



Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

**DIRECTOR GENERAL PROJECT SEABIRD
IHQ-MoD (NAVY)
NEW DELHI**

**REQUEST FOR QUALIFICATION (RFQ)
FOR
PROVISION OF INFRASTRUCTURE WORKS
CONSISTING OF ROADS & BRIDGES, DRAINS,
WATER SUPPLY, SEWERAGE, SLOPE PROTECTION,
WASTE MANAGEMENT, POWER SYSTEM
INCLUDING WATER AND SEWAGE TREATMENT
PLANTS AT NAVAL BASE KARWAR UNDER OTHER
WORKS PACKAGE (PMC01) OF PHASE-IIA OF
PROJECT SEABIRD**

RFQ NO.: DGSB/PMC01/1 OF 2018

APRIL 2018





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GLOSSARY

Applicant	As defined in Clause 1.5.1
Application Due Date	As defined in Clause 1.4.4
Conflict of Interest	As defined in Clause 2.2.1 (c)
Employer	As defined in Clause 1.4.1
Estimated Cost	As defined in Clause 1.4.3
Project	As defined in Clause 1.2.1
Qualification	As defined in Clause 1.5.1
Qualification Stage	As defined in Clause 1.5.1
Request for Proposals	As defined in Clause 1.5.1
Similar Work(s)	As defined in Criteria No. 4.2 of Section 3
Specialised Subcontractor	As defined in Clause 2.2.4
Tender Documents	As defined in Clause 1.5.3
Tender Price	As defined in Clause 1.5.4
Tendering Process	As defined in Clause 1.5.1
Tender Stage	As defined in Clause 1.5.1
Tenders	As defined in Clause 1.5.3
Works	As defined in Clause 1.4.1

The words and expressions beginning with capital letters and defined in this document shall, unless repugnant to the context, have the meaning ascribed thereto herein above.





SECTION 1

1 INTRODUCTION

1.1 Background

1.1.1 In the year 1986, the Indian Navy established a Project, code named "Seabird", to undertake planning for the establishment of the third major Naval Base at Karwar (Karnataka), located about 120 km south of Panjim, Goa on the west coast of India. A Master Plan for Project Seabird was completed in April 1990.

1.1.2 The Master Plan recommended that the Naval Base at Karwar be developed in two phases. In Phase I, facilities and infrastructure were required to be developed for the basing of certain ships/ submarines and support/ yard craft.

1.1.3 Project Seabird Phase IIA works are now being planned to enable increased berthing for ships/ submarines and support/yard craft at Karwar. Accordingly, existing facilities at Karwar will require considerable augmentation for efficient basing, operations, maintenance and support of a large, modern fleet. The key Phase IIA facilities and infrastructure are expected to be delivered progressively over seven to eight years.

1.2 Objective of Project Seabird Phase IIA

1.2.1 Project Seabird Phase IIA works involve construction of a wide range of new facilities and augmentation of certain existing facilities in Naval Base Karwar (the "Project"). Detailed Project Reports (DPRs) have been prepared and the required facilities have been divided into various work packages based on nature of work, expertise required and location.

1.2.2 The envisaged facilities are intended to provide requisite berthing and operational Fleet Base facilities/ complexes for the operation, administration and Command & Control of capital warships/submarines and associated support/yard craft at the Naval Base, Karwar.

1.3 Project Site Description

1.3.1 The Project work is to be performed in the Naval Base, Karwar region including a large geographical area along the sea coast at Karwar.

1.4 Objective of Pre-Qualification

1.4.1 The President of India acting through Director General, Project Seabird (the "Employer") has decided to undertake Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage





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Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird (the "Works"), through an item rate contract for construction and has decided to carry out the Tendering Process for selection of a Tenderer to whom the Contract may be awarded. Brief particulars of the Works are as follows:



FIGURE 1 – PROJECT SITES LOCATION IN SATELLITE MAPS – GOOGLE EARTH

Name of the Works	Indicative cost of Works (In INR Crore)
Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird	830

The Employer intends to pre-qualify and short-list suitable Applicants in accordance with the procedure set out herein who will be eligible for participation in the Tender Stage and ultimately, for contract award.

1.4.2 General description of the proposed works is given in Section 6: Scope of Works. However, detailed descriptions of scope of works shall be provided with the Tender Documents.





1.4.3 Indicative construction cost of the Works (the "Estimated Cost") is subject to revision.

1.4.4 The Employer shall receive Applications pursuant to this RFQ in accordance with the terms set forth herein as modified, altered, amended and clarified from time to time by the Employer. All Applications shall be prepared and submitted in accordance with such terms on or before the date specified in Clause 1.8 for submission of Applications (the "Application Due Date").

1.5 Brief Description of Tendering Process

1.5.1 The Employer has adopted a two-stage tendering process (collectively referred to as the "Tendering Process") for selection of the Tenderer for award of the Contract. The first stage (the "Qualification Stage") of the process involves qualification (the "Qualification") of interested parties who make an Application in accordance with the provisions of this RFQ (the "Applicant". At the end of this stage, the Employer shall announce a list of all pre-qualified Applicants who shall be eligible for participation in the second stage of the Tendering Process (the "Tender Stage") comprising of Request for Proposals (the "Request for Proposals" or "RFP").

1.5.2 In the Qualification Stage, Applicants would be required to furnish all the information specified in this RFQ. Only those Applicants that are pre-qualified and short-listed by the Employer shall be invited to submit their Tenders for the Works.

1.5.3 In the Tender Stage, the Tenderers shall be called upon to submit their technical tender and financial tender (the "Tenders") in accordance with the RFP and other documents to be provided by the Employer (collectively the "Tender Documents"). The Tender Documents for the Works will be provided to every pre-qualified Applicant.

1.5.4 The Contract shall be awarded to the Tenderer who is technically suitable as per the approved technical evaluation report from concerned competent authority and quoted the lowest Tender Price (the "Tender Price"). Details of the process to be followed at the Tender Stage and the terms thereof will be spelt out in the Tender Documents.

1.6 Queries to RFQ / Request for Clarification

1.6.1 Any queries or request for additional information concerning this RFQ shall be submitted in writing by speed post/ courier and by e-mail so as to reach the officer designated in Clause 2.10.4 by the Application Due Date specified in Clause 1.8. The envelopes/ communications shall clearly bear the following identification/ title:





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"Queries/ Request for Clarification Information: RFQ for PMC01 Contract Package"

1.7 Address for Submission/Opening of Applications

Director General

Project Seabird

Integrated HQs of MoD (Navy)

2nd Floor, West Block-5

R K Puram, New Delhi - 110066

Telephone No: 011 2610 2357, 011 2618 1172

Fax: 011 2610 0608

E-Mail Address: dgpsb@navy.gov.in

Website: www.indiannavy.nic.in / www.indiannavy.gov.in

1.8 Schedule of Pre-Qualification (PQ) Process

The Employer shall endeavour to adhere to the following schedule:

S. No.	Event Description	Date
1	Last date for receiving queries	03-05-2018
2	Pre-Application Conference	28-05-2018 at 1000 hrs IST
3	Employer response to queries	18-06-2018
4	Application Due Date	10-07-2018 1500 hrs IST
5	Opening of Applications	10-07-2018 1530 hrs IST





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1.9 Pre-Application Conference

A Pre-Application conference will be held to clarify the issues related to this RFQ. The date, time and venue of the Pre-Application Conference shall be:

Date and Time: As per S. No. 2 of Clause 1.8 above

Venue: AECOM Office,
3rd Floor, Tower A, Building No. 8,
DLF Cyber City, DLF Phase II,
Gurugram – 122002, Haryana, India





SECTION 2

2 INSTRUCTIONS TO APPLICANTS

2.1 Scope of Application

2.1.1 The Employer wishes to receive Applications for Qualification in order to short-list experienced and capable Applicants for the Tender Stage.

2.1.2 Short-listed Applicants may be subsequently invited to submit the Tenders for the Works.

2.2 Eligibility of Applicants

2.2.1 For determining the eligibility of Applicants for their pre-qualification hereunder, the following shall apply:

- (a) The Applicant for pre-qualification shall be a single entity. No Applicant can be a Specialised Subcontractor of another Applicant. However, the Applicant(s) can have common Specialised Subcontractor(s) in their Application.
- (b) An Applicant may be a private entity or government-owned entity .
- (c) An Applicant shall not have a conflict of interest (the "**Conflict of Interest**") that affects the Tendering Process. Any Applicant found to have a Conflict of Interest shall be disqualified. An Applicant shall be deemed to have a Conflict of Interest affecting the Tendering Process, if:
 - (i) the Applicants in two different Applications have controlling shareholders in common (however this provision is not applicable for government owned entity¹); or
 - (ii) the Applicant submits more than one Application for pre-qualification; or
 - (iii) the Applicant and/or his proposed Specialised Subcontractor (if any) has participated as a consultant in the preparation of the design or technical specifications of the Works that are subject of this RFQ; or
 - (iv) such Applicant, or any associate thereof receives or has received any direct or indirect subsidy, grant, concessional loan or

¹ "Government owned entity" means an entity controlled by Central/State Government in India or any entity controlled by these Government(s)





- subordinated debt from any other Applicant, or any associate thereof or has provided any such subsidy, grant, concessional loan or subordinated debt to any other Applicant, or any associate thereof (however this provision is not applicable for government owned entity); or
- (v) such Applicant has the same Authorised Representative for purposes of this Application as any other Applicant.
- (d) An Applicant or his proposed Specialised Subcontractor (if any) shall not be under suspension from tendering by the Government ("the Government" means Central/State Government in India, or any entity controlled by it, or Government of the country where the Applicant is incorporated) as the result of the execution of a Bid-Securing Declaration.
- (e) Any Applicant or his proposed Specialised Subcontractor (if any) who has been barred from tendering by the Government and the bar subsist as on the Application Due Date, would not be eligible to submit an Application.
- (f) In regard to matters relating to security and integrity of the country, the Applicant / proposed Specialised Subcontractor (if any) should not have been charge-sheeted by any agency of the Government of India or any State in India or convicted by a Court of Law.
- (g) No investigation, related to security and integrity of the country, by a regulatory authority should be pending either against the Applicant proposed Specialised Subcontractor (if any) or against CEO(s)/ directors/ managers/ employees of Applicant and/or Specialised Subcontractor (if any).
- (h) No corporate debt restructuring is in process and/or no unresolved debt restructuring issues with the Banks/Institutions as of 31st March 2018. The Statutory Auditor's Certificate in this regard shall be furnished with the Application (refer to **FORM-12: DEBT**).
- (i) The Applicant and/or his proposed Specialised Subcontractor (if any) shall be subjected to Employer's security clearance and screening.
- (j) An Applicant shall provide such evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.

2.2.2 BLANK





2.2.3 BLANK

2.2.4 Specialised Subcontractors

In case any Specialised Subcontractor(s) is proposed by the Applicant in his Application, it shall comply with the following additional requirements:

- (a) Not more than one (01) Specialised Subcontractor shall be considered for one key construction activity described in Criteria No. 4.3 of Section 3: Eligibility and Qualification Criteria.
- (b) The Specialised Subcontractor(s) can be proposed by the Applicants for ONLY any two (02) out of the four (04) key construction activities described in Criteria No. 4.3 of the Section 3: Eligibility and Qualification Criteria, and such Specialised Subcontractor(s) shall also meet the corresponding qualification requirements. The Applicant shall clearly identify such activities and submit details of his Specialised Subcontractor(s) and their credentials in the relevant Form(s).
- (c) No change of Specialised Subcontractor shall be permitted by the Employer during the Qualification Stage;
- (d) During Tender Stage, the Applicant may be permitted only once, by the Employer, to change the Specialised Subcontractor(s).
- (e) Blank
- (f) For the case described in paragraph (d) above, the request for change, along with the relevant documents, should be submitted to the Employer no later than 30 (thirty) days after the date of Invitation for Tender (IFT). In such cases, the Tenderer's qualification, as applicable on the Application Due Date, shall be again evaluated and the Tenderer should meet the qualification criteria, set forth in the RFQ Document failing which his request shall be rejected.
- (g) The key construction activity(s) described in Criteria No. 4.3 of the Section 3: Eligibility and Qualification Criteria shall be executed only by the respective proposed Specialised Subcontractor(s), if any, duly approved by the Employer.

