Must be submitted	N/A	Audited balance sheets/financial statements of the last five years

⁸ The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution from 1st January 2015 to 31st December 2019. A consistent history of awards against the Applicant may result in disqualification of the Applicant.

⁹ In case the accounts of the Applicant are not required to be statutorily audited, the Financial Statement shall be certified in accordance with local legislation.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
		<p>* Note: The information submitted by the Applicant must mention Total Assets, Current Assets; Total Liabilities; Current Liabilities; Share Capital, Profit Before Taxes, Profit After Taxes</p>			
		<p>(i) The Applicant shall demonstrate that it has access to, or has available, liquid assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow requirements of Rs 123 crore (Rupees One Hundred Twenty Three crore) for the subject contract after meeting its cash flow requirements for current contract commitments.</p>	Must meet requirement	N/A	FORM-09: FIN-1 & FORM-11: CCC





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
		(ii) The Applicant shall have positive Net Worth at the close of the latest financial year	Must meet requirement	N/A	FORM-09: FIN-1
		(iii) Applicant has not incurred any loss in more than two financial years during the last five financial years	Must meet requirement	N/A	FORM-09: FIN-1
3.2	Average Annual Construction Turnover	Minimum average annual construction turnover ¹⁰ equivalent to Rs 294 crore (Rupees Two Hundred Ninety Four crore) calculated as total certified payments received for contracts in progress and/or completed within the last five (5) financial years, divided by five (5)	Must meet requirement	N/A	FORM-10: FIN-2

¹⁰ If the annual construction turnover is not clearly stated in the Audited Balance Sheets / Financial Statements of the Applicant, specific certificate issued by its Statutory Auditors or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation, should be submitted.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
3.3	Tendering Capacity	The Applicant should have minimum available Tendering Capacity of Rs 980 crore (Rupees Nine Hundred Eighty crore) in accordance with the formula stated in FORM-13: CAPACITY	Must meet requirement	N/A	FORM-13: CAPACITY & FORM-11: CCC
3.4	Solvency Certificate	The Applicant shall submit a solvency certificate of minimum amount of Rs 123 crore (Rupees One Hundred Twenty Three crore) issued by Indian Nationalised / Schedule Banks or Private Banks permitted to do business with Government of India / foreign banks authorised by Reserve Bank of India to operate in India. The solvency certificate shall be dated not earlier than the RFQ issue date.	Must meet requirement	N/A	FORM-16: SOL





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ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
3.5	Credit Rating	<p>All Indian Applicants must submit long-term credit rating from a Reserve Bank of India-approved credit rating agency or any reputed international agency for foreign firms.</p> <p>The credit rating should be as of the quarter ending December 2019.</p> <p>It is mandatory for firms to submit credit rating.</p> <p>Note: Even though credit rating is not a qualifying criterion, the Employer reserves the right to delete the requirement of credit rating or specify a minimum credit rating during the Tender Stage.</p>	Must meet requirement	N/A	-





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RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
4	EXPERIENCE				
4.1	General Construction Experience	Experience in construction contracts in the role of prime contractor and/or joint venture member/consortium member and/or sub-contractor for at least 48 months in the period starting 1 st January 2015 till Application Due Date.	Must meet requirement	N/A	FORM-06: EXP-1 ¹¹
4.2	Similar Work(s) Experience¹²	A minimum number ¹³ of similar works specified below that have been successfully ¹⁴ completed as a prime contractor or joint venture	Must meet the requirement of at least 1 (one) contract of minimum value of Rs 784 crore (Rupees Seven	N/A	FORM-07: EXP-2

¹¹ FORM-06 should be duly certified by the Statutory Auditors of the Applicant. Alternatively, the Applicant can substantiate the 'General Construction Experience', which it has claimed through FORM-06, by submitting copies of Work Order(s)/ LOI(s)/LOA(s)/Client's Certificate(s) issued by the Client(s) and duly signed and stamped by the Authorised Representative of the Applicant.

¹² The Similar Works experience(s) of Group Companies, Parent Companies or Sister Companies, Concessionaire and Developers, shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the Similar Works experience(s) from the client(s) of the Applicant.

¹³ Summation of number of small value contracts (less than the value specified under requirement) to meet the overall requirement will not be accepted.

¹⁴ Only such works shall be considered which are completed as evidenced by the client certificate. The cost of land shall not be considered to determine the cost of the works.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
		member ¹⁵ , or sub-contractor ¹⁵ between 1 st January 2013 and Application Due Date:	Hundred Eighty Four crore)		
			OR		
		“Similar Work(s)” means Construction of Heavy reinforced concrete structures (Armament and Ordnance production/ storage facilities/ underground structures) including MEP services/ Industrial facilities including MEP services/ Infrastructure facilities including MEP services.	Must meet the requirement of at least 2 (two) contracts each of minimum value of Rs 490 crore (Rupees Four Hundred Ninety crore)	N/A	FORM-07: EXP-2
			OR		
		Note: The cost of those components forming part of the project(s) but not falling under the Similar Works as stipulated above shall not be considered for evaluation purposes.	Must meet the requirement of at least 3 (three) contracts each of minimum value of Rs 392 crore (Rupees Three Hundred Ninety Two crore)	N/A	FORM-07: EXP-2

¹⁵ For contracts under which the Applicant participated as a member of a joint venture/consortium; or as a sub-contractor, only the Applicant's share, by value, shall be considered to meet this requirement.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
4.3	Construction Experience ¹⁶ in Key Activities	For the above and/or any other contracts completed as prime contractor, joint venture member, or sub-contractor, between 1 st January 2013 and Application Due Date, a minimum construction experience in the following key activities ¹⁷ :			
		Key Construction Activity No. 1: Successfully completed at least one work (single contract) of value not less than 50 Crores for construction of Armament/ Ordnance storage facilities/ Explosive storage facilities/	Must meet requirement (This requirement can also be met through a Specialised sub-contractor)	The proposed Specialised Sub-contractor shall meet the requirement of Clause 2.2.4 (a), (b), (c) & (e) of Section 2	FORM-08: EXP-3

¹⁶ The construction experience(s) of Group Companies, Parent Companies or Sister Companies, Concessionaires and Developers shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the construction experience(s) from the client(s) of the Applicant only.

¹⁷ Rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period. The rate of production shall be the annual production rate for the key construction activity (or activities). For the rate of production, either the average during the entire period or in any one or more years during the period should be specified.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Sub-contractor	Submission Requirements
		Technical facilities for storage of ammunition.			
		Key Construction Activity No. 2: Successfully completed (in a single contract) construction of a bridge of a minimum length of 60m in any single contract.	Must meet requirement This requirement can also be met through a Specialised Subcontractor	The proposed Specialised Subcontractor shall meet the requirement of Clause 2.2.4 (a), (b), (c) & (e) of Section 2	FORM-08: EXP-3





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RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

SECTION 4

4 FRAUD AND CORRUPTION PRACTICES

- 4.1 The Applicants and their respective officers, employees, agents and advisers shall observe the highest standard of ethics during the Tendering Process. Notwithstanding anything to the contrary contained herein, the Employer may reject an Application without being liable in any manner whatsoever to the Applicant if it determines that the Applicant has, directly or indirectly or through an agent, engaged in corrupt, fraudulent, coercive or undesirable' practice in the Tendering Process.
- 4.2 Without prejudice to the rights of the Employer under Clause 4.1 hereinabove, if an Applicant is found by the Employer to have directly or indirectly or through an agent, engaged or indulged in any 'corrupt, fraudulent, coercive or undesirable practice during the Tendering Process, such Applicant shall not be eligible to participate in any tender or RFQ issued by the Employer during a period of 2 (two) years from the date such Applicant is found by the Employer to have indulged in any corrupt, fraudulent, coercive or undesirable' practice, as the case may be.
- 4.3 For the purposes of this Section 4, the following terms shall have the meaning hereinafter respectively assigned to them:
- (a) **"Corrupt practice"** means the offering, receiving, or soliciting, directly or indirectly, of anything of any value to influence the actions of a public official in the selection process or in contract execution;
 - (b) **"Fraudulent practice"** means a misrepresentation or omission of facts in order to influence a selection process or the execution of a contract;
 - (c) **"Collusive practice"** means a scheme or arrangement between two or more Applicants, with or without the knowledge of the Employer, designed to establish prices at artificial, non-competitive levels; and
 - (d) **"Coercive practice"** means harming or threatening to harm, directly or indirectly, person or their property to influence their participation in a procurement process or affect the execution of a contract.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