2.3 Applications and Costs Thereof

- 2.3.1 The Applicants shall be responsible for all of the costs associated with the preparation of their Applications and their participation in the Tendering Process. The Employer will not be responsible or in any way liable for such





costs, regardless of the conduct or outcome of the Tendering Process.

2.4 Right to Accept or Reject Any or All Applications/ Tenders

- 2.4.1 Notwithstanding anything contained in this RFQ, the Employer reserves the right to accept or reject any Application and to annul the Tendering Process and reject all Applications, at any time without any liability or any obligation for such acceptance, rejection or annulment, and without assigning any reasons thereof.
- 2.4.2 Employer reserves its right to call for original of the supporting documents or visit Applicant's offices/project sites (either completed or ongoing) for verification, if so deemed necessary and also to cross check for any details as furnished by the Applicants from their previous clients/consultants etc. Applicants shall have no objection whatsoever in this regard.
- 2.4.3 Employer reserve the right to make use of available in-house data/information, if any, also for evaluation of Pre-Qualification Applications.
- 2.4.4 The Employer reserves the right to reject any Application and/ or Tender if at any time a material misrepresentation is made or uncovered.
- 2.4.5 In case it is found during the evaluation of PQ Applications/Tenders or at any time before signing of the Contract, or if the work is awarded, or after its execution and during the period of subsistence thereof, including the Defects Notification Period, that the Applicant has made material misrepresentation, the Applicant shall be disqualified forthwith if not yet appointed as the Contractor. If the Applicant has already been issued the LOA or has entered into the Contract, as the case may be, the same shall, notwithstanding anything to the contrary contained therein or in this RFQ, be liable to be terminated without the Employer being liable in any manner whatsoever to the Applicant/Contractor and without prejudice to any other right or remedy which the Employer may have under this RFQ, the Tender Documents, the Contract or under applicable law.

2.5 Documents

2.5.1 Contents of the RFQ

This RFQ comprises of the following:

- Invitation for Qualification
- Section 1: Introduction
- Section 2: Instructions to Applicants
- Section 3: Evaluation and Qualification Criteria





- Section 4: Fraud & Corrupt Practices
- Section 5: Miscellaneous
- Section 6: Scope of Works
- Section 7: Forms
- Annexure-1: Exchange Rates
- Annexure-2: Format for Application for Visit to IHQ of MoD (Navy)/Naval Base Karwar

This RFQ should be read in conjunction with any Addendum issued in accordance with Clause 2.7.

The Applicant is expected to examine all instructions, appendices, forms and clauses in the RFQ and to furnish with its Application all information or documentation as required in the RFQ.

2.6 Pre-Application Queries and Request for Clarifications

2.6.1 Applicants requiring any clarification on the RFQ may notify the Employer in writing by speed post/ courier and by e-mail in accordance with Clause 1.6. In addition they are advised to provide an editable soft copy (MS Word) of the queries/request for clarifications raised by them.

2.6.2 The Applicants are further advised to use the following format for submitting their queries or request for clarification:

Name of the Applicant:					
Query No.	Applicant's Code*	Reference to RFQ		Existing Provision in the RFQ	Query or Clarification Sought
		Clause No.	Page No.		
(1)	(2)	(3)	(4)	(5)	(6)

* To be filled by the Employer





2.6.3 The Employer will upload responses to the queries/clarifications sought on the official website (www.indiannavy.nic.in/ www.indiannavy.gov.in) of the Employer, without identifying the source of queries.

2.6.4 The Employer reserves the right not to respond to any question or provide any clarification, in its sole discretion, and nothing in this Clause shall be taken or read as compelling or requiring the Employer to respond to any question or to provide any clarification.

2.7 Amendment of Request for Qualification

2.7.1 At any time prior to the Application Due Date, the Employer may, for any reason, whether at its own initiative or in response to clarifications requested by Applicant(s), modify the RFQ by the issuance of Addenda.

2.7.2 Any addendum issued shall be part of the RFQ and shall be uploaded on the official website (www.indiannavy.nic.in/www.indiannavy.gov.in) of the Employer.

2.7.3 To give prospective Applicants reasonable time in which to take an addendum into account in preparing their Applications, the Employer may, at its discretion, extend the Application Due Date for the submission of the Application.

2.8 Preparation and Submission of Application

2.8.1 Language

The Application and all related correspondence and documents in relation to the prequalification process shall be in English language only. Supporting documents and printed literature furnished by the Applicant with the Application may be in any other language provided these are accompanied with translations of all the pertinent passages in the English language, duly authenticated and certified by the Applicant. Supporting materials, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.

2.9 Format and Signing of Application

2.9.1 The Applicant shall provide all the information sought under this RFQ. Incomplete and /or conditional Applications shall be liable to rejection.

2.9.2 The Applicant shall prepare 1 (one) original set of the Application (together with the documents required to be submitted pursuant to this RFQ) and clearly marked as "ORIGINAL". In addition, the Applicant shall submit 03 (three) copies of such Application and documents, which shall be marked as





"COPY". The Applicant shall also provide two (2) scanned soft copies thereof on a Compact Disc (CD), in envelope marked "ORIGINAL". In the event of any discrepancy between the original and the copy, the original shall prevail.

- 2.9.3 The Application and its copy shall be typed or written in indelible ink. It shall be signed by the Authorised Representative of the Applicant who shall also initial each page of the Application (including each FORM) in blue ink. In case of printed and published documents, only the cover page or first page shall be initialled. All the alterations, omissions, additions or any other amendments made to the Application shall be initialled by the person(s) signing the Application. Each page of the Application and attached documents should bear the seal of the Applicant.
- 2.9.4 The Application shall contain machine number on all the pages and shall be in spiral or hard bound form only in a manner that does not allow replacement of any page. The Applicants are further advised to prepare a table of contents in the beginning of each volume of documents referring the page numbers of the indexed items.

2.10 Sealing and Marking of Applications

2.10.1 The Applicant shall submit the Application in the format specified in **FORM-01: APPLICATION**, together with the documents specified in Clause 2.10.2, and seal it in an envelope and mark the envelope as "APPLICATION". The Applicant shall seal the original and the copies of the Application, together with their respective enclosures, in separate envelopes duly marking the envelopes as "ORIGINAL" and "COPY". The envelopes shall then be sealed in an outer envelope which shall also be marked in accordance with Clauses 2.10.3 and 2.10.4.

2.10.2 Each envelope shall contain:

- (a) Application for Pre-qualification in the prescribed format (**FORM-01: APPLICATION**) along with supporting documents;
- (b) Particulars of the Applicant (**FORM-02: PARTICULARS-1**);
- (c) In case the Applicant proposes Specialised Subcontractor, particulars of the Specialised Subcontractor(s) (**FORM-03: PARTICULARS-2**);
- (d) In case the Applicant proposes Specialised Subcontractor(s), Letter of Consent from the Specialised Subcontractor(s) (**FORM-04: CONSENT**);
- (e) Details of Historical Contract Non-Performance, Pending Litigation and Litigation History [(**FORM-05(A): NON-PERFO**, **FORM-05(B): LITIGATION** and **FORM-05(C): LITIGATION HIST**)]





- (f) Details of General Construction Experience (**FORM-06: EXP-1**)
- (g) Similar Works Experience details (**FORM-07: EXP-2**) along with supporting documents such as Client's Certificate etc.;
- (h) Construction Experience in Key Activities details (**FORM-08: EXP-3**); along with supporting documents such as Client's Certificate etc.
- (i) Financial Situation of the Applicant (**FORM-09:FIN-1**);
- (j) Average Annual Construction Turnover (**FORM-10: FIN-2**);
- (k) Details of Current Contracts Commitments (**FORM-11: CCC**);
- (l) Certificate for Corporate Debt Restructuring (**FORM-12: DEBT**);
- (m) Data and calculations for Tendering Capacity (**FORM-13: CAPACITY**);
- (n) Power of Attorney in favour of Authorised Representative (**FORM-14: POA-1**) along with extract of Board's Resolution or equivalent document in favour of the person executing the Power of Attorney;
- (o) In case the Applicant proposes Specialised Subcontractor(s), the Power of Attorney for authorised representative(s) of specialised subcontractor(s) (**FORM-15A: POA-3**) along with extract of Board's Resolution or equivalent document in favour of the person(s) executing the Power of Attorney;
- (p) BLANK
- (q) Check List as per format given in **FORM-17: CHECKLIST**;
- (r) Copy of Memorandum of Association and Articles of Association or equivalent documents, if the Applicant is a body corporate, and in case of partnership firm a copy of its partnership deed;
- (s) Copies of Applicant's Audited Balance Sheets or if not required by the laws of the Applicant's country, other Financial Statements² duly certified³ as acceptable to the Employer of the last five financial years;
- (t) Two (2) scanned soft copies of the Application on a Compact Disc (CD), in envelope marked "ORIGINAL";

² The Financial Statement submitted by the Applicant must mention Total Assets, Current Assets; Total Liabilities; Current Liabilities; Share Capital, Profit Before Taxes, Profit After Taxes

³ In case the accounts of the Applicant are not required to be statutorily audited, the Financial Statement shall be certified in accordance with local legislation.





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- (u) Any other document required in accordance with the RFQ Document; and
- (v) Duly signed RFQ Document (blank) and correspondence, addendum/corrigendum issued by the Employer in relation to the subject RFQ, in token of receipt and acceptance (*in only one copy, which shall be submitted in a separate envelope*).

2.10.3 Each of the envelopes shall clearly bear the following identification:

“APPLICATION FOR QUALIFICATION: PMC01 PACKAGE”

and shall clearly indicate the name and address of the Applicant. In addition, the Application Due Date should be indicated on the right hand corner of each of the envelopes.

2.10.4 Each of the envelopes shall be addressed to:

Director General, Project Seabird Integrated HQs of MoD (Navy) 2 nd Floor, West Block-5 R K Puram, New Delhi - 110066	
TELEPHONE NO:	011 2617 0507 011 2617 8664
FAX:	011 2610 0608
E-MAIL ADDRESS:	dgpsb@navy.gov.in
WEBSITE:	www.indiannavy.nic.in/ www.indiannavy.gov.in

2.10.5 If the envelopes are not sealed and marked as instructed above, the Employer assumes no responsibility for the misplacement or premature opening of the contents of the Application and consequent losses, if any, suffered by the Applicant.

2.11 Submission of Applications

2.11.1 Applicants may either submit their Applications by mail or by hand. Applications shall be received by the Employer at the address given in the above stated Clause 1.7 and no later than the deadline indicated in Clause





1.8.

2.11.2 Applications submitted by fax, telex, telegram or e-mail shall not be entertained and shall be rejected.

2.11.3 Applications received by the Employer after the specified time on the Application Due Date shall not be eligible for consideration and shall be returned unopened.

2.12 Modifications/ Substitution/ Withdrawal of Applications

2.12.1 The Applicant may modify, substitute or withdraw its Application after submission, provided that written notice of the modification, substitution or withdrawal is received by the Employer prior to the Application Due Date. No Application shall be modified, substituted or withdrawn by the Applicant after the Application Due Date.

2.12.2 The modification, substitution or withdrawal notice shall be prepared, sealed, marked, and delivered in accordance with Clause 2.10, with the envelopes being additionally marked "MODIFICATION", "SUBSTITUTION" or "WITHDRAWAL", as appropriate.

2.12.3 Any additional information supplied subsequent to the Application Due Date, unless the same has been expressly sought for by the Employer, shall not be considered for evaluation.

2.13 Opening of Applications

2.13.1 The Employer shall open all Applications at the place given in the above stated Clause 1.7 and at the date and time indicated in Clause 1.8.

2.13.2 The Employer shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.

2.13.3 Applications for which a notice of withdrawal has been submitted in accordance with Clause 2.12 shall not be opened.