SECTION 5

5 MISCELLANEOUS

- 5.1 The Applicants shall ensure that all persons related to the RFQ Application have noted that the Indian Official Secret Act 1923 (XIX of 1923) applies to them and continues to apply perpetually, even after the pre-qualification process is over. An undertaking to this effect should be taken by the Applicant from all persons related to his PQ Application.
- 5.2 The Tendering Process shall be governed by, and construed in accordance with, the laws of India and the courts in the State in which the Employer has its headquarters shall have exclusive jurisdiction over all disputes arising under, pursuant to and/ or in connection with the Tendering Process.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

SECTION 6

6 DESCRIPTION OF THE WORKS

6.1 General

The Scope of Work associated with this Works contractor procurement, as included in this document, is indicative and general in nature only. This Works Contract will be a package to construct Storage & Process facilities and other infrastructure works in Site E. The scope of work for Works Contractor is intended to create and construct a fully functional Infrastructure facilities which provides high quality and provide a pleasant and environmentally friendly environment for the working personnel. More detailed Scope of Works for Works Contractor will be included in the Tender Documents to be issued to the pre-qualified Tenderers.

In full recognition of these objectives and with full acceptance of the obligations, the Contractor shall execute the Works taking into account all liabilities and risks that may be involved.

The Employer may decide to take beneficial custody and accept portions of the work completed from the Contractor in a phased manner as per the approved Master Schedule and/or the Handing-Over Plan.

6.2 Scope of Works Contractor Contract Package

6.2.1 Contractor has to carry out the works in Naval Armament Depot (NAD), Missile Technical Position (MTP) and Common Facilities Area (CFA) at Site-E.

6.2.2 Augmentation of the existing facilities in Naval Armament Depot (NAD), Missile Technical Position (MTP) and construction of additional facilities are an essential requirement of Project Seabird Phase IIA. Construction of new facilities and additions to the existing facilities will have to comply with standards laid down in Storage and Transport of Explosive Committee (STEC) regulations, Naval Magazine and Explosive Regulations (NMER) and Centre for Fire Environment and Explosive Safety (CFEES) guidelines.

6.2.3 Scope of work includes Construction of Armament/ ordnance storage buildings, Laced Reinforced Concrete (LRC) traversed magazines & Construction of workshops/ process buildings for ammunition, missiles, mines, torpedoes and Heavy traversed and untraversed laboratories by application of Unit Risk Principles (URP) concept.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

6.2.4 Scope of work includes Construction of Technical complex, Administration buildings, Amenity buildings for Officers & staff, Stores, Substation, AC plant, Sub Fire Station, Watch Towers, Static water tanks, Parking shed, Electronic Lab & SPTA Storage Building, Battery Storage Shed, Sheds, MT Garage, Strong Room & Armour store shed, toilet blocks.

6.2.5 Scope of work includes Construction of other infrastructure including, water supply HVAC, electrical services, firefighting networks, sewerage treatment, effluent treatment, security & surveillance, boundary wall, fence, gates, bollards, watch towers, landscape, drainage, roads, culverts, bridges.

6.3 Environmental Clearances

6.3.1 The Contractor must comply with conditions mentioned in the Environmental Clearances issued by Ministry of Environmental & Forest (MoEF), Government of India and Employer's Environmental Management Plan. The conditions will be included in the Tender Document and issued to all Tenderers.

6.4 Utilities

6.4.1 The Contractor will be required to efficiently and effectively integrate Works Contractor utilities with the existing utilities network. Utilities to be included in the Works Contractor scope of work will include, but may not be limited to:

- (a) Electrical distribution system/ network, including substations, high and low voltage distribution cabling, diesel generator, transformers etc.
- (b) Sewerage collection and treatment system including STPs,
- (c) Potable water supply system, Storage Sump,
- (d) Treated sewage supply network
- (e) Firefighting system including fire pump houses
- (f) Site runoff water collection, drainage network, culverts, rainwater harvesting
- (g) Security and communication requirements.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

6.4.2 Existing utilities systems will be upgraded, augmented and or modified to properly support and provide service for the augmentation of existing infrastructure and construction of new infrastructure. In some cases, new utilities systems may be installed where none exist today.

6.5 Interfacing with other works contractors

6.5.1 Utility networks will be installed to the site boundaries by concurrent and adjacent works contracts. The contractor will be required to construct all utilities systems so as to smoothly and effectively interface, connect and join with the existing utilities and utilities created by other contractor(s). This will require close and regular coordination and information exchange with adjacent and dependent works contractors.

6.5.2 The Contractor shall also be required to interface, coordinate and align its activities schedule and cooperate with Naval agencies and commands, local Government agencies and various other works contractors under the cognizance of Project Seabird Phase IIA who will be engaged to construct adjacent and dependent infrastructure utilities and other services. The contractor will conduct themselves in such a manner as to promote a healthy, collaborative and mutually supportive working environment in such a way as to minimize conflict and interference and maximize cooperation and mutual support so as to maintain project schedules, minimize/ eliminate cost impacts, minimize impact to ongoing Naval operations and maximize personnel safety.

6.6 Technical Information

6.6.1 The Design consultant will prepare drawings and specifications which will be included in the Tender Documents, provided to the Pre-qualified Firms/ Companies/ Applicants.

6.7 Construction Period

6.7.1 The allowed construction period for the works to be performed and completed, ready for use by the Employer, under this contract package shall be 24 months from the date of contract award.

6.7.2 The Contractor shall be required to plan various components of work in such a sequence that the complete work is commissioned in the required time from the Commencement Date. If required by the Employer, priority works may be identified which will require early and/or phased completion. These, if any, will be detailed in the Tender Documents.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

6.8 Other Data

6.8.1 Geotechnical Data:

Detailed Geotechnical investigation works has been completed for the project location. Data will be made available to the Tenderers along with Tender Documents. Investigations works shows that the subsurface stratum at project locations is predominantly comprised of granular soils. The granular soils are generally silty sand and classified as SM or SP according to the Unified Soil Classification System. Traces of stiff clay are observed in certain borehole locations and certain depths above the weathered rock, with a plasticity index of less than 15. Weathered / hard rock is observed at 13 to 15m depth from ground level. Along with borehole investigations plate load test also has been carried out at the project location.

6.8.2 Wind: The mean monthly wind speed at Karwar, based on the available Indian Meteorological Department data (1980 to 2010), is summarized below.

TABLE 6.1: MONTHLY AVERAGE WIND SPEED RANGE

Month	Wind Speed Range (km/h)
January	6.4
February	6.8
March	8.5
April	9.9
May	12.0
June	11.9
July	15.6
August	12.9
September	8.2
October	5.8
November	5.3
December	5.5

6.8.3 Temperature, Humidity and Rainfall

At an average the annual variation of maximum temperature at Karwar is from 30°C to 35°C. The month of August being the lowest in range due to the extreme rains and overcast skies. The annual range of minimum temperature is about 16°C to 23°C, January being the lowest and May being the highest.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

The highest average monthly temperature recorded was 36.4°C in January of 2011. The lowest recorded was 15.8°C also in January of 2011.

The Relative Humidity (RH) always remains high in Karwar, being a coastal region. In general, it is highest in the morning and lesser in the afternoon hours. The monsoon months see continuous high relative humidity due to the substantial rainfall and reaches up to RH of 100%. The minimum is average monthly relative humidity occasionally observed in the months of December through February and can be as low as RH is 23%.

At an average, Karwar receives about 2761.4mm rainfall annually and wettest year records have shown annual rainfall up to 5319mm. June through August being the highest rainfall period accounting for more than 2200mm rainfall.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

SECTION 7

7 FORMS

This section contains forms to be submitted with the Application as applicable.

S.No	Form No	Description of Form
1.	Form 01	APPLICATION FOR PRE-QUALIFICATION
2.	Form 02	PARTICULARS OF THE APPLICANT
3.	Form 03	PARTICULARS OF THE SPECIALISED SUBCONTRACTOR(S)
4.	Form 04	LETTER OF CONSENT FROM THE SPECIALISED SUBCONTRACTOR(S)
5.	Form 05(A)	HISTORICAL CONTRACT NON-PERFORMANCE
6.	Form 05(B)	PENDING LITIGATION
7.	Form 05(C)	LITIGATION HISTORY
8.	Form 06	GENERAL CONSTRUCTION EXPERIENCE
9.	Form 07	SIMILAR WORKS EXPERIENCE
10.	Form 08	CONSTRUCTION EXPERIENCE IN KEY ACTIVITIES
11.	Form 09	FINANCIAL SITUATION OF THE APPLICANT
12.	Form 10	AVERAGE ANNUAL CONSTRUCTION TURNOVER
13.	Form 11	CURRENT CONTRACT COMMITMENTS
14.	Form 12	CERTIFICATE FOR CORPORATE DEBT RESTRUCTURING
15.	Form 13	TENDERING CAPACITY
16.	Form 14	FORMAT FOR POWER OF ATTORNEY FOR SIGNING OF APPLICATION AND TENDER
17.	Form 15	POWER OF ATTORNEY FOR AUTHORISED REPRESENTATIVE OF SPECIALISED SUBCONTRACTOR
18.	Form 16	SOLVENCY CERTIFICATE
19.	Form 17	CHECK LIST FOR THE INFORMATION TO BE FURNISHED BY THE APPLICANT ALONG WITH APPLICATION





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

**FORM-01: APPLICATION
APPLICATION FOR PRE-QUALIFICATION**

(Refer Clause 2.10.1)

Dated.....

To,

The Director General, Project Seabird
Integrated HQs of MoD (Navy)
2nd Floor, West Block-5
R K Puram, New Delhi – 110066

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Dear Sir,

1. With reference to your RFQ document No: DGSB/NAD/02/01 of 2020 dated 07 Feb 2020, we, having examined the RFQ document and understood its contents, hereby submit our Application for Qualification for the aforesaid works. The Application is unconditional and unqualified.
2. We acknowledge that the Employer will be relying on the information provided in the Application and the documents accompanying the Application for pre-qualification of the Applicants for the aforesaid work, and we certify that all information provided in the Application and Forms submitted with the Application is true and correct; nothing has been omitted or concealed which could render such information misleading; and all documents accompanying such Application are true copies of their respective originals.
3. This statement is made for the express purpose of qualifying as a Tenderer for the construction of the aforesaid works.
4. We shall make available to the Employer any additional information it may find necessary or require to supplement or authenticate our Application.
5. We acknowledge the right of the Employer to reject our Application without assigning any reason or otherwise and hereby waive, to the fullest extent permitted by applicable law, our right to challenge the same on any account whatsoever.

We declare that:

- (a) we have examined and have no reservations to the RFQ document, including any addendum, corrigendum issued by the Employer;





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

(b) we declare, pursuant to Clause 2.2.1(a) of the RFQ Document, that we are not a Sub-contractor of any other Applicant applying for this pre- qualification.

(c) we, including the proposed Specialised Subcontractor do not have any conflict of interest pursuant to Clause 2.2.1(c) of the RFQ Document;

(d) we have not directly or indirectly or through an agent engaged or indulged in any corrupt, fraudulent, coercive or undesirable' practice, as defined in Section 4 of the RFQ Document, in respect of any tender or Request for Proposal issued by or any agreement entered into with the Employer or any other public-sector enterprise or any Government, Central or State;

(e) we hereby certify that we have taken steps to ensure that in conformity with the provisions of Section 4 of the RFQ Document, no person acting for us or on our behalf within our control and knowledge has engaged or will engage in any corrupt, fraudulent, coercive or undesirable' practice; and

(f) we, including any proposed Specialised Subcontractor have not been suspended by the Government of India or any State Government in India or by the Government of the [name of the country(ies)]¹⁸, as a result of the execution of a Tender– Securing Declaration

(g) we, including any proposed Specialised Subcontractor are not barred by the Government of India or any State Government in India or by the Government of the [name of the country(ies)]¹⁶ and no bar subsists as on the Application Due Date..

(h) in regard to matters relating to security and integrity of the country, we including any proposed Specialised Subcontractor have not been charge-sheeted by any agency of the Government of India or any State in India or convicted by a Court of Law.

(i) to the best of our knowledge and belief no investigation, related to security and integrity of the country, by a regulatory authority is pending either against us including any proposed Specialised Subcontractor or against our CEO or any of our directors/ managers/ employees.

(j) we undertake that in case due to any change in facts or circumstances during the Prequalification / Tendering process, we are attracted by the provisions of disqualification in terms of the provisions of this RFQ, we shall intimate the Employer of the same immediately.

7. We do not plan to subcontract the key construction activities/ We plan to subcontract the key construction activities*:

¹⁸ Country means where the Applicant is incorporated





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-02: PARTICULARS-1
PARTICULARS OF THE APPLICANT
(Refer Clause 2.10.2(b))

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

1. (a) Applicant's Name:
 - (b) Blank
 - (c) In case Specialised Subcontractor(s) are proposed, name of each Specialised Subcontractor:
 - (i)
 - (ii)
 - (d) Applicant's country of registration:
 - (e) Applicants year of incorporation:
 - (f) Applicant's Legal Address in country of registration:
 - (g) Address for Correspondence:
2. Particulars of the Authorised Representative of the Applicant:
 - (a) Name:
 - (b) Designation:
 - (c) Company:
 - (d) Address:
 - (e) Telephone & fax Number:
 - (f) E-Mail Address:





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

3. In case the Applicant has proposed Specialised Subcontractor(s) it shall complete FORM-03: PARTICULARS-2 to provide information relating to each Specialised Subcontractor(s).

Attached are copies of original documents of:

- (i) Articles of Incorporation/Registration in conformity with the provisions of the laws of the country where the Applicant is incorporated/registered (or equivalent documents of constitution of the Applicant)
- (ii) (a) Memorandum of Association and
(b) Article of Association, or equivalent documents. In case of a partnership firm a copy of the partnership deed shall be submitted.
- (iii) In case of Government owned entity[¥] documents establishing:
(a) Legal and financial autonomy
(b) Operation under commercial law
- (iv) Organisational chart and List of Board of Directors.

For and on behalf of [Name of the Applicant]

.....
[Signature]

.....
[Seal of the Applicant]

.....
[Name of the person duly Authorised to sign the Application on behalf of the Applicant]

.....
[Designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]

[¥] "Government owned entity" means an entity controlled by Central/State Government in India or any entity controlled by it.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-03: PARTICULARS-2

PARTICULARS OF THE SPECIALISED SUBCONTRACTORS²⁰

(Refer Clause 2.10.2(c))

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

1. (a) Applicant's Name:
 - (b) Specialised Subcontractor's Name:
 - (c) Specialised Subcontractor's country of registration:
 - (d) Specialised Subcontractor's year of incorporation:
 - (e) Specialised Subcontractor's Legal Address in country of registration:
 - (f) Address for Correspondence:
 - (g) In case of Specialised Subcontractor, proposed for Key Construction Activity of:
2. Particulars of the Authorised Representative of the Specialised Subcontractor:
 - (a) Name:
 - (b) Designation:
 - (c) Company:
 - (d) Address:
 - (e) Telephone & Fax Number:
 - (f) E-Mail Address:



²⁰ In case the Applicant proposes Specialised Subcontractor(s), it shall complete a separate FORM- 03: PARTICULARS-2 to provide information relating to each Specialised Subcontractor.



Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Attached are copies of original documents of:

- (i) Articles of Incorporation/Registration in conformity with the provisions of the laws of the country where the Specialised Subcontractor is incorporated/registered (or equivalent documents of constitution of the Specialised Subcontractor)
- (ii) (a) Memorandum of Association and
(b) Article of Association, or equivalent documents. In case of a partnership firm a copy of the partnership deed shall be submitted.
- (iii) In case of Government owned entity* documents establishing:
 - (a) Legal and financial autonomy
 - (b) Operation under commercial law
- (iv) Organisational chart and List of Board of Directors.