2.13.4 The Employer will subsequently examine and evaluate Applications in accordance with the provisions set out below.

2.14 Procedure for Evaluation of Applications

2.14.1 Confidentiality of Evaluation Process

Information relating to the examination, clarification, evaluation, and recommendation for the short-listed pre-qualified Applicants shall not be disclosed to any person who is not officially concerned with the process or is not a retained professional advisor advising the Employer in relation to or





matters arising out of, or concerning the Tendering Process. The Employer will treat all information, submitted as part of Application, in confidence and will require all those who have access to such material to treat the same in confidence. The Employer may not divulge any such information unless it is directed to do so by any statutory entity that has the power under law to require its disclosure or is to enforce or assert any right or privilege of the statutory entity and/ or the Employer or as may be required by law or in connection with any legal process.

2.14.2 Responsiveness

The Employer reserves the right to reject any Application which is non-responsive with reference to the stipulations stated in the RFQ Document and no request for alteration, modification, substitution or withdrawal shall be entertained by the Employer in respect of such Application. Provided, however, that the Employer may, in its discretion, allow the Applicant to rectify any infirmities or omissions if the same do not constitute a material modification of the Application.

2.15 Clarifications

2.15.1 To facilitate evaluation of Applications, the Employer may, at its sole discretion, seek clarifications from any Applicant regarding its Application. Such clarification(s) shall be provided within the time specified by the Employer for this purpose. Any request for clarification(s) and all clarification(s) in response thereto shall be in writing.

2.15.2 If an Applicant does not provide clarifications sought under Clause 2.15.1 above within the prescribed time, its Application shall be evaluated based on the information and documents available at the time of evaluation of Application.

2.16 Evaluation of Applications and Prequalification of Applicants

2.16.1 The Employer shall use the factors, methods, criteria, and requirements defined in Section 3: Eligibility and Qualification Criteria, to evaluate the qualifications of the Applicants and any Specialised Subcontractors, and no other methods, criteria, or requirements shall be used. The Employer reserves the right to waive minor deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.

2.16.2 All Applicants who's Applications substantially meet or exceed the specified qualification requirements will be prequalified by the Employer.





- 2.16.3 An Applicant may be "conditionally prequalified," that is, qualified subject to the Applicant submitting or correcting certain specified nonmaterial documents or deficiencies to the satisfaction of the Employer.
- 2.16.4 Applicants that are conditionally prequalified will be so informed along with the statement of the condition(s) which must be met to the satisfaction of the Employer within four (4) weeks after the date of Invitation for Tenders.
- 2.16.5 The Employer shall notify all Applicants in writing of the names of those Applicants who have been prequalified or conditionally prequalified. In addition, those Applicants who have not been qualified will be informed separately.
- 2.16.6 Promptly after the notification of the results of the prequalification, the Employer shall invite Tenders from all the Applicants that have been prequalified or conditionally prequalified.
- 2.16.7 Tenderers shall be required to provide a Tender Security acceptable to the Employer in the form and an amount to be specified in the Tender Documents, and the successful Tenderer shall be required to provide a Performance Security as specified in the Tender Documents.
- 2.16.8 The qualifications of Specialised Subcontractors, only for corresponding key construction activities shall be considered in the evaluation of an Applicant.
- 2.17 Proprietary data**
- 2.17.1 All documents and other information supplied by the Employer or submitted by an Applicant to the Employer shall remain or become the property of the Employer. Applicants are to treat all information as strictly confidential and shall not use it for any purpose other than for preparation and submission of their Application. The Employer will not return any Application or any information provided along therewith except the Applications received late pursuant to Clause 2.11.3 or Applications withdrawn pursuant to Clause 2.12.2.





SECTION 3

3 ELIGIBILITY AND QUALIFICATION CRITERIA

This Section contains all the methods, criteria, and requirements that the Employer shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
1	ELIGIBILITY				
1.1	Conflict between Applicant and Specialised Subcontractor	No Applicant is a Specialised Subcontractor of another Applicant or vice versa in accordance with Clause 2.2.1(a)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION
1.2	Conflict of Interest	No conflicts of interest in accordance with Clause 2.2.1(c).	Must meet requirement	Must meet requirement	FORM-01: APPLICATION
1.3	Suspension Based on Execution of Bid Securing Declaration by the Government	Not under suspension based on execution of a Bid Securing Declaration in accordance with Clause 2.2.1(d)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION





ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
1.4	Barred by Government	Not barred by Government as on the Application Due Date in accordance with Clause 2.2.1(e)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION
1.5	Not Charge Sheeted, or Convicted by a court of Law	Not charge sheeted by any agency of the Government / convicted by a court of Law in relation to Security and Integrity of the country in accordance with Clause 2.2.1(f)	Must meet requirement	Must meet requirement	FORM-01: APPLICATION
1.6	No Investigation, related to Security and Integrity of the Country	No investigation, related to security and integrity of the country, by a regulatory authority, is pending in accordance with Clause 2.2.1(g).	Must meet requirement	Must meet requirement	FORM-01: APPLICATION
1.7	Corporate Debt	No corporate debt restructuring is in	Must meet requirement	N/A	FORM-12: DEBT





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
	Restructuring	process and/or no unresolved debt restructuring issues with the Banks/ Institutions as on 31 st March 2018 in accordance with Clause 2.2.1(h)			
2	HISTORICAL CONTRACT NON-PERFORMANCE, PENDING LITIGATION AND LITIGATION HISTORY⁴				
2.1	History of Non-Performing Contracts	Non-performance of a contract ⁵ did not occur as a result of contractor's default from 1 st April 2013 to 31 st March 2018.	Must meet requirement	N/A	FORM-05(A): NON-PERFO ⁶

⁴ Related to Construction Business only.

⁵ Non-performance, as decided by the Employer, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

⁶ FORM-05(A), (B) and (C) should be duly certified by the Statutory Auditors of the Applicant.





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RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
2.2	Pending Litigation	Applicant's financial position and prospective long term profitability still sound according to criteria established in Criteria No. 3.1 (ii) below and assuming that 50% of all pending litigation will be resolved against the Applicant	Must meet requirement	N/A	FORM-05(B): LITIGATION ⁶
2.3	Litigation History	No consistent history of court/arbitral award decisions against the Applicant ⁷ from 1 st April 2013 to 31 st March 2018.	Must meet requirement	N/A	FORM-05(C): LITIGATION HIST ⁶

⁷ The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution from 1st April 2013 to 31st March 2018. A consistent history of awards against the Applicant may result in disqualification of the Applicant.





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RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
3	FINANCIAL SITUATION AND PERFORMANCE				
3.1	Financial Capabilities	<p>The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements* ⁸ acceptable to the Employer, for the last 5 (five) financial years shall be submitted and must demonstrate the current soundness of the Applicant's financial position.</p> <p>* Note: The information submitted by the Applicant must mention Total Assets, Current Assets; Total</p>	Must be submitted	N/A	Audited balance sheets/financial statements of the last five years

⁸ In case the accounts of the Applicant are not required to be statutorily audited, the Financial Statement shall be certified in accordance with local legislation.





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RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
		Liabilities; Current Liabilities; Share Capital, Profit Before Taxes, Profit After Taxes			
		(i) The Applicant shall demonstrate that it has access to, or has available, liquid assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow requirements of Rs 69 (INR Sixty Nine) crore for the subject contract after meeting its cash flow requirements for current contract commitments.	Must meet requirement	N/A	FORM-09:FIN-1 & FORM-11: CCC
		(ii) The Applicant shall have	Must meet requirement	N/A	FORM-09:FIN-1





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RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
		positive Net Worth at the close of the latest financial year			
		(iii) Applicant has not incurred any loss in more than two financial years during the last five financial years.	Must meet requirement	N/A	FORM-09:FIN-1
3.2	Average Annual Construction Turnover	Minimum average annual construction turnover ⁹ equivalent to Rs 249 (INR Two Hundred Forty Nine) crore calculated as total certified payments received for contracts in progress and/or completed within the last five (5)	Must meet requirement	N/A	FORM-10:FIN-2

⁹ If the annual construction turnover is not clearly stated in the Audited Balance Sheets / Financial Statements of the Applicant, specific certificate issued by its Statutory Auditors or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation, should be submitted.





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RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
		financial years, divided by five (5).			
3.3	Bidding Capacity	The Applicant should have minimum available Bidding Capacity of Rs 830 (INR Eight Hundred Thirty) crore in accordance with the formula stated in FORM-11: CAPACITY	Must meet requirement	N/A	FORM-13: CAPACITY & FORM-11: CCC
4	EXPERIENCE				
4.1	General Construction Experience	Experience in construction contracts in the role of prime contractor, joint venture member/consortium member, sub-contractor for at	Must meet requirement	N/A	FORM-06: EXP-1 ¹⁰

¹⁰ FORM-06 should be duly certified by the Statutory Auditors of the Applicant. Alternatively, the Applicant can substantiate the 'General Construction Experience', which it has claimed through FORM-06, by submitting copies of Work Order(s)/ LOI(s)/LOA(s)/Client's Certificate(s) issued by the Client(s) and duly signed and stamped by the Authorised Representative of the Applicant.





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RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
		least 48 months in the period starting from 1 st April 2013 till Application Due Date.			
4.2	Similar Work(s) Experience ¹¹	A minimum number ¹² of similar works specified below that have been successfully completed ¹³ as a prime contractor or joint venture member ¹⁴ , or sub-contractor ¹⁴ from 1 st April 2011 till Application Due Date.	Must meet the requirement of at least 1 (one) contract of minimum value of Rs. 664 (INR Six Hundred Sixty Four) crore;	N/A	FORM-07: EXP-2
			OR	-	
			Must meet the requirement of at least 2 (two)	N/A	

¹¹ The Similar Works experience(s) of Group Companies, Parent Companies or Sister Companies shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the Similar Works experience(s) from the client(s) of the Applicant only.

¹² Summation of number of small value contracts (less than the value specified under requirement) to meet the overall requirement will not be accepted.

¹³ Only such works shall be considered which are completed as evidenced by the client certificate. The cost of land shall not be considered to determine the cost of the works.

¹⁴ For contracts under which the Applicant participated as a member of a joint venture/consortium; or as a sub-contractor, only the Applicant's share, by value, shall be considered to meet this requirement.





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RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
		<p>"Similar Work(s)" means project or any combination of project(s) such as Construction of 'Road Project' and / or 'Bridge Project' and / or 'Water Supply Project' and / or 'Lift Irrigation Project' and / or 'Township Project' and / or 'Special Economic Zone Project' and / or 'Technology Park Project' and / or 'Industrial Estate/ Park Project' and / or 'Airport Project' and / or 'Logistic Park Project' and / or 'Electrical works comprising of procurement, installation and commissioning of power distribution cables and substation(s)'.</p>	contracts each of minimum value of Rs. 415 (INR Four Hundred Fifteen) crore		
			OR	-	
			Must meet the requirement of at least 3 (three) contracts each of minimum value of Rs 332 (INR Three Hundred Thirty Two) crore	N/A	





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
		Note: The cost of those components forming part of the project(s) but not falling under the Similar Works as stipulated above shall not be considered for evaluation purposes.			
4.3	Construction Experience¹⁵ in Key Activities	For the above and / or any other contracts completed and under implementation as prime contractor, joint venture member, or sub-contractor from 1 st April 2011 till Application Due Date, a minimum construction experience in the			

¹⁵ The construction experience(s) of Group Companies, Parent Companies or Sister Companies, concessionaires and developers shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the construction experience(s) from the client(s) of the Applicant only.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
		following key construction activities:			
		<p>Key Construction Activity No. 1:</p> <p>Successfully completed Construction of one (01) Water/ Sewage Treatment Plant of 5 MLD capacity including supply and installation of equipment's in any single project.</p>	<p>Must meet requirement</p> <p>This requirement can also be met through a Specialised Subcontractor</p>	<p>The proposed Specialised Subcontractor shall meet the requirement of Clause 2.2.4 (a), (b) & (c) of Section 2</p>	<p>FORM-08: EXP-3</p>
		<p>Key Construction Activity No. 2:</p> <p>Successfully completed construction and laying of 16 Km of water / sewerage pipe network of diameter 200 mm and above with associated pumping stations</p>	<p>Must meet requirement</p> <p>This requirement can also be met through a Specialised Subcontractor</p>	<p>The proposed Specialised Subcontractor shall meet the requirement of Clause 2.2.4 (a), (b) & (c) of Section 2</p>	<p>FORM-08: EXP-3</p>





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RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
		and storage reservoirs in one or more but not exceeding Three (03) Contracts.			
		<p>Key Construction Activity No. 3:</p> <p>Successfully completed construction of a bridge of a minimum length of 100m in any single project</p>	<p>Must meet requirement</p> <p>This requirement can also be met through a Specialised Subcontractor</p>	<p>The proposed Specialised Subcontractor shall meet the requirement of Clause 2.2.4 (a), (b) & (c) of Section 2</p>	<p>FORM-08: EXP-3</p>
		<p>Key Construction Activity No. 4:</p> <p>Successfully completed electrical work comprising of Construction of 33/11 KV Sub-station, installation of 11 KV DG sets and transformers, 33/11 KV switchgear with</p>	<p>Must meet requirement</p> <p>This requirement can also be met through a Specialised Subcontractor</p>	<p>The proposed Specialised Subcontractor shall meet the requirement of Clause 2.2.4 (a), (b) & (c) of Section 2</p>	<p>FORM-08: EXP-3</p>





Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ELIGIBILITY AND QUALIFICATION CRITERIA			COMPLIANCE REQUIREMENTS		DOCUMENTATION
Criteria No.	Subject	Requirement	Applicant	Specialised Subcontractor	Submission Requirements
		complete SCADA system and laying of underground cables of 33 KV / 11 KV in any single project			





SECTION 4

4 FRAUD AND CORRUPTION PRACTICES

- 4.1 The Applicants and their respective officers, employees, agents and advisers shall observe the highest standard of ethics during the Tendering Process. Notwithstanding anything to the contrary contained herein, the Employer may reject an Application without being liable in any manner whatsoever to the Applicant if it determines that the Applicant has, directly or indirectly or through an agent, engaged in 'corrupt, fraudulent, coercive or undesirable' practice in the Tendering Process.
- 4.2 Without prejudice to the rights of the Employer under Clause 4.1 hereinabove, if an Applicant is found by the Employer to have directly or indirectly or through an agent, engaged or indulged in any 'corrupt, fraudulent, coercive or undesirable practice during the Tendering Process, such Applicant shall not be eligible to participate in any tender or RFQ issued by the Employer during a period of 2 (two) years from the date such Applicant is found by the Employer to have indulged in any 'corrupt, fraudulent, coercive or undesirable' practice, as the case may be.
- 4.3 For the purposes of this Section 4, the following terms shall have the meaning hereinafter respectively assigned to them:
- "Corrupt practice"** means the offering, receiving, or soliciting, directly or indirectly, of anything of any value to influence the actions of a public official in the selection process or in contract execution;
 - "Fraudulent practice"** means a misrepresentation or omission of facts in order to influence a selection process or the execution of a contract;
 - "Collusive practice"** means a scheme or arrangement between two or more Applicants, with or without the knowledge of the Employer, designed to establish prices at artificial, non-competitive levels; and
 - "Coercive practice"** means harming or threatening to harm, directly or indirectly, person or their property to influence their participation in a procurement process, or affect the execution of a contract.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

SECTION 5

5 MISCELLANEOUS

- 5.1 The Applicants shall ensure that all persons related to the RFQ Application have noted that the Indian Official Secret Act 1923 (XIX of 1923) applies to them and continues to apply perpetually, even after the pre-qualification process is over. An undertaking to this effect should be taken by the Applicant from all persons related to his PQ Application
- 5.2 The Tendering Process shall be governed by, and construed in accordance with, the laws of India and the courts in the State in which the Employer has its headquarters shall have exclusive jurisdiction over all disputes arising under, pursuant to and/ or in connection with the Tendering Process.





SECTION 6

6 DESCRIPTION OF THE WORKS

6.1 General

The Scope of Work as included in this document is indicative and general in nature. A more detailed Scope of Works will be included in the Tender Documents to be issued to Tenderers. The scope of work will include utilities infrastructure and services including water tapping from existing KUWS&DB pipeline, treatment and supply system, sewerage collection and treatment and safe disposal system, recycled waste water supply system, storm water drainage collection and safe disposal system, firefighting system, power/electrical supply and back-up system, lighting system for street, area, walkway, parking, roads and bridges work, security and communications (civil works) etc., inclusive of all civil, electro-mechanical, instrumentation, automation, Supervisory Control and Data Acquisition (SCADA) etc. works complete in all respect.

In full recognition of these objectives and with full acceptance of the obligations, the Contractor shall execute the Works taking into account all liabilities and risks that may be involved.

The Employer may decide to take beneficial custody and accept portions of the work completed from the Contractor in a phased manner as per the approved Master Schedule and/or the Handing-Over Plan.

6.2 Salient Engineering Features of the Project

The indicative engineering features of the works under this prequalification are as given below:

6.2.1 Electrical Systems

- (a) 33kV and 11KV Gas Insulated Switchgear at MDSS for phase IIA
- (b) 4 nos. 35 MVA 33/11kV transformers near MDSS
- (c) SCADA system for 33kV & 11kV Electrical system; Battery sets for SCADA, Battery Chargers, Relay & control panel
- (d) HT & LT Cables including Cable trays, Earthing system, Control Building internal lighting & external road lighting. The cables shall be laid in underground concrete ducts. CSS & DG sets for Common facilities.
- (e) 3 nos. 3 MVA 11kV DG Sets; Relay & Control panels for DG sets
- (f) Coordination and Synchronization of existing DG sets with new DG sets,





- Automatic changeover schemes between grid & DG supply
- (g) Dismantling of existing walls and extension of existing MDSS building (housing existing 11kV Switchgears) for phase IIA for accommodating 33k V & 11kV gas insulated switchgear and DG sets.
 - (h) All civil works for Control room, CSS, DG sets including foundations.
 - (i) All civil works of street light, high mast, feeder pillars and associated works.

6.2.2 Sewage Treatment Plant

Two Sewage Treatment Plants:-

- (a) Construction of 4.5 MLD Sewage Treatment Plant with Reverse Osmosis.
- (b) Construction of 6.5 MLD Sewage Treatment Plant

6.2.3 Water Treatment Plant

Upgrading existing 14.4 MLD Filtration Plant to 23 MLD Filtration Plant

6.2.4 Potable Water & Recycled Water Network

Potable Water & Recycled Water network for length of approximately 33100 m with diameter ranging from 100 mm to 700mm and Rising Mains of approximately 5400 m length.

The scope also includes -

- (a) Clarified Water Tank of 2.8 ML with associated pumping station.
- (b) Filtered Water Tank of 25.24 ML with associated pumping station.
- (c) Hill Top Reservoir of 19.635 ML including firefighting storage of 3.0 ML.
- (d) Treated Sewage Reservoir of 3.15 ML & 4.30 ML with associated pumping station.
- (e) Treated Sewage Hill Top Reservoir of 3.15 ML.

6.2.5 Sewerage Network

Sewerage collection network for length of approximately 2200 m with diameter ranging from 180 mm to 500mm, Rising Mains of approximately 2800 m length including two Sewage Lift pumping Station.

6.2.6 Fire Network

The Fire network for length of 12200 m and firefighting water tank of 3.6 ML capacity by side of filtered water tank with associated pumping station at





ground level.

6.2.7 Storm Water Network

Storm water network for length of approximately 33000 m.

6.2.8 Road & Bridge Works

Total length of 15260 m of 2-Lane and 4 Lane roads including cross drainage works.

03 Bridges proposed as per details given below:-

- (a) **Two- lane** High level (HL) bridge with footpath on both sides of approximate length of 80m on north access road on Manzel Creek.
- (b) **Four –lane** High level bridge with foot path of approximate length of 60m on road leading to sailors' accommodation on Manzel Creek.
- (c) **Four- lane** High level (HL) bridge with footpath on both sides of approximate length of 120m on south access road on Manzel Creek..

6.2.9 Security & Communication Works

Civil works for

- (a) Communication service ducts along main roads and along the boundary wall / fence.
- (b) Security Gates
- (c) Boundary wall & Fencing
- (d) Watch Towers
- (e) Patrolling tracks

6.2.10 Hill Slope Stability:

Construction of gabion wall of approximate 370m length for varying height of 6.0m to 9.5m. The backfill side of gabion wall shall be filled with granular soil and lay a layer of stone pitching to protect from erosion.

The Contractor must comply with conditions mentioned in the Environmental Clearance issued by Ministry of Environmental & Forest (MoEF), Government of India and PMC's Environmental Management Plan. The conditions will be included in the Tender Document and issued to all Tenderers.

6.3 Utilities

Details of existing utilities are indicated in As Built Drawings and will be provided to contractor as part of the Tender Document. However, there is





always a possibility of some unidentified utilities being detected by the Contractor during the course of Data Collection or during the construction. If any utilities are required to be diverted or altered in order to carry out the subject works, then this shall be the responsibility of the Contractor.

6.4 Construction Period

The allowed construction period for the works to be performed and completed, ready for use by the Employer, under this contract package shall be 36 months from the date of contract award.

The Contractor shall be required to plan various components of work in such a sequence that the complete work is commissioned in the required time from the date of award of contract. If required by the Employer, priority works may be identified which will require early and/or phased completion. These, if any, will be detailed in the Tender Documents.

6.5 Other Data

6.5.1 Geotechnical Data

Detailed Geotechnical investigation works has been completed for the project location. Data will be made available to the Tenderers along with Tender Documents. Investigations works shows that the subsurface stratum at project locations is predominantly comprised of granular soils. The granular soils are generally silty sand and classified as SM or SP according to the Unified Soil Classification System. Traces of stiff clay are observed in certain borehole locations and certain depths above the weathered rock, with a plasticity index of less than 15. Weathered / hard rock is observed at 13 to 15m depth from ground level. Along with borehole investigations plate load test also has been carried out at the project location.

6.5.2 Wind

The mean monthly wind speed at Karwar, based on the available Indian Meteorological Department data (1980 to 2010), is summarized below:

Table 6-3: Monthly Average Wind Speed Ranges

Month	Wind Speed Range (km/h)
January	6.4
February	6.8
March	8.5





Month	Wind Speed Range (km/h)
April	9.9
May	12.0
June	11.9
July	15.6
August	12.9
September	8.2
October	5.8
November	5.3
December	5.5

6.5.3 Tide Levels

The tide levels defined in Table below will be used.

Table 6-2: Tidal Datum At Proposed Site Location

Tidal Datum	Elevation (m, CD)
Highest High Water Spring (HHWS)	2.13
Mean High Water Springs (MHWS)	1.90
Mean High Water Neaps (MHWN)	1.64
Mean Sea Level (MSL)	1.13
Mean Low Water Neaps (MLWN)	0.92
Mean Low Water Springs (MLWS)	0.32
Lowest Low Water Spring (LLWS)	0.04
Chart Datum (CD), Approximately the level of Lowest	0.0





Tidal Datum	Elevation (m, CD)
Astronomical Tide (LAT)	

6.5.4 Current

Based on available field data (taken from 22 November 2011 to 22 December 2011, and from 31 March 2012 to 30 April 2012) at the Project site off Arge Cape (outside of the harbour), the averaged current speed ranges from 0.1m/s to 0.54m/s.

The currents flow parallel to the southern Breakwater, reversing direction during flood and ebb phases of the tide; the flood currents are in a north-westward direction during the flood tide and south-eastward direction during the ebb tide. The magnitude of the currents indicate that the flood currents are stronger (0.54 m/s) than the ebb currents (0.15 m/s).

6.5.5 Temperature, Humidity and Rainfall

At an average the annual variation of maximum temperature at Karwar is from 30°C to 35°C. The month of August being the lowest in range due to the extreme rains and overcast skies. The annual range of minimum temperature is about 16°C to 23°C, January being the lowest and May being the highest.

The highest average monthly temperature recorded was 36.4°C in January of 2011. The lowest recorded was 15.8°C also in January of 2011.