For and on behalf of [Name of the Applicant]

.....
[Signature]

.....
[Seal of the Applicant]

.....
[Name of the person duly Authorised to sign the Application on behalf of the Applicant]

.....
[Designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]



* "Government owned entity" means an entity controlled by Central/State Government in India or any entity controlled by it.



Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-04: CONSENT
LETTER OF CONSENT FROM THE SPECIALISED SUBCONTRACTOR(S)²¹
(Refer Clause 2.10.2(d))

Dated:.....

To,

The Director General, Project Seabird
Integrated HQs of MoD (Navy)
2nd Floor, West Block-5
R K Puram, New Delhi – 110066

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Dear Sir,

1. With reference to your RFQ document dated, we have examined the RFQ document and understood its contents.
2. We declare and undertake that
 - (a) [*insert name of Specialised Subcontractor*] having its office at [*insert registered address of the Specialised Subcontractor*] is willing to participate as Specialised Subcontractor for [*insert description of key construction activity(ies) as given at Criteria No. 4.3 of Section 3*] with [*insert name of the Applicant*] in their Application for this project;
 - (b) We, pursuant to Clause 2.2.1(a) of the RFQ Document, are not submitting any Application for this pre-qualification.
 - (c) We do *not* have any conflict of interest pursuant to Clause 2.2.1(c) of the RFQ Document;
 - (d) We have not directly or indirectly or through an agent engaged or indulged in any 'corrupt, fraudulent, coercive or undesirable' practice, as defined in Section 4 of the RFQ Document, in respect of any tender or Request for Proposal issued by or any agreement entered into with the Employer or any other public sector enterprise or any Government, Central or State;

²¹ This form shall be omitted in case no Specialised Subcontractor is proposed by the Applicant. In case the Applicant proposes Specialised Subcontractor, this form shall be submitted by each Specialised Subcontractor.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

(e) We hereby certify that we have taken steps to ensure that in conformity with the provisions of Section 4 of the RFQ Document, no person acting for us or on our behalf within our control and knowledge has engaged or will engage in any 'corrupt, fraudulent, coercive or undesirable' practice; and

(f) We have *not* been suspended by the Government of India or any State Government in India or by the Government of the *[name of the country(ies)]*²², as a result of the execution of a Tender-Securing Declaration.

(g) We are not barred by the Government of India or any State Government in India or by the Government of the *[name of the country(ies)]* and no bar subsists as on the Application Due Date.

(h) In regard to matters relating to security and integrity of the country, we have not been charge-sheeted by any agency of the Government of India or any State in India or convicted by a Court of Law.

(i) To the best of our knowledge and belief no investigation, related to security and integrity of the country, by a regulatory authority is pending either against us or against our CEO or any of our directors/ managers/ employees.

(j) We *undertake* that in case due to any change in facts or circumstances during the Prequalification / Tendering Process, we are attracted by the provisions of disqualification in terms of the provisions of this RFQ, we shall intimate the Employer of the same immediately.

For and on behalf of *[insert name of the Specialised Subcontractor]*

.....
[Signature]

.....
[Seal of the Specialised Subcontractor]

.....
[insert name of the person duly Authorised to sign the Application on behalf of the Specialised Subcontractor]

.....
[insert designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]

²² Country means where the Specialised Subcontractor is incorporated





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-05(A): NON-PERFO
(Eligibility and Qualification Criteria No. 2.1)
HISTORICAL CONTRACT NON-PERFORMANCE

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

[The following table shall be filled in for the Applicant]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*.....

Details of the Contract(s) not performed from 1st Jan 2015 to 31st Dec 2019 specified in Section 3, Eligibility and Qualification Criteria No. 2.1 is as follows:

Date, month & Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
<i>[insert date]</i>	<i>[insert amount (currency), INR Equivalent (exchange rate*) and percentage of the Contract Amount]</i>	<i>Contract Identification: [indicate complete contract name/ number, date and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for non-performance: [indicate main reason(s)]</i>	<i>[insert amount]</i>
<i>[insert date]</i>	<i>[insert amount (currency), INR Equivalent (exchange rate*) and percentage of the Contract amount]</i>	<i>Contract Identification: [indicate complete contract name/ number, date and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for non-performance: [indicate main reason(s)]</i>	<i>[insert amount]</i>
<i>[insert date]</i>	<i>[insert amount (currency), INR Equivalent (exchange rate*) and percentage of the Contract amount]</i>	<i>Contract Identification: [indicate complete contract name/ number, date and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for non-performance: [indicate main reason(s)]</i>	<i>[insert amount]</i>





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)
 (UDIN)
 (Full Name of the Statutory Auditor)
 (Name of the Statutory Auditor's Firm)
 (Complete Address of the Statutory Auditor's Firm)
 (Telephone/ fax numbers, including country and city codes)
 (E-mail of the Statutory Auditor)
 (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

Notes:

- (i) Non-performance, as decided by the Employer, shall include all contracts where
 - (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract; and
 - (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employer's decision was overruled by the dispute resolution mechanism.

Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

- (ii) Data of non-performance of contracts related to construction contracts only shall be given.
- (iii) This requirement also applies to contracts executed by the Applicant as JV member.
- (iv) FORM-05(A) should be duly certified by the Statutory Auditors of the Applicant
- (v) * Refer Annexure-1 for source of exchange rate.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-05(B): LITIGATION
(Eligibility and Qualification Criteria No. 2.2)

PENDING LITIGATION

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

[The following table shall be filled in for the Applicant]

Applicants Name: *[insert full name]*.....

Date: *[insert day, month, year]*.....

Details of Pending Litigation up to 31st Dec 2019 in accordance with Section 3, Eligibility and Qualification Criteria No. 2.2 are as follows:

Date, month & Year of dispute	Amount in dispute (currency), INR Equivalent (exchange rate*)	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
<i>[insert date]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, date and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>
<i>[insert date]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, date and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

[insert date]	[insert amount]	Contract Identification: [indicate complete contract name, number, date and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Matter in dispute: [indicate main issues in dispute] Party who initiated the dispute: [indicate "Employer" or "Contractor"] Status of dispute: [Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]	[insert amount]
---------------	-----------------	---	-----------------

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)
 (UDIN)
 (Full Name of the Statutory Auditor)
 (Name of the Statutory Auditor's Firm)
 (Complete Address of the Statutory Auditor's Firm)
 (Telephone/fax numbers, including country and city codes)
 (E-mail of the Statutory Auditor)
 (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

Notes:

- (i) The Applicant shall provide accurate information about all pending litigation and / or arbitration cases resulting from contracts completed or ongoing under its execution.
- (ii) The Applicant shall also provide details of pending litigation referred in paragraph (i) above, which has been accounted for in the submitted latest audited Balance Sheet as follows:
 - (a) The claims and suits lodged against the company for which the company has not accounted for the liability in its books as the matter is pending with arbitration/ courts and the same has not been finally settled up to the date of Balance Sheet and the company is sure that the decision will not go against the company. **(Please indicate the page no. of the Audited Balance Sheet where these amounts have been reflected).**





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

- (b) The claims and suits lodged by the company against customers/ supplies for recovery of dues and the matter is pending with arbitration/ courts and the company has accounted for the claims as receivable in its books of account considering the decision will be in favour of the company. **(Please indicate the page no. of the Audited Balance Sheet where these amounts have been reflected).**
- (iii) FORM-05(B) should be duly certified by the Statutory Auditors of the Applicant.
- (iv) *Refer Annexure-1 for source of exchange rate.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-05(C): LITIGATION-HIST
(Eligibility and Qualification Criteria No. 2.3)

LITIGATION HISTORY

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

[The following table shall be filled in for the Applicant]

Applicant's Name: *[insert full name]*.....

Date: *[insert day, month, year]*.....