The Relative Humidity (RH) always remains high in Karwar, being a coastal region. In general it is highest in the morning and lesser in the afternoon hours. The monsoon months see continuous high relative humidity due to the substantial rainfall, and reaches up to RH of 100%. The minimum is average monthly relative humidity occasionally observed in the months of December through February and can be as low as RH is 23%.

At an average, Karwar receives about 3163.5mm rainfall annually and wettest year records have shown annual rainfall up to 5319mm. June through August being the highest rainfall period accounting for more than 2500mm rainfall.





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SECTION 7

7.1 Forms

This section contains forms to be submitted with the Application as applicable.





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FORM-01: APPLICATION
APPLICATION FOR PRE-QUALIFICATION
(Refer Clause 2.10.1)

Dated:.....

To,
The Director General, Project Seabird
Integrated HQs of MoD (Navy)
2nd Floor, West Block-5
R K Puram, New Delhi – 110066

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Dear Sir,

1. With reference to your RFQ document No. DG/SB/PMC01/1 of 2018, we, having examined the RFQ document and understood its contents, hereby submit our Application for Qualification for the aforesaid works. The Application is unconditional and unqualified.
2. We acknowledge that the Employer will be relying on the information provided in the Application and the documents accompanying the Application for pre-qualification of the Applicants for the aforesaid work, and we certify that all information provided in the Application and Forms submitted with the Application is true and correct; nothing has been omitted or concealed which could render such information misleading, and all documents accompanying such Application are true copies of their respective originals.
3. This statement is made for the express purpose of qualifying as a Tenderer for the construction of the aforesaid works.
4. We shall make available to the Employer any additional information it may find necessary or require to supplement or authenticate our Application.
5. We acknowledge the right of the Employer to reject our Application without assigning any reason or otherwise and hereby waive, to the fullest extent





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permitted by applicable law, our right to challenge the same on any account whatsoever.

6. We declare that

- (a) we have examined and have no reservations to the RFQ document, including any addendum, corrigendum issued by the Employer;
- (b) we declare, pursuant to Clause 2.2.1(a) of the RFQ Document, that we are not a Sub-contractor of any other Applicant applying for this pre-qualification.
- (c) we including any of the proposed Specialised Subcontractor do not have any conflict of interest pursuant to Clause 2.2.1(c) of the RFQ Document;
- (d) we have not directly or indirectly or through an agent engaged or indulged in any 'corrupt, fraudulent, coercive or undesirable' practice, as defined in Section 4 of the RFQ Document, in respect of any tender or Request for Proposal issued by or any agreement entered into with the Employer or any other public sector enterprise or any Government, Central or State;
- (e) we hereby certify that we have taken steps to ensure that in conformity with the provisions of Section 4 of the RFQ Document, no person acting for us or on our behalf within our control and knowledge has engaged or will engage in any 'corrupt, fraudulent, coercive or undesirable' practice; and
- (f) we including any proposed Specialised Subcontractor have not been suspended by the Government of India or any State Government in India or by the Government of the [name of the country(ies)]¹⁶, as a result of the execution of a Tender-Securing Declaration.
- (g) we including any proposed Specialised Subcontractor are not barred by the Government of India or any State Government in India or by the Government of the [name of the country(ies)]¹⁶ and no bar subsists as on the Application Due Date.
- (h) in regard to matters relating to security and integrity of the country, we including any proposed Specialised Subcontractor have not been charge-sheeted by any agency of the Government of India or any State

¹⁶ Country means where the Applicant, is incorporated





in India or convicted by a Court of Law.

- (i) to the best of our knowledge and belief no investigation, related to security and integrity of the country, by a regulatory authority is pending either against us including any proposed Specialised Subcontractor or against our CEO or any of our directors/ managers/ employees.
- (j) we undertake that in case due to any change in facts or circumstances during the Prequalification / Tendering Process, we are attracted by the provisions of disqualification in terms of the provisions of this RFQ, we shall intimate the Employer of the same immediately.

7. We do not plan to subcontract any key construction activities./ We plan to subcontract the following key construction activities*:

Key construction activity no(s). as given at Criteria No. 4.3 of Section 3 ¹⁷	Description of key construction activity/ Requirement	Proposed Specialised Subcontractor's Name

[* strike out whichever is not applicable]

8. We understand that you may cancel the pre-qualification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the Applicants for Tendering for the works, without incurring any liability to the Applicants, in accordance with Clause 2.4.1 of the RFQ document.

¹⁷ Specialised Subcontractors can be proposed for a maximum of any two (02) activities out of the four (04) activities stated in Criteria No. 4.3 of Section 3.





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9. Checklist in FORM-17: CHECKLIST, duly filled and signed, is enclosed.

For and on behalf of [Name of the Applicant]

.....
[Signature]

.....
[Seal of the Applicant]

.....
[Name of the person duly authorized to sign the Application on behalf of the Applicant]

.....
[Designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]





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FORM-02: PARTICULARS-1
PARTICULARS OF THE APPLICANT
(Refer Clause 2.10.2 (b))

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

1. (a) Applicant's Name:
(b) BLANK
(c) In case Specialised Subcontractor(s) are proposed, name of each Specialised Subcontractor:
 - (i)
 - (ii)
- (d) Applicant's country of registration:
(e) Applicants year of incorporation:
(f) Applicant's Legal Address in country of registration:
(g) Address for Correspondence:
2. Particulars of the Authorised Representative of the Applicant:
 - (a) Name:
 - (b) Designation:
 - (c) Company:
 - (d) Address:
 - (e) Telephone & fax Number:
 - (f) E-Mail Address:
3. In case the Applicant has proposed Specialised Subcontractor(s) it shall complete FORM-03: PARTICULARS-2 to provide information relating to each Specialised Subcontractor(s).

Attached are copies of original documents of:

- (i) Articles of Incorporation/Registration in conformity with the provisions of the





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- laws of the country where the Applicant is incorporated/registered (or equivalent documents of constitution of the Applicant)
- (ii) (a) Memorandum of Association and (b) Article of Association, or equivalent documents. In case of a partnership firm a copy of the partnership deed shall be submitted.
 - (iii) In case of government-owned entity^{*} documents establishing:
 - aa. Legal and financial autonomy
 - ab. Operation under commercial law
 - (iv) Organisational chart and List of Board of Directors.

For and on behalf of *[Name of the Applicant]*

.....
[Signature]

.....
[Seal of the Applicant]

.....
[Name of the person duly authorized to sign the Application on behalf of the Applicant]

.....
[Designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]

^{*} "government owned entity" means an entity controlled by Central/State Government in India or any entity controlled by it.





FORM-03: PARTICULARS-2
PARTICULARS OF THE SPECIALISED SUBCONTRACTORS¹⁸

(Refer Clause 2.10.2(c))

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

1. (a) Applicant's Name:
- (b) Specialised Subcontractor's Name:
- (c) Specialised Subcontractor's country of registration:
- (d) Specialised Subcontractor's year of incorporation:
- (e) Specialised Subcontractor's Legal Address in country of registration:
- (f) Address for Correspondence:
- (g) In case of Specialised Subcontractor, proposed for Key Construction Activity of:
2. Particulars of the Authorised Representative of the Specialised Subcontractor:
 - (a) Name:
 - (b) Designation:
 - (c) Company:
 - (d) Address:
 - (e) Telephone & Fax Number:
 - (f) E-Mail Address:

Attached are copies of original documents of:

- (i) Articles of Incorporation/Registration in conformity with the provisions of the laws of the country where the Specialised Subcontractor is

¹⁸ In case the Applicant proposes Specialised Subcontractor(s), it shall complete a separate FORM-03: PARTICULARS-2 to provide information relating to each Specialised Subcontractor.





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- incorporated/registered (or equivalent documents of constitution of the Specialised Subcontractor)
- (ii) (a) Memorandum of Association and (b) Article of Association, or equivalent documents. In case of a partnership firm a copy of the partnership deed shall be submitted.
 - (iii) In case of government-owned entity* documents establishing:
 - a. Legal and financial autonomy
 - b. Operation under commercial law
 - (iv) Organisational chart and List of Board of Directors.

For and on behalf of *[Name of the Applicant]*

.....
[Signature]
[Seal of the Applicant]

.....
[Name of the person duly authorized to sign the Application on behalf of the Applicant]

.....
[Designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]

* "government owned entity" means an entity controlled by Central/State Government in India or any entity controlled by it.





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FORM-04: CONSENT

LETTER OF CONSENT FROM THE SPECIALISED SUBCONTRACTOR(S)¹⁹

(Refer Clause 2.10.2(d))

Dated:.....

To,
The Director General, Project Seabird
Integrated HQs of MoD (Navy)
2nd Floor, West Block-5
R K Puram, New Delhi – 110066

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Dear Sir,

1. With reference to your RFQ document dated, we have examined the RFQ document and understood its contents.
2. We declare and undertake that
 - (a) [*insert name of Specialised Subcontractor*] having its office at [*insert registered address of the Specialised Subcontractor*] is willing to participate as Specialised Subcontractor for [*insert description of key construction activity(ies) as given at Criteria No. 4.3 of Section 3*] with [*insert name of the Applicant*] in their Application for this project;
 - (b) We, pursuant to Clause 2.2.1(a) of the RFQ Document, are not submitting any Application for this pre-qualification.
 - (c) We do not have any conflict of interest pursuant to Clause 2.2.1(c) of the RFQ Document;

¹⁹ This form shall be omitted in case no Specialised Subcontractor(s) is proposed by the Applicant. In case the Applicant proposes Specialised Subcontractor, this form shall be submitted by each Specialised Subcontractor.





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- (d) We have not directly or indirectly or through an agent engaged or indulged in any 'corrupt, fraudulent, coercive or undesirable' practice, as defined in Section 4 of the RFQ Document, in respect of any tender or Request for Proposal issued by or any agreement entered into with the Employer or any other public sector enterprise or any Government, Central or State;
- (e) we hereby certify that we have taken steps to ensure that in conformity with the provisions of Section 4 of the RFQ Document, no person acting for us or on our behalf within our control and knowledge has engaged or will engage in any 'corrupt, fraudulent, coercive or undesirable' practice; and
- (f) we have not been suspended by the Government of India or any State Government in India or by the Government of the [name of the country(ies)]²⁰, as a result of the execution of a Tender-Securing Declaration.
- (g) we are not barred by the Government of India or any State Government in India or by the Government of the [name of the country(ies)]¹⁶ and no bar subsists as on the Application Due Date.
- (h) in regard to matters relating to security and integrity of the country, we have not been charge-sheeted by any agency of the Government of India or any State in India or convicted by a Court of Law.
- (i) to the best of our knowledge and belief no investigation, related to security and integrity of the country, by a regulatory authority is pending either against us or against our CEO or any of our directors/managers/ employees.
- (j) we undertake that in case due to any change in facts or circumstances during the Prequalification / Tendering Process, we are attracted by the provisions of disqualification in terms of the provisions of this RFQ, we shall intimate the Employer of the same immediately.



Country means where the Specialised Subcontractor is incorporated



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Management, Power System including Water and Sewage
Treatment Plants at Naval Base Karwar under
Other Works Package (PMC01)

For and on behalf of *[insert name of the Specialised Subcontractor]*

.....
[Signature] *[Seal of the Specialised Subcontractor]*

.....
[insert name of the person duly authorized to sign the Application on behalf of the Specialised Subcontractor]

.....
[insert designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]





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FORM-05(A): NON-PERFO

(Eligibility and Qualification Criteria No. 2.1)

HISTORICAL CONTRACT NON-PERFORMANCE

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Applicant's Name: *[insert full name]*.....

Date: *[insert day, month, year]*.....

Details of the Contract(s) not performed from 1st April 2013 to 31st March 2018 specified in Section 3, Eligibility and Qualification Criteria No. 2.1 is as follows.

Date, month & Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
<i>[insert date]</i>	<i>[insert amount (currency), INR Equivalent (exchange rate*) and percentage of the Contract Amount]</i>	Contract Identification: <i>[indicate complete contract name/ number, date and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
<i>[insert date]</i>	<i>[insert amount (currency), INR Equivalent (exchange rate*) and</i>	Contract Identification: <i>[indicate complete contract name/ number, date and any other identification]</i> Name of Employer: <i>[insert full name]</i>	<i>[insert amount]</i>





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Date, month & Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
	<i>percentage of the Contract Amount]</i>	Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	
<i>[insert date]</i>	<i>[insert amount (currency), INR Equivalent (exchange rate*) and percentage of the Contract Amount]</i>	Contract Identification: <i>[indicate complete contract name/ number, date and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)
 (Full Name of the Statutory Auditor)
 (Name of the Statutory Auditor's Firm)
 (Complete Address of the Statutory Auditor's Firm)
 (Telephone/fax numbers, including country and city codes)
 (E-mail of the Statutory Auditor)
 (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:





Notes:

- (i) Non-performance, as decided by the Employer, shall include all contracts where
- (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract; and
 - (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employer's decision was overruled by the dispute resolution mechanism.
- Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.
- (ii) Data of non-performance of contracts related to construction contracts only shall be given.
- (iii) This requirement also applies to contracts executed by the Applicant as JV member.
- (iv) FORM-05(A) should be duly certified by the Statutory Auditors of the Applicant
- (v) * Refer Annexure-1 for source of exchange rate.





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FORM-05(B): LITIGATION

(Eligibility and Qualification Criteria No. 2.2)

PENDING LITIGATION

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Applicant's Name: *[insert full name]*.....

Date: *[insert day, month, year]*.....

Details of Pending Litigation up to 31st March 2018, in accordance with Section 3, Eligibility and Qualification Criteria No. 2.2 are as follows:

Date, month & Year of dispute	Amount in dispute (currency), INR Equivalent (exchange rate*)	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
<i>[insert date]</i>	<i>[insert amount]</i>	<p><i>Contract Identification: [indicate complete contract name, number, date and any other identification]</i></p> <p><i>Name of Employer: [insert full name]</i></p> <p><i>Address of Employer: [insert street/city/country]</i></p> <p><i>Matter in dispute: [indicate main issues in dispute]</i></p> <p><i>Party who initiated the dispute: [indicate "Employer" or "Contractor"]</i></p> <p><i>Status of dispute: [Indicate if it is being treated</i></p>	<i>[insert amount]</i>





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Date, month & Year of dispute	Amount in dispute (currency), INR Equivalent (exchange rate*)	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
		<i>by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	
<i>[insert date]</i>	<i>[insert amount]</i>	<p>Contract Identification: <i>[indicate complete contract name, number, date and any other identification]</i></p> <p>Name of Employer: <i>[insert full name]</i></p> <p>Address of Employer: <i>[insert street/city/country]</i></p> <p>Matter in dispute: <i>[indicate main issues in dispute]</i></p> <p>Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i></p> <p>Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i></p>	<i>[insert amount]</i>
<i>[insert date]</i>	<i>[insert amount]</i>	<p>Contract Identification: <i>[indicate complete contract name, number, date and any other identification]</i></p> <p>Name of Employer: <i>[insert full name]</i></p> <p>Address of Employer: <i>[insert street/city/country]</i></p> <p>Matter in dispute: <i>[indicate main issues in dispute]</i></p> <p>Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i></p> <p>Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i></p>	<i>[insert amount]</i>





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Date, month & Year of dispute	Amount in dispute (currency), INR Equivalent (exchange rate*)	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
		<i>with by the Judiciary]</i>	

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)
 (Full Name of the Statutory Auditor)
 (Name of the Statutory Auditor's Firm)
 (Complete Address of the Statutory Auditor's Firm)
 (Telephone/fax numbers, including country and city codes)
 (E-mail of the Statutory Auditor)
 (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

Notes:

- (i) The Applicant shall provide accurate information about all pending litigation and / or arbitration cases resulting from contracts completed or ongoing under its execution.
- (ii) The Applicant shall also provide details of pending litigation referred in paragraph (i) above, which has been accounted for in the submitted latest audited Balance Sheet as follows:
 - (a) The claims and suits lodged against the company for which the company has not accounted for the liability in its books as the matter is pending with arbitration/ courts and the same has not been finally settled up to the date





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of Balance Sheet and the company is sure that the decision will not go against the company. **(Please indicate the page no. of the Audited Balance Sheet where these amounts have been reflected).**

- (b) The claims and suits lodged by the company against customers/ supplies for recovery of dues and the matter is pending with arbitration/ courts and the company has accounted for the claims as receivable in its books of account considering the decision will be in favour of the company. **(Please indicate the page no. of the Audited Balance Sheet where these amounts have been reflected).**
- (iii) FORM-05(B) should be duly certified by the Statutory Auditors of the Applicant .
- (iv) *Refer Annexure-1 for source of exchange rate.





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FORM-05(C): LITIGATION-HIST

(Eligibility and Qualification Criteria No. 2.3)

LITIGATION HISTORY

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Applicant's Name: [insert full name].....

Date: [insert day, month, year].....

Details of Litigation History between 1st April 2013 to 31st March 2018 in accordance with Section 3, Eligibility and Qualification Criteria No. 2.3 are as follows:

Date, month & Year of award	Amount of Award(currency), INR Equivalent (exchange rate*)	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
[insert date]	[insert amount]	Contract Identification: [indicate complete contract name, number, date and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Matter in dispute: [indicate main issues in dispute] Party who initiated the dispute: [indicate "Employer" or "Contractor"] Status of dispute: [Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]	[insert amount]
[insert date]	[insert amount]	Contract Identification: [indicate complete contract name, number, date and any other	[insert amount]





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RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

Date, month & Year of award	Amount of Award(currency), INR Equivalent (exchange rate*)	Contract Identification	Total Contract Amount (currency), INR Equivalent (exchange rate*)
		<i>identification]</i> <i>Name of Employer: [insert full name]</i> <i>Address of Employer: [insert street/city/country]</i> <i>Matter in dispute: [indicate main issues in dispute]</i> <i>Party who initiated the dispute: [indicate "Employer" or "Contractor"]</i> <i>Status of dispute: [Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	
[insert date]	[insert amount]	Contract Identification: <i>[indicate complete contract name, number, date and any other identification]</i> <i>Name of Employer: [insert full name]</i> <i>Address of Employer: [insert street/city/country]</i> <i>Matter in dispute: [indicate main issues in dispute]</i> <i>Party who initiated the dispute: [indicate "Employer" or "Contractor"]</i> <i>Status of dispute: [Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	[insert amount]

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.



..... (Signature of the Statutory Auditor)



Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

..... (Full Name of the Statutory Auditor)

..... (Name of the Statutory Auditor's Firm)

..... (Complete Address of the Statutory Auditor's Firm)

..... (Telephone/fax numbers, including country and city codes)

..... (E-mail of the Statutory Auditor)

..... (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

Notes:

- (i) * Refer Annexure-1 for source of exchange rate.
- (ii) The Applicant shall provide accurate information about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years.
- (iii) This may be noted that under this category only cases of arbitration /litigation finally settled against the Applicant should be listed. If the case is pending at any level of arbitration or judiciary, the same should be listed in Pending Litigation and NOT under Litigation History. A consistent history of awards against the Applicant may result in rejection of the Application.
- (iv) FORM-05(C) should be duly certified by the Statutory Auditors of the Applicant.





Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

FORM-06: EXP-1

GENERAL CONSTRUCTION EXPERIENCE²¹

(Eligibility and Qualification Criteria No. 4.1)

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Applicant's Name: *[insert full name]*.....

Date: *[insert day, month, year]*.....

[Identify contracts that demonstrate continuous construction work starting 1st April 2013 till Application Due Date pursuant to Section-3, Eligibility and Qualification Criteria No. 4.1. List contracts chronologically, according to their commencement (starting) dates.]

Starting Date	Ending Date	Contract Identification	Role of Applicant
<i>[indicate date]</i>	<i>[indicate date]</i>	Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and INR equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member of Contractor" or "Sub-contractor"]</i>
		Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and INR equivalent*]</i>	<i>[insert "Prime Contractor" or "JV Member of Contractor" or "Sub-contractor"]</i>

²¹ FORM-06 should be duly certified by the Statutory Auditors of the Applicant . Alternatively, the Applicant can substantiate the 'General Construction Experience', which it has claimed through FORM-06, by submitting copies of Work Order(s)/ LOI(s)/LOA(s)/Client's Certificate(s) issued by the Client(s) and duly signed and stamped by the Authorised Representative of the Applicant.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

Starting Date	Ending Date	Contract Identification	Role of Applicant
		Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	
		Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and INR equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member of Contractor" or "Sub-contractor"]</i>

* Refer Annexure-1 for date and source of exchange rate.

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)
 (Full Name of the Statutory Auditor)
 (Name of the Statutory Auditor's Firm)
 (Complete Address of the Statutory Auditor's Firm)
 (Telephone/fax numbers, including country and city codes)
 (E-mail of the Statutory Auditor)
 (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:





Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

FORM-07: EXP-2
SIMILAR WORKS EXPERIENCE²²

(Eligibility and Qualification Criteria No. 4.2)

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Applicant's Name: *[insert full name]*

Similar work No. <i>[insert number] of [insert number of similar works required]</i>	Information		
Description of the similarity in accordance with similar work as defined in Section 3, Eligibility and Qualification Criteria No. 4.2			
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Award date	<i>[insert day, month, year]</i>		
Completion date	<i>[insert day, month, year]</i>		
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>	Member in Joint Venture of Contractors <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>

²² The Similar Works experience(s) of Group Companies, Parent Companies or Sister Companies, Concessionaire and Developers shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the Similar Works experience(s) of the Applicant only (see Section 3, Eligibility and Qualification Criteria No. 4.2).





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

Similar work No. <i>[insert number] of [insert number of similar works required]</i>	Information		
Total Contract Amount	<i>[insert total contract amount in local currency]</i>	INR <i>[insert Exchange rate and total contract amount in INR equivalent]*</i>	
Total Contract Amount after accounting for escalation as per provision given below **	<i>[insert total contract amount in INR after escalation]</i>		
If member in a joint venture or sub-contractor, specify participation in total contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in INR equivalent]*</i>
Employer's Name:	<i>[insert full name]</i>		
Address:	<i>[indicate street / number / town or city / country]</i>		
Telephone/fax number	<i>[insert telephone/fax numbers, including country and city area codes]</i>		
E-mail:	<i>[insert e-mail address, if available]</i>		

For and on behalf of *[Name of the Applicant]*

.....
[Signature]

.....
[Seal of the Applicant]

.....
[Name of the person duly authorized to sign the Application on behalf of the Applicant]

.....
[Designation of the person signing the Application]

.....
[Date DD/MMM/YYYY]





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

* Refer Annexure-1 for source of exchange rate.

**For completed works, escalation @ 7% per annum (applied from the date of completion of the works until 31st March 2018) shall be considered for equating the works of the previous years to the current year for Indian Rupees (INR).

In case of currencies other than INR, for equating the works of the previous years to the current year, an escalation of 2% per annum on the foreign currency amount shall first be applied (applied from the date of completion of the works until 31st March 2018). The resulting amount shall then be converted in to INR using the exchange rate applicable on 31st March 2018(Refer Annexure-1 for source of exchange rate).





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

FORM-08: EXP-3

CONSTRUCTION EXPERIENCE IN KEY ACTIVITIES²³

(Eligibility and Qualification Criteria No. 4.3)

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

[The following table shall be filled in for contracts performed by the Applicant and/or each proposed Specialised Subcontractor, as applicable]

[EACH AND EVERY KEY CONSTRUCTION ACTIVITY, AND IN CASE OF KEY CONSTRUCTION ACTIVITY NO. 2, EACH CONTRACT DETAILS, SHALL BE FILLED IN A SEPARATE FORM-08]

Applicant's Name: *[insert full name]*

Specialised Subcontractor's Name: *[insert full name]*

Key Construction Activity No.: *[insert respective key construction activity no. and description as given at Eligibility and Qualification Criteria No. 4.3 in Section 3]*

Details of key construction activity executed under the contract:

	Information
Contract Identification	<i>[insert contract name and number, if applicable]</i>
Employer's Name:	<i>[insert full name]</i>
Address: Telephone/fax number E-mail:	<i>[indicate street / number / town or city / country] [insert telephone/fax numbers, including country and city area codes] [insert e-mail address, if available]</i>
Contract awarded to	<i>[insert name of firm to whom the contract was awarded]</i>
Contract Award date	<i>[insert day, month, year]</i>

²³ The construction experience(s) of Group Companies, Parent Companies or Sister Companies, Concessionaire and Developers shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the construction experience(s) of the Applicant (or his Specialised Subcontractor) only (see Section 3, Eligibility and Qualification Criteria No. 4.3).