Details of Litigation History between 1st Jan 2015 to 31st Dec 2019 in accordance with Section 3, Eligibility and Qualification Criteria No. 2.3 are as follows:

Date, month & Year of award	Amount of Award (currency), INR Equivalent (exchange rate*)	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
<i>[insert date]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, date and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/ city/ country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>
<i>[insert date]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, date and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/ city/ country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

[insert date]	[insert amount]	Contract Identification: [indicate complete contract name, number, date and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/ city/ country] Matter in dispute: [indicate main issues in dispute] Party who initiated the dispute: [indicate "Employer" or "Contractor"] Status of dispute: [Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]	[insert amount]
---------------	-----------------	---	-----------------

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)
 (UDIN)
 (Full Name of the Statutory Auditor)
 (Name of the Statutory Auditor's Firm)
 (Complete Address of the Statutory Auditor's Firm)
(Telephone/fax numbers, including country and city codes)
 (E-mail of the Statutory Auditor)
 (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

Notes:

- (i) * Refer Annexure-1 for source of exchange rate.
- (ii) The Applicant shall provide accurate information about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years.
- (iii) This may be noted that under this category only cases of arbitration litigation finally settled against the Applicant should be listed. If the case is pending at any level of arbitration or judiciary, the same should be listed in Pending Litigation and NOT under Litigation History. A consistent history of awards against the Applicant may result in rejection of the Application.
- (iv) FORM-05(C) should be duly certified by the Statutory Auditors of the Applicant.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

**FORM-06: EXP-1
GENERAL CONSTRUCTION EXPERIENCE²³
(Eligibility and Qualification Criteria No. 4.1)**

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Applicant's Name: [insert full name].....

Date: [insert day, month, year].....

[Identify contracts that demonstrate continuous construction work starting 1st Jan 2015 till Application Due Date pursuant to Section-3, Eligibility and Qualification Criteria No. 4.1. List contracts chronologically, according to their commencement (starting) dates.]

Starting Date	Ending Date	Contract Identification	Role of Applicant
[indicate date]	[indicate date]	Contract name: [insert full name] Brief Description of the Works performed by the Applicant: [describe works performed briefly] Amount of contract: [insert amount in currency, mention currency used, exchange rate and INR equivalent*] Name of Employer: [indicate full name] Address: [indicate street/number/town or city/country]	[insert "Prime Contractor" or "JV Member of Contractor" or "Sub-contractor"]

²³ FORM-06 should be duly certified by the Statutory Auditors of the Applicant. Alternatively, the Applicant can substantiate the 'General Construction Experience', which it has claimed through FORM-06, by submitting copies of Work Order(s)/ LOI(s)/LOA(s)/Client's Certificate(s) issued by the Client(s) and duly signed and stamped by the Authorised Representative of the Applicant.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Starting Date	Ending Date	Contract Identification	Role of Applicant
		Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and INR equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member of Contractor" or "Sub-contractor"]</i>
		Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and INR equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member of Contractor" or "Sub-contractor"]</i>

* Refer Annexure-1 for date and source of exchange rate.

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)
 (UDIN)
 (Full Name of the Statutory Auditor)
 (Name of the Statutory Auditor's Firm)
 (Complete Address of the Statutory Auditor's Firm)
 (Telephone/fax numbers, including country and city codes)
 (E-mail of the Statutory Auditor)
 (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-07: EXP-2
SIMILAR WORKS EXPERIENCE²⁴
(Eligibility and Qualification Criteria No 4.2)

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Applicant's Name: *[insert full name]*

Similar work No. <i>[insert number] of [insert number of similar works required]</i>	Information		
Description of the similarity in accordance with similar work as defined in Section 3, Eligibility and Qualification Criteria No. 4.2			
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Award date	<i>[insert day, month, year]</i>		
Completion date	<i>[insert day, month, year]</i>		
Role in Contract	Prime Contractor	Member in Joint Venture of Contractors	Sub-contractor
<i>[check the appropriate box]</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

²⁴ The Similar Works experience(s) of Group Companies, Parent Companies or Sister Companies, Concessionaire and Developers shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the Similar Works experience(s) of the Applicant only (see Section 3, Eligibility and Qualification Criteria No. 4.2).





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Similar work No. <i>[insert number] of [insert number of similar works required]</i>	Information		
Total Contract Amount	<i>[insert total contract amount in local currency]</i>	INR <i>[insert Exchange rate and total contract amount in INR equivalent]*</i>	
Total Contract Amount after accounting for escalation as per provision given below **	<i>[insert total contract amount in INR after escalation]</i>		
If member in a joint venture or sub-contractor, specify participation in total contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in INR equivalent]*</i>
Employer's Name:	<i>[insert full name]</i>		
Address: Telephone/fax number: E-mail:	<i>[indicate street / number / town or city / country]</i> <i>[insert telephone/fax numbers, including country and city area codes]</i> <i>[insert e-mail address, if available]</i>		

For and on behalf of [Name of the Applicant]

.....
[Signature]

.....
[Seal of the Applicant]

.....
[Name of the person duly Authorised to sign the Application on behalf of the Applicant]

.....
[Designation of the person signing the Application]

.....
[Date DD/MM/YYYY]

* Refer Annexure-1 for source of exchange rate.

**For completed works, escalation @ 7% per annum (applied from the date of completion of the works until 31st Dec 2019) shall be considered for equating the works of the previous years to the current year for Indian Rupees (INR).

In case of currencies other than INR, for equating the works of the previous years to the current year, an escalation of 2% per annum on the foreign currency amount shall first be applied (applied from the date of completion of the works until 31st Dec 2019). The resulting amount shall then be converted in to INR using the exchange rate applicable on 31st Dec 2019 (Refer Annexure-1 for source of exchange rate).





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-08: EXP-3
CONSTRUCTION EXPERIENCE IN KEY ACTIVITIES²⁵
(Eligibility and Qualification Criteria No. 4.3)

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

[The following table shall be filled in for contract performed by the Applicant and/or each proposed Specialised Subcontractor, as applicable]

[Each and every key construction activity and in case of more contracts pursuant to Criteria 4.3 of Section 3, each contract details, shall be filled in separate form]

Applicant's Name: *[insert full name]*

Specialised Subcontractor's Name: *[insert full name]*

Key Construction Activity No: *[insert respective key construction activity no. and description as given at Eligibility and Qualification Criteria No. 4.3 in Section 3]*

Details of key construction activity executed under the contract:

	Information	
Contract Identification	<i>[insert contract name and number, if applicable]</i>	
Employer's Name:	<i>[insert full name]</i>	
Address: Telephone/fax number E-mail:	<i>[indicate street / number / town or city / country]</i> <i>[insert telephone/fax numbers, including country and city area codes]</i> <i>[insert e-mail address, if available]</i>	
Contract awarded to	<i>[insert name of firm to whom the contract was awarded]</i>	
Contract Award date	<i>[insert day, month, year]</i>	
Contract Completion date	<i>[insert day, month, year]</i>	
Total Contract Amount	<i>[insert total contract amount in contract currency(ies)]</i>	INR <i>[insert exchange rate* and total contract amount in INR equivalent]</i>

²⁵ The construction experience(s) of Group Companies, Parent Companies or Sister Companies, Concessionaire and Developers shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the construction experience(s) of the Applicant (or his Specialised Subcontractor) only (see Section 3, Eligibility and Qualification Criteria No. 4.3).





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Information			
Role in Contract <i>[check the appropriate box]</i>	Sole Contractor <input type="checkbox"/>	Member in Joint Venture of Contractors** <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Applicant's participation (in percentage) in Contract	100% (in case of Contractor being a single entity). % (in case of Contractor being a JV).	100% (in case of Sub-contractor being a single entity). % (in case of Sub-contractor being a JV).	
Description and details of key construction activity executed under the Contract:			
Key construction activity no..... and its start/ completion date*** as per contract or client's certificate:	Component of Key construction activity: Quantity/Amount**: <i>[insert amount/ insert number/ length / size of such key construction activity or its component executed]</i> Key construction activity start date: <i>[insert start date]</i> Key construction activity completion date: <i>[insert completion date]</i>		

Supporting documents submitted for the key construction activity along with this form.
[insert here the description of supporting document annexed with this form to substantiate the key construction activity]

1.
2.
3.