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

Information			
Contract Completion date	[insert day, month, year]		
Total Contract Amount	[insert total contract amount in contract currency(ies)]	INR [insert exchange rate* and total contract amount in INR equivalent]	
Role in Contract <i>[check the appropriate box]</i>	Sole Contractor <input type="checkbox"/>	Member in Joint Venture of Contractors <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Applicant's participation (in percentage) in Contract	100% (in case of Contractor being a single entity).% (in case of Contractor being a JV).	100% (in case of Sub-contractor being a single entity).% (in case of Sub-contractor being a JV).	
Description and details of key construction activity executed under the Contract:			
Key construction activity no. and its start/ completion date*** as per contract or client's certificate	Quantity**: [insert number of such key construction activities executed] Key activity start date: [insert start date] Key activity completion date: [insert completion date]		
In case of key construction activity no. 2:	Total quantity in the contract (i)	Percentage participation (ii)	Actual Quantity Performed (i) x (ii)

Supporting documents submitted for the key construction activity along with this form.

[insert here the description of supporting document annexed with this form to substantiate the key construction activity]

1.
2.
3.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

For and on behalf of [Name of the Applicant]

.....

[Signature]

.....

[Seal of the Applicant]

.....

[Name of the person duly authorized to sign the Application on behalf of the Applicant]

.....

[Designation of the person signing the Application]

.....

[Date DD/MMM/YYYY]

- * Refer Annexure-1 for date and source of exchange rate.
- ** For key construction activity no. 1, 3 & 4, in case of Applicant's role in contract is/was as a member of Contractor's/ Sub-contractor's JV, it shall substantiate that the key construction activity was in his scope under the contract. This substantiation could be through the client's certificate or Joint Venture agreement or other appropriate document.
- *** In case date is not provided/ substantiated, the date of start/ completion of contract shall be presumed to be the date of start/ completion of the key construction activity.





Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

FORM-09: FIN-1

FINANCIAL SITUATION OF THE APPLICANT

(Eligibility and Qualification Criteria No. 3.1)

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Applicant's Name: *[insert full name]*

1. Financial data

Type of Financial information in (currency)	Historic information for previous 5 (Five) Years (amount in currency, exchange rate*, INR equivalent)				
	Year 5	Year 4	Year 3	Year 2	Year 1
Statement of Financial Position (Information from Balance Sheet)					
A. Total Assets (TA) (Excluding Deferred Expenditure and Losses)					
B. Total Outside Liabilities (TL) (Long Term Liabilities and Current Liabilities and Provisions)					
C. Revaluation Reserve					
D. Net Worth = A - B - C					
E. Current Assets (CA)					
F. Current Liabilities and Provisions (CL)					





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

Type of Financial information in (currency)	Historic information for previous 5 (Five) Years (amount in currency, exchange rate*, INR equivalent)				
	Year 5	Year 4	Year 3	Year 2	Year 1
G. Working Capital = E - F					
H. Proposed specific line of credit agreed by commercial Bank and/or any other source of finance for the subject contract	N/A	N/A	N/A	N/A	
I. Total Available Working Capital (G+H) for the subject contract	N/A	N/A	N/A	N/A	
J. Working Capital requirements for current contract commitments [Total of col. 14 of Form-11: CCC]	N/A	N/A	N/A	N/A	
K. Sources of Finance for current contract commitments (Total of column 15 of Form-11: CCC)	N/A	N/A	N/A	N/A	
L. Working Capital available after meeting the Working Capital requirements for current contract commitments (I-J+K)	N/A	N/A	N/A	N/A	
III. Financial Soundness					
M. Net worth					





Type of Financial information in (currency)	Historic information for previous 5 (Five) Years (amount in currency, exchange rate*, INR equivalent)				
	Year 5	Year 4	Year 3	Year 2	Year 1
N. Profit before taxes					
O. Profit after taxes					

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)

..... (Full Name of the Statutory Auditor)

..... (Name of the Statutory Auditor's Firm)

..... (Complete Address of the Statutory Auditor's Firm)

..... (Telephone/fax numbers, including country and city codes)

..... (E-mail of the Statutory Auditor)

..... (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

2. Financial documents

(a) The Audited Balance Sheets, Profit and Loss Account and cash flow statement of Group Companies, Parent Companies or Sister Companies shall not be considered for evaluation . The Applicants are advised to strictly adhere to this requirement and submit the above statements of the Applicant only.

(b) The Applicant shall attach copies of the Audited Balance Sheets or, if not required by the laws of the Applicant's country, other Financial Statements for 5 (five) years preceding the Application Due Date, which shall:





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

- (i) reflect the financial situation of the Applicant , and not an affiliated entity (such as parent company or group member);
- (ii) be statutorily audited or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation;
- (iii) be complete, including all notes attached thereto;
- (iv) correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

Note:

1. *Year 1 will be the latest completed financial year, preceding the Application Due Date. Year 2 shall be the year immediately preceding Year 1 and so on. For avoidance of doubt, financial year shall, for the purposes of the Application hereunder, mean the accounting year followed by the Applicant in the normal course of its business.*
2. *If the most recent set of Balance Sheet or the Financial Statement, as the case may be, is for a period earlier than 12 months from the date of application, justification should be provided for the same.*





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

FORM-10: FIN-2

AVERAGE ANNUAL CONSTRUCTION TURNOVER²⁴

(Eligibility and Qualification Criteria No. 3.2)

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Applicant's Name: *[insert full name]:*

ANNUAL CONSTRUCTION TURNOVER			
Year (Financial year to be indicated by Applicant)	Amount Currency	Exchange rate**	INR equivalent
<i>[indicate financial year]</i>	<i>[insert amount and indicate currency]</i>		
		Average Annual Construction Turnover ***	

According to the information, explanations and documents provided by the

²⁴ The Annual Construction Turnover of Group Companies, Parent Companies or Sister Companies shall not be considered for evaluation. The Applicants are advised to strictly adhere to this requirement and submit the Balance Sheets, specific certificate issued by its Statutory Auditors or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation, certificate(s) issued by the clients in the name of the Applicant only.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)

..... (Full Name of the Statutory Auditor)

..... (Name of the Statutory Auditor's Firm)

..... (Complete Address of the Statutory Auditor's Firm)

..... (Telephone/fax numbers, including country and city codes)

..... (E-mail of the Statutory Auditor)

..... (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

- * Annual Construction Turnover should be substantiated through (i) Audited Balance Sheets of the relevant financial years, provided the figures, are stated in the Balance Sheet(s) or (ii) Specific certificate(s) issued by its Statutory Auditors or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation or (iii) Certificate(s) issued by the Clients
- ** Refer Annexure-1 for date and source of exchange rate.
- *** Total INR equivalent for 5 years divided by the 5.





Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewerage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

FORM-11: CCC

CURRENT CONTRACT COMMITMENTS

(Eligibility and Qualification Criteria No. 3.1 & 3.3)

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewerage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Applicant's Name: [insert full name]

S. No.	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
	Name of the Contract	Joint venture Percentage Share (%)	Commencement Date as per Contract	Completion Date as per Contract	Period of completion of work (in months)	Total Contract Value with currency	Currency Conversion Rate	Converted Contract Value (in INR Crore)	Work done up 31 st March 2018 (in INR Crore)	Value of works outstanding as on 31 st March 2018 (Col. 9 minus Col. 10 (in INR Crore))	Converted Contract Value Share of Applicant (in INR Crore)	Value of works outstanding as on 31 st March 2018 (in INR Crore)	Working Capital requirement for 3 months* Share of Applicant (in INR Crore)	Fund based line of credit sanctioned for these contracts (in INR Crore)	
(A) List all current contracts in progress which have started before the closing of the latest submitted Audited Balance Sheet															
1					N/A										N/A
2															





Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewerage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

S. No.	Name of the Contract	Joint Venture Percentage Share (%)	Commencement Date as per Contract	Completion Date as per Contract	Period of completion of work (in months)	Total Contract Value with currency	Currency Conversion Rate	Converted Contract Value (in INR Crore)	Work done up 31 st March 2018 (in INR Crore)	Value of works outstanding as on 31 st March 2018 (Col. 9 minus Col. 10) (in INR Crore)	Converted Contract Value Share of Applicant (in INR Crore)	Value of works outstanding as on 31 st March 2018 (in INR Crore)	Share of Applicant (in INR Crore)	Working Capital requirement for 3 months* (in INR Crore)	Share of Applicant (in INR Crore)	Fund based line of credit sanctioned for these contracts (in INR Crore)
3																
...																
	Total (A)															
(B) List all current contracts in progress which have been started or to be started after the closing of the latest submitted Audited Balance Sheet																
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)		
1																
2																
3																

Director General
 M.O-05
 Property Section



Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewerage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

S. No.	Name of the Contract	Joint venture Share (%)	Commencement Date as per Contract	Completion Date as per Contract	Period of completion of work (in months)	Total Contract Value with currency	Currency Conversion Rate	Converted Contract Value (in INR Crore)	Work done up 31 st March 2018 (in INR Crore)	Value of works outstanding as on 31 st March 2018 [Col. 9 minus Col. 10 (in INR Crore)]	Converted Contract Value Share of Applicant (in INR Crore)	Value of works outstanding as on 31 st March 2018 (in INR Crore)	Working Capital requirement for 3 months* Share of Applicant (in INR Crore)	Fund based line of credit sanctioned for these contracts (in INR Crore)
4														
...														
	Total (B)													
	Total (A+B)													

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... (Signature of the Statutory Auditor)

..... (Full Name of the Statutory Auditor)

..... (Name of the Statutory Auditor's Firm)

..... (Complete Address of the Statutory Auditor's Firm)

..... (Telephone/fax numbers, including country and city codes)

..... (E-mail of the Statutory Auditor)





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

..... (Seal of the Statutory Auditor)

Membership No. of the Statutory Auditor:

Note:

1. Applicants should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued even if completion of such works spills over beyond completion period of this contract.
2. For the purpose of conversion of foreign currency into Indian Rupees (INR), Applicants shall use the Foreign Currency Reference Rates published on 31st March 2018. Refer Annexure-1 for source of Exchange rate.
3. The working capital requirements for 3 months shall be calculated on the basis of period of completion of work (i.e. dividing the value of work by period (in months) of completion to execute the work and multiplying by three).
4. The Documentary evidence of the amount and source of funds indicated in column 15 shall be submitted by the Applicant. In the absence of the supporting documentary evidence, the amount of funds indicated in column 15 against respective works shall not be considered.
5. The financial data in above prescribed format (FORM- 11: CCC) shall be certified by the Statutory Auditors of the Applicant or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation.





Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

FORM-12: DEBT

CERTIFICATE FOR CORPORATE DEBT RESTRUCTURING

(Eligibility and Qualification Criteria No. 1.7 and Clause 2.2.1(h))

Subject: Application for Pre-Qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

TO WHOM SO EVER IT MAY CONCERN

This is to certify that *[Applicant's legal name with address]*, is not under the process of Corporate Debt Restructuring and/or *[Applicant's legal name with address]* resolved all debt restructuring issues with the Banks/Institutions as on 31st March 2018²⁵

According to the information, explanations and documents provided by the Applicant to us, we certify that the above information is correct to the best of our knowledge and belief.