For and on behalf of *[Name of the Applicant]*

.....
[Signature] *[Seal of the Applicant]*

.....
[Name of the person duly Authorised to sign the Application on behalf of the Applicant]

.....
[Designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]

* Refer Annexure-1 for date and source of exchange rate.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

** For key construction activity no. 1 & 2, in case of Applicant's/ proposed Specialised Subcontractor's role in contract is/was as a member of Contractor's/ Sub-contractor's JV, it shall substantiate that the key construction activity was in his scope under the contract. This substantiation could be through the client's certificate or Joint Venture agreement or other appropriate document.

*** In case date is not provided/ substantiated, the date of start/ completion of contract shall be presumed to be the date of start/ completion of the key construction activity.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-09: FIN-1
FINANCIAL SITUATION OF THE APPLICANT
(Eligibility and Qualification Criteria No. 3.1)

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

[The following table shall be filled in for the Applicant]

Applicant's Name: *[insert full name]*

1. Financial data

Type of Financial information in (currency)	Historic information for previous 5 (Five) Years (amount in currency, exchange rate, INR equivalent)				
	Year 5	Year 4	Year 3	Year 2	Year 1
Statement of Financial Position (Information from Balance Sheet)					
A. Total Assets (TA) (Excluding Deferred Expenditure and Losses)					
B. Total Outside Liabilities (TL) (Long Term Liabilities and Current Liabilities and Provisions)					
C. Revaluation Reserve					
D. Net Worth = A - B - C					
E. Current Assets (CA)					
F. Current Liabilities and Provisions (CL)					
G. Working Capital = E - F					





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Type of Financial information in (currency)	Historic information for previous 5 (Five) Years (amount in currency, exchange rate, INR equivalent)				
	Year 5	Year 4	Year 3	Year 2	Year 1
H. Proposed specific line of credit agreed by commercial Bank and/or any other source of finance for the subject contract	N/A	N/A	N/A	N/A	
I. Total Available Working Capital (G+H) for the subject contract	N/A	N/A	N/A	N/A	
J. Working Capital requirements for current contract commitments [Total of col. 14 of Form-11: CCC]	N/A	N/A	N/A	N/A	
K. Sources of Finance for current contract commitments (Total of column 15 of Form-11: CCC)	N/A	N/A	N/A	N/A	
L. Working Capital available after meeting the Working Capital requirements for current contract commitments (I-J+K)	N/A	N/A	N/A	N/A	
III. Financial Soundness					
M. Net worth					
N. Profit before taxes					
O. Profit after taxes					

2. Financial documents

- (a) The Audited Balance Sheets, Profit and Loss Account and cash flow statement of Group Companies, Parent Companies or Sister Companies shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the above statements of the Applicant only.
- (b) The Applicant shall attach copies of the Audited Balance Sheets or, if not required by the laws of the Applicant's country, other Financial Statements for 5 (five) years preceding the Application Due Date, which shall:





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

- (i) reflect the financial situation of the Applicant, and not an affiliated entity (such as parent company or group member);
- (ii) be statutorily audited or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation;
- (iii) be complete, including all notes attached thereto;
- (iv) correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

(Applicable in case of form being certified by Statutory Auditor of the Applicant / member of the JV)

..... *(Signature of the Statutory Auditor)*
 *(UDIN)*
 *(Full Name of the Statutory Auditor)*
 *(Name of the Statutory Auditor's Firm)*
 *(Complete Address of the Statutory Auditor's Firm)*
 *(Telephone/fax numbers, including country and city codes)*
 *(E-mail of the Statutory Auditor)*
 *(Seal of the Statutory Auditor)*

Membership No. of the Statutory Auditor:

Note:

1. Year 1 will be the latest completed financial year, preceding the Application Due Date. Year 2 shall be the year immediately preceding Year 1 and so on. For avoidance of doubt, financial year shall, for the purposes of the Application hereunder, mean the accounting year followed by the Applicant in the normal course of its business.
2. If the most recent set of Balance Sheet or the Financial Statement, as the case may be, is for a period earlier than 12 months from the date of application, justification should be provided for the same.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

..... (Signature of the Statutory Auditor)
 (UDIN)
 (Full Name of the Statutory Auditor)
 (Name of the Statutory Auditor's Firm)
 (Complete Address of the Statutory Auditor's Firm)
(Telephone/fax numbers, including country and city codes)
 (E-mail of the Statutory Auditor)
 (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

- * Annual Construction Turnover should be substantiated through (i) Audited Balance Sheets of the relevant financial years, provided the figures, are stated in the Balance Sheet(s) or (ii) Specific certificate(s) issued by its Statutory Auditors or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation or (iii) Certificate(s) issued by the Clients
- ** Refer Annexure-1 for date and source of exchange rate.
- *** Total INR equivalent for 5 years divided by 5.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-11: CCC
CURRENT CONTRACT COMMITMENTS
(Eligibility and Qualification Criteria No 3.1 & 3.3)

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Applicant's Name: *[insert full name]*

S. No.	Name of the Contract	Joint venture Percentage Share (%)	Commencement Date as per Contract	Completion Date as per Contract	Period of completion of work (in months)	Total Contract Value with currency	Currency Conversion Rate	Converted Contract Value (in INR Crore)	Work done up to 31 st Dec 2019 (in INR Crore)	Value of works outstanding as on 31 st Dec 2019 [Col. 9 minus Col. 10 (in INR Crore)]	Converted Contract Value Share of Applicant (in INR Crore)	Value of works outstanding as on 31 st Dec 2019 Share of Applicant (in INR Crore)	Working Capital requirement for 3 months* Share of Applicant (in INR Crore)	Fund based line of credit sanctioned for these contracts (in INR Crore)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
(A) List all current contracts in progress which have started before the closing of the latest submitted Audited Balance Sheet.														
1					N/A									N/A
2														
3														





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

S. No.	Name of the Contract	Joint venture Percentage Share (%)	Commencement Date as per Contract	Completion Date as per Contract	Period of completion of work (in months)	Total Contract Value with currency	Currency Conversion Rate	Converted Contract Value (in INR Crore)	Work done up to 31 st Dec 2019 (in INR Crore)	Value of works outstanding as on 31 st Dec 2019 [Col. 9 minus Col. 10 (in INR Crore)]	Converted Contract Value Share of Applicant (in INR Crore)	Value of works outstanding as on 31 st Dec 2019 Share of Applicant (in INR Crore)	Working Capital requirement for 3 months* Share of Applicant (in INR Crore)	Fund based line of credit sanctioned for these contracts (in INR Crore)
4														
...														
Total (A)														
(B) List all current contracts in progress which have been started or to be started after the closing of the latest submitted Audited Balance Sheet														
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
1														
2														
3														
Total (B)														
Total (A+B)														





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)

..... (UDIN)

..... (Full Name of the Statutory Auditor)

..... (Name of the Statutory Auditor's Firm)

..... (Complete Address of the Statutory Auditor's Firm)

..... (Telephone/fax numbers, including country and city codes)

..... (E-mail of the Statutory Auditor)

..... (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

Note:

1. Applicants should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued even if completion of such works spills over beyond completion period of this contract.
2. For the purpose of conversion of foreign currency into Indian Rupees (INR), Applicants shall use the Foreign Currency Reference Rates published on 31st Dec 2019. Refer Annexure-1 for source of Exchange rate.
3. The working capital requirements for 3 months shall be calculated on the basis of period of completion of work (i.e. dividing the value of work by period (in months) of completion to execute the work and multiplying by three).
4. The Documentary evidence of the amount and source of funds indicated in column 15 shall be submitted by the Applicant. In the absence of the supporting documentary evidence, the amount of funds indicated in column 15 against respective works shall not be considered.
5. The financial data in above prescribed format (FORM- 11: CCC) shall be certified by the Statutory Auditors of the Applicant or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

**FORM-12: DEBT
CERTIFICATE FOR CORPORATE DEBT RESTRUCTURING OR INSOLVENCY AND
BANKRUPTCY**

(Eligibility and Qualification Criteria No. 1.7 and Clause 2.2.1(h))

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

TO WHOM SO EVER IT MAY CONCERN

This is to certify that [*Legal name of the Applicant or the JV member, in case of JV and address*]:

(i) is not under the process of Resolution Plan of Debt Restructuring as per applicable law in the Applicant's country [*name of the country*] *,

or

(ii) is not under the process of 'Insolvency and Bankruptcy' proceedings as per applicable law in the Applicant's country [*name of the country*] *,

or

(iii) was under the process of Resolution Plan of Debt Restructuring and has resolved all debt restructuring issues with the Banks/Institutions as applicable law in the Applicant's country [*name of the country*] *,

or

(iv) is under the process of 'Insolvency and Bankruptcy' proceedings as per applicable law in the Applicant's country [*name of the country*] *,

(* Strike through not applicable.)

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (*Signature of the Statutory Auditor*)
..... (*UDIN*)
..... (*Full Name of the Statutory Auditor*)
..... (*Name of the Statutory Auditor's Firm*)
..... (*Complete Address of the Statutory Auditor's Firm*)
..... (*Telephone/ fax numbers, including country and city codes*)
..... (*E-mail of the Statutory Auditor*)
..... (*Seal of the Statutory Auditor*)

Membership No. of the Statutory Auditor:

Note: *The information in above prescribed format (FORM-12: DEBT) shall be certified by the Statutory Auditors of the Applicant or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation.*





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

**FORM-13: CAPACITY
TENDERING CAPACITY**

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Maximum annual construction turnover in the last five (5) financial years	Value of works outstanding as on 31 st Dec 2019 (in INR crore)	No. of years prescribed for Completion of the works for which tenders are invited	Available Tendering Capacity (in INR crore)	Remarks [minimum available Tendering Capacity shall be Rs 980 crore]
A	B	N	(A x N x 2) – B	
Amount as per FORM-10: FIN-2	Total as per Column 13 of FORM-11: CCC	2		

Note:

- Minimum Available Tendering Capacity of Rs. 980 Crore (INR Nine Hundred Eighty Crores Only) for construction work

Available Tendering Capacity= (A x 2 x 2) – B;

For and on behalf of [Name of the Applicant]

.....
[Signature]

.....
[Seal of the Applicant]

.....
[Name of the person duly Authorised to sign the Application on behalf of the Applicant]

.....
[Designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-14: POA-1
FORMAT FOR POWER OF ATTORNEY FOR SIGNING OF APPLICATION AND TENDER²⁷
(Refer Clause 2.10.2(n))

Know all men by these presents, We..... *[name of the firm and address of the registered office]* do hereby constitute, nominate, appoint and authorise Mr/Ms *[name]*, son/ daughter/ wife of and presently residing at, who is presently employed with us and holding the position of, as our true and lawful attorney (hereinafter referred to as the "Attorney") to do in our name and on our behalf, all such acts, deeds and things as are necessary or required in connection with or incidental to submission of our application for pre-qualification and submission of our Tender for the Works proposed by the (the "Employer") including but not limited to signing and submission of all applications, Tenders and other documents and writings, participate in Pre-Applications and other conferences and providing information/ responses to the Employer, representing us in all matters before the Employer, signing and execution of all contracts including the Contract Agreement and undertakings consequent to acceptance of our Tender, and generally dealing with the Employer in all matters in connection with or relating to or arising out of our Tender for the said Contract and/ or upon award thereof to us and/or till the entering into of the Contract Agreement with the Employer.

AND we hereby agree to ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us.

IN WITNESS WHEREOF WE,, THE ABOVE-NAMED PRINCIPAL HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF 20...

For
(Signature, name, designation and address)

Accepted

²⁷ To be submitted in original.
RFQ No: DGSB/NAD/02/01 of 2020





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

.....
(Signature)

(Name, Title and Address of the Attorney)

Witnesses:

1.

2.

(Notarised)

Notes:

1. *The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.*
2. *Wherever required, the Applicant should submit for verification the extract of the charter documents and documents such as a board or shareholders' resolution/ power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Applicant.*
3. *For a Power of Attorney executed and issued overseas, the document will also have to be legalised by the Indian Embassy and notarised in the jurisdiction where the Power of Attorney is being issued. However, the Power of Attorney provided by Applicants from countries that have signed the Hague Legislation Convention 1961 are not required to be legalised by the Indian Embassy if it carries a conforming Apostille certificate.*





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM- 15: POA-2
POWER OF ATTORNEY FOR AUTHORISED REPRESENTATIVE OF SPECIALISED SUBCONTRACTOR²⁸
(Refer Clause 2.10.2)

Whereas the (“the Employer”) has invited applications from interested parties for the works (the “Works”).

Whereas,, (the “Applicant”) is interested in Tendering for the Works in accordance with the terms and conditions of the Request for Qualification document (RFQ), Tendering Document and other connected documents in respect of the Works, and

Whereas, the Employer has permitted Applicants to include Specialised Subcontractor(s) in their Application, and the Applicant has approached us and we have shown our interest to participate as Specialised Subcontractors in its Application, and as it is necessary for the Specialised Subcontractor to designate a person with all necessary power and authority to do for and on behalf of the Specialised Subcontractor, all acts, deeds and things as may be necessary in connection with the Applicant’s Application and Tender for the Works and its execution.

NOW THEREFORE KNOW ALL MEN BY THESE PRESENTS

Know all men by these presents, We..... [name of the specialised subcontractor and address of the registered office] do hereby constitute, nominate, appoint and authorise Mr/ Ms [name], son/daughter/wife of and presently residing at, who is presently employed with us and holding the position of, as our true and lawful attorney (hereinafter referred to as the “Attorney”) to do in our name and on our behalf, all such acts, deeds and things as are necessary or required in connection with or incidental to submission and/or expression of our consent, willingness, declaration and undertaking etc. required pursuant to Applicant’s application for pre-qualification and submission of their Tender for the Works proposed by the (the “Employer”) including but not limited to signing and submission of all applications, documents and writings, participate in Pre-Applications and other conferences and providing information/ responses to the Applicant/ Employer, representing us in all matters before the Applicant/ Employer, and generally dealing with the Applicant/ Employer in all matters in connection with or relating to or arising out of the Applicant’s Application and Tender for the said Contract and/ or upon award thereof to the Applicant and/or till the entering into of the Contract Agreement with the Employer.

²⁸ To be submitted in original.
RFQ No: DGSB/NAD/02/01 of 2020





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

AND we hereby agree to ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us.

IN WITNESS WHEREOF WE,, THE ABOVE-NAMED PRINCIPAL HAVE EXECUTED THIS POWER OF ATTORNEY ON THISDAY OF 20.....

For
(Signature, name, designation and address)

Accepted
.....
(Signature)

(Name, Title and Address of the Attorney)

Witnesses:

- 1.
- 2.

.....
(Executants)
(To be executed by the Specialised Subcontractor(s))

(Notarised)

Notes:

- 1. The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.
- 2. Also, wherever required, the Specialised Subcontractor should submit for verification the extract of the charter documents and documents such as a board or shareholders' resolution/ power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Specialised Subcontractor.
- 3. For a Power of Attorney executed and issued overseas, the document will also have to be legalised by the Indian Embassy and notarised in the jurisdiction where the Power of Attorney is being issued. However, the Power of Attorney provided by Specialised Subcontractor from countries that have signed the Hague Legislation Convention 1961 are not required to be legalised by the Indian Embassy if it carries a conforming Apostille certificate.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-16: SOL

SOLVENCY CERTIFICATE* ON THE BANK'S LETTERHEAD TO BE FURNISHED BY APPLICANT ALONG WITH APPLICATION FROM INDIAN NATIONALISED/ SCHEDULED BANKS OR PRIVATE BANKS PERMITTED TO DO BUSINESS WITH GOVERNMENT OF INDIA/ FOREIGN BANKS AUTHORISED BY RESERVE BANK OF INDIA TO OPERATE IN INDIA.

(Refer Clause 2.10.2(p))

No.

Date:

To,
Director General, Project Seabird,
Integrated HQs of MoD (Navy),
2nd. Floor, West Block-5,
R. K. Puram, New Delhi - 110066

Subject: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

Dear Sir

This is to certify that to the best of our knowledge and information M/s. _____ having address _____, a customer of our bank are/is respectable and can be considered solvent upto Rs _____ (Rupees _____) /financially sound for any engagement upto Rs. _____ (Rupees _____). This certificate is issued without any guarantee or responsibility on the bank or any of the officers.

Place: (Signature of Bank Manager)

Date:

(Name, Personal Code No. of Signatory and Seal of Bank)

Note: In case of Partnership firm, certificate to include names of all partners as per record of bank.

*The solvency certificate shall be dated not earlier than the RFQ issue date.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

FORM-17: CHECKLIST

CHECK LIST FOR THE INFORMATION TO BE FURNISHED BY THE APPLICANT ALONG WITH APPLICATION

(Refer Clause 2.10.2(q))

Sub: Application for pre-qualification for Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

S. No.	Description	Ref.	Put <input type="checkbox"/> or X as Applicable	Page No.	Remarks
1.	One original set of Application in spiral/hard bound	Clause 2.9.2 & 2.9.4			
2.	Three copies of Application in spiral/hard bound	Clause 2.9.2 & 2.9.4			
3.	Two scanned soft copies in Compact Disc (CD).	Clause 2.9.2			
4.	All pages are machine numbered and signed by Authorised Representative on each page of the Application including each FORM and other attachments in blue ink.	Clause 2.9.3 & 2.9.4			
5.	FORM-01: APPLICATION - Application for Pre-Qualification	Clause 2.10.2(a)			
6.	FORM-02: PARTICULARS-1 - Particulars of the Applicant	Clause 2.10.2(b)			
7.	FORM-03: PARTICULARS-2 - Particulars of the Specialised Subcontractor(s), if any	Clause 2.10.2(c)			
8.	Copy of Certificate of Incorporation and/or registration documents with Memorandum of Association, Articles of Association / Partnership deed	Clause 2.10.2(r)			
9.	Copy of documents establishing legal, financial autonomy and operation under commercial law, in case of government-owned entity.	FORM-02: PARTICULARS-1, FORM-03: PARTICULARS-2			
10	FORM-4: Letter of Consent from the Specialised	Clause 2.10.2(d)			





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

S. No.	Description	Ref.	Put <input type="checkbox"/> or X as Applicable	Page No.	Remarks
	Subcontractor(s)				
11	FORM-05(A): NON-PERFO, FORM-05(B): LITIGATION and FORM-05(C) LITIGATION HIST for Historical Contract Non-Performance, Pending Litigation and Litigation History	Clause 2.10.2(e)			
12	FORM-06: EXP-1 - General Construction Experience	Clause 2.10.2(f)			
13	FORM-07: EXP-2 - Similar Works Experience	Clause 2.10.2(g)			
14	Certificate(s) from client of the Applicant for which Similar Works Experience are being claimed in FORM-07: EXP-2	Clause 2.10.2(g)			
15	FORM-08: EXP-3 - Construction Experience in Key Activities	Clause 2.10.2(h)			
16	Certificate(s) from client of the Applicant for which Construction Experience in Key Activities are being claimed in FORM-08: EXP-3	Clause 2.10.2(h)			
17	FORM-09: FIN-1 - Financial Situation of the Applicant	Clause 2.10.2(i), Criteria No. 3.1 & read with Foot Note 8 in Section 3.			
18	Audited Balance Sheets or, if not required by the laws of the Applicant's country, other Financial Statements for 5 (five) years preceding the Application Due Date.	Criteria No. 3.1 & read with Foot Note no 8 in Section 3.			
19	FORM-10: FIN-2 - Average Annual Construction Turnover.	Clause 2.10.2(j), Criteria No. 3.2 & read with Foot Note no 9 in Section 3.			
20	FORM-11: CCC - Current Contract Commitments; duly certified by a Statutory Auditor or certified in accordance with local legislation	Clause 2.10.2(k)			





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

S. No.	Description	Ref.	Put \checkmark or X as Applicable	Page No.	Remarks
21	FORM-12: DEBT - Certificate for Corporate Debt Restructuring; duly certified by a Statutory Auditor or certified in accordance with local legislation	Clause 2.10.2(l), read with Note 1 of FORM- 12.			
22	FORM-13: CAPACITY - Calculation of Available Tendering Capacity.	Clause 2.10.2(m) & Criteria No. 3.3 of Section 3			
23	FORM-14: POA-1 - Power of Attorney for signing the Application & Tender (In Original)	Clause 2.10.2(n)			
24	Extract of charter documents and documents such as a board or shareholder's resolution/power of attorney in favour of the person executing Power of Attorney for the delegation of power on behalf of the Applicant	Clause 2.10.2(n), read with Note 2 of FORM- 14: POA-1			
25	Power of Attorney (legalised by the Indian Embassy), in case of Power of Attorney executed and issued overseas and notarised in the jurisdiction where the Power of Attorney is being issued. (or) Apostille certificate in terms of Hague Legislation Convention 1961	Note 3 of FORM-14: POA-1			
26	FORM-15: POA- 2 - Power of Attorney for authorised representative of Specialised Subcontractor	Clause 2.10.2(o)			
27	Extract of charter documents and documents such as a board or shareholder's resolution/ power of attorney in favour of the person executing Power of Attorney for the delegation of power on behalf of the Specialised Subcontractor	Clause 2.10.2(o), read with Note 2 of FORM- 15: POA-2			
28	Power of Attorney (legalised by the Indian Embassy), in case of Power of Attorney executed and issued overseas and notarised in the jurisdiction where the	Note 3 of FORM-15: POA-2			





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

S. No.	Description	Ref.	Put \sqrt or X as Applicable	Page No.	Remarks
	Power of Attorney is being issued. (or) Apostille certificate in terms of Hague Legislation Convention 1961				
29	FORM-16: Solvency Certificate	Clause 2.10.2(p)			
30	FORM-17: CHECKLIST	Clause 2.10.2(q)			
31	Duly signed RFQ (Blank)	Clause 2.10.2(v)			
32	Duly signed correspondence, addendum, corrigendum issued by the Employer in relation to the Subject RFQ, in token of receipt and acceptance	Clause 2.10.2(v)			
33	Long-term credit rating	Clause 2.10.2(w)			
34	Any other document required to be submitted as per the RFQ				

Note: The Applicants are advised NOT to submit company brochures, profile or similar literature of their organisation, because such material shall not be considered for evaluation.





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ANNEXURES:

S.NO	ANNEXURES	DESCRIPTION
1.	ANNEXURE-1	EXCHANGE RATES
2.	ANNEXURE-2	FORMAT FOR APPLYING FOR VISITS TO IHQ MoD (NAVY)/ NAVAL BASE KARWAR





Project Seabird Phase IIA

RFQ: Construction of Armament storage facilities, Workshops, Office buildings and allied services, MEP, Security surveillance including Roads, Bridges and other associated works for Project Seabird Phase IIA at Site-E, Naval Base, Karwar under DC-NAD works package.

ANNEXURE-1

EXCHANGE RATES

Wherever it requires an Applicant to state a monetary amount, Applicants should indicate the INR equivalent using the rate of exchange determined as follows:

1. For construction turnover or financial data required for each year - exchange rate prevailing on the last day of the respective Financial Year (in which the amounts for that year is to be converted) was originally established.
2. Value of single contract - Exchange rate prevailing as on 31st Dec 2019.
3. For the purpose of conversion of foreign currency to Indian rupees (INR), the Applicants shall use the reference rates of foreign currency published by:
 - (a) Reserve Bank of India (www.rbi.org.in).
 - (b) In case the exchange rate is not published by Reserve Bank of India, then the "selling rate" of such currency shall be taken from the web site: <http://www.oanda.com>.
 - (c) In case a particular currency rate is not available on the above website also, then the "mid-market" rate of such currency shall be taken from the following internet web site: <http://www.xe.com>.
4. Any error in determining the exchange rates in the Application may be corrected by the Employer.