..... *(Signature of the Statutory Auditor)*
 *(Full Name of the Statutory Auditor)*
 *(Name of the Statutory Auditor's Firm)*
 *(Complete Address of the Statutory Auditor's Firm)*
 *(Telephone/fax numbers, including country and city codes)*
 *(E-mail of the Statutory Auditor)*
 *(Seal of the Statutory Auditor)*

Membership No. of the Statutory Auditor:

²⁵ Strike out which is not applicable, if any.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads &
Bridges, Drains, Water Supply, Sewerage, Slope Protection,
Waste Management, Power System including Water and Sewage
Treatment Plants at Naval Base Karwar under
Other Works Package (PMC01)

Note: *The information in above prescribed format (FORM-12: DEBT) shall be certified by the Statutory Auditors of the Applicant or in case the accounts of the Applicant are not required to be statutorily audited, certified in accordance with local legislation.*





Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

**FORM-13: CAPACITY
 TENDERING CAPACITY**

Subject: Application for pre-qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

Maximum annual construction turnover in the last five (5) financial years	Value of works outstanding as on 31 st March 2018 (in INR crore)	No. of years prescribed for Completion of the works for which tenders are invited	Available Tendering Capacity (in INR crore)	Remarks [minimum available Tendering Capacity shall be Rs 830 crore]
A	B	N	(A x 3 x 2) – B	
Amount as per FORM-10: FIN-2	Total as per Column 13 of FORM-11: CCC	3		

Note:

Minimum Available Tendering Capacity of Rs. 830 crore (INR Eight Hundred Thirty crore Only) for construction work

Available Tendering Capacity= (A x 3 x 2) – B;

For and on behalf of [Name of the Applicant]

.....
 [Signature]

.....
 [Seal of the Applicant]

.....
 [Name of the person duly authorized to sign the Application on behalf of the Applicant]





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads &
Bridges, Drains, Water Supply, Sewerage, Slope Protection,
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Treatment Plants at Naval Base Karwar under
Other Works Package (PMC01)

[Designation of the person signing the Application]

.....

[Date DD/MMM/YYYY]





Project Seabird Phase IIA
 RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

FORM-14: POA-1

FORMAT FOR POWER OF ATTORNEY FOR SIGNING OF APPLICATION AND TENDER²⁶

(Refer Clause 2.10.2(n))

Know all men by these presents, We [*name of the firm and address of the registered office*] do hereby constitute, nominate, appoint and authorise Mr/ Ms [*name*], son/daughter/wife of and presently residing at, who is presently employed with us and holding the position of, as our true and lawful attorney (hereinafter referred to as the "Attorney") to do in our name and on our behalf, all such acts, deeds and things as are necessary or required in connection with or incidental to submission of our application for pre-qualification and submission of our Tender for the Works proposed by the (the "Employer") including but not limited to signing and submission of all applications, Tenders and other documents and writings, participate in Pre-Applications and other conferences and providing information/ responses to the Employer, representing us in all matters before the Employer, signing and execution of all contracts including the Contract Agreement and undertakings consequent to acceptance of our Tender, and generally dealing with the Employer in all matters in connection with or relating to or arising out of our Tender for the said Contract and/ or upon award thereof to us and/ or till the entering into of the Contract Agreement with the Employer.

AND we hereby agree to ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us.

IN WITNESS WHEREOF WE,....., THE ABOVE NAMED PRINCIPAL HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF 20.....

_____ ²⁶ To be submitted in original.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

For

(Signature, name, designation and address)

Accepted

.....

(Signature)

(Name, Title and Address of the Attorney)

Witnesses:

1.

2.

(Notarised)

Notes:

1. *The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.*
2. *Wherever required, the Applicant should submit for verification the extract of the charter documents and documents such as a board or shareholders' resolution/ power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Applicant.*
3. *For a Power of Attorney executed and issued overseas, the document will also have to be legalised by the Indian Embassy and notarised in the jurisdiction where the Power of Attorney is being issued. However, the Power of Attorney provided by Applicants from countries that have signed the Hague Legislation Convention 1961 are not required to be legalised by the Indian Embassy if it carries a conforming Apostille certificate.*





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads &
Bridges, Drains, Water Supply, Sewerage, Slope Protection,
Waste Management, Power System including Water and Sewage
Treatment Plants at Naval Base Karwar under
Other Works Package (PMC01)

FORM-15

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Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

FORM-15A: POA-3
POWER OF ATTORNEY FOR AUTHORISED REPRESENTATIVE OF SPECIALISED SUBCONTRACTOR²⁷

(Refer Clause 2.10.2)

Whereas the ("the Employer") has invited applications from interested parties for the works (the "Works").

Whereas,, (the "Applicant") is interested in Tendering for the Works in accordance with the terms and conditions of the Request for Qualification document (RFQ), Tendering Document and other connected documents in respect of the Works, and

Whereas, the Employer has permitted Applicants to include Specialised Subcontractor(s) in their Application, and the Applicant has approached us and we have shown our interest to participate as Specialised Subcontractors in its Application, and as it is necessary for the Specialised Subcontractor to designate a person with all necessary power and authority to do for and on behalf of the Specialised Subcontractor, all acts, deeds and things as may be necessary in connection with the Applicant's Application and Tender for the Works and its execution.

NOW THEREFORE KNOW ALL MEN BY THESE PRESENTS

Know all men by these presents, We..... *[name of the specialised subcontractor and address of the registered office]* do hereby constitute, nominate, appoint and authorise Mr/ Ms *[name]*, son/daughter/wife of and presently residing at, who is presently employed with us and holding the position of, as our true and lawful attorney (hereinafter referred to as the "Attorney") to do in our name and on our behalf, all such acts, deeds and things as are necessary or required in

To be submitted in original.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

connection with or incidental to submission and/or expression of our consent, willingness, declaration and undertaking etc. required pursuant to Applicant's application for pre-qualification and submission of their Tender for the Works proposed by the (the "Employer") including but not limited to signing and submission of all applications, documents and writings, participate in Pre-Applications and other conferences and providing information/ responses to the Applicant/ Employer, representing us in all matters before the Applicant/ Employer, and generally dealing with the Applicant/ Employer in all matters in connection with or relating to or arising out of the Applicant's Application and Tender for the said Contract and/ or upon award thereof to the Applicant and/or till the entering into of the Contract Agreement with the Employer.

AND we hereby agree to ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us.

IN WITNESS WHEREOF WE,, THE ABOVE NAMED PRINCIPAL HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF 20.....

For

(Signature, name, designation and address)

Accepted

.....

(Signature)

(Name, Title and Address of the Attorney)

Witnesses:





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

1.

2.

.....

(Executants)

(To be executed by the Specialised Subcontractor)

(Notarised)

Notes:

- 1. The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.*
- 2. Also, wherever required, the Specialised Subcontractor should submit for verification the extract of the charter documents and documents such as a board or shareholders' resolution/ power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Specialised Subcontractor.*
- 3. For a Power of Attorney executed and issued overseas, the document will also have to be legalised by the Indian Embassy and notarised in the jurisdiction where the Power of Attorney is being issued. However, the Power of Attorney provided by Specialised Subcontractor from countries that have signed the Hague Legislation Convention 1961 are not required to be legalised by the Indian Embassy if it carries a conforming Apostille certificate.*





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads &
Bridges, Drains, Water Supply, Sewerage, Slope Protection,
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Other Works Package (PMC01)

FORM-16

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FORM-17: CHECKLIST

CHECK LIST FOR THE INFORMATION TO BE FURNISHED BY THE APPLICANT ALONG WITH APPLICATION

(Refer Clause 2.10.2(q))

Sub: Application for pre-qualification for Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01) of Phase-IIA of Project Seabird

S. No.	Description	Ref.	Put ✓ or X as Applicable	Page No.	Remarks
1.	One original set of Application in spiral/hard bound	Clause 2.9.2 & 2.9.4			
2.	Three copies of Application in spiral/hard bound	Clause 2.9.2 & 2.9.4			
3.	Two scanned soft copies in Compact Disc (CD).	Clause 2.9.2			
4.	All pages are machine numbered and signed by Authorised Representative on each page of the Application including each FORM and other attachments in blue ink.	Clause 2.9.3 & 2.9.4			
5.	FORM-01: APPLICATION - Application for Pre-Qualification	Clause 2.10.2(a)			





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

S. No.	Description	Ref.	Put ✓ or X as Applicable	Page No.	Remarks
6.	FORM-02: PARTICULARS-1 - Particulars of the Applicant	Clause 2.10.2(b)			
7.	FORM-03: PARTICULARS-2 - Particulars of the Specialised Subcontractor(s), if any	Clause 2.10.2(c)			
8.	Copy of Certificate of Incorporation and/or registration documents with Memorandum of Association, Articles of Association / Partnership deed	Clause 2.10.2(r)			
9.	Copy of documents establishing legal, financial autonomy and operation under commercial law, in case of government-owned entity.	FORM-03: PARTICULARS-2			
10.	FORM-4: Letter of Consent from the Specialised Subcontract(s)	Clause 2.10.2(d)			
11.	FORM-05(A): NON-PERFO, FORM-05(B): LITIGATION and FORM-05(C) LITIGATION HIST for Historical Contract Non-Performance, Pending	Clause 2.10.2(e)			





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

S. No.	Description	Ref.	Put ✓ or X as Applicable	Page No.	Remarks
	Litigation and Litigation History				
12.	FORM-06: EXP-1 - General Construction Experience	Clause 2.10.2(f)			
13.	FORM-07: EXP-2 - Similar Works Experience	Clause 2.10.2(g)			
14.	Certificate(s) from client of the Applicant for which Similar Works Experience are being claimed in FORM-07: EXP-2	Clause 2.10.2(g)			
15.	FORM-08: EXP-3 - Construction Experience in Key Activities	Clause 2.10.2(h)			
16.	Certificate(s) from client of the Applicant for which Construction Experience in Key Activities are being claimed in FORM-08: EXP-3	Clause 2.10.2(h)			
17.	FORM-09: FIN-1 - Financial Situation of the Applicant	Clause 2.10.2(i), Criteria No. 3.1 & read with Foot Note 8 in Section 3.			





S. No.	Description	Ref.	Put ✓ or X as Applicable	Page No.	Remarks
18.	Audited Balance Sheets or, if not required by the laws of the Applicant's country, other Financial Statements for 5 (five) years preceding the Application Due Date.	Criteria No. 3.1 & read with Foot Note no 8 in Section 3.			
19.	FORM-10: FIN-2 - Average Annual Construction Turnover.	Clause 2.10.2(j), Criteria No. 3.2 & read with Foot Note no 9 in Section 3.			
20.	FORM-11: CCC - Current Contract Commitments; duly certified by a Statutory Auditor or certified in accordance with local legislation	Clause 2.10.2(k)			
21.	FORM-12: DEBT - Certificate for Corporate Debt Restructuring; duly certified by a Statutory Auditor or certified in accordance with local legislation	Clause 2.10.2(l), read with Note 1 of FORM-12.			
22.	FORM-13: CAPACITY - Calculation of Available Tendering Capacity.	Clause 2.10.2(m) & Criteria No. 3.3 of Section 3			





S. No.	Description	Ref.	Put ✓ or X as Applicable	Page No.	Remarks
23.	FORM-14: POA-1 - Power of Attorney for signing the Application & Tender (In Original)	Clause 2.10.2(n)			
24.	Extract of charter documents and documents such as a board or shareholder's resolution/power of attorney in favour of the person executing Power of Attorney for the delegation of power on behalf of the Applicant	Clause 2.10.2(n), read with Note 2 of FORM-14: POA-1			
25.	Power of Attorney (legalised by the Indian Embassy), in case of Power of Attorney executed and issued overseas, and notarised in the jurisdiction where the Power of Attorney is being issued. Or Apostille certificate in terms of Hague Legislation Convention 1961	Note 3 of FORM-14: POA-1			
26.	FORM-15A: POA- 3 - Power of Attorney for authorised representative of Specialised	Clause 2.10.2(o)			





S. No.	Description	Ref.	Put ✓ or X as Applicable	Page No.	Remarks
	Subcontractor				
27.	Extract of charter documents and documents such as a board or shareholder's resolution/power of attorney in favour of the person executing Power of Attorney for the delegation of power on behalf of the Specialised Subcontractor	Clause 2.10.2(o), read with Note 2 of FORM-15A: POA-3			
28.	Power of Attorney (legalised by the Indian Embassy), in case of Power of Attorney executed and issued overseas, and notarised in the jurisdiction where the Power of Attorney is being issued. Or Apostille certificate in terms of Hague Legislation Convention 1961	Note 3 of FORM-15A: POA-3			
29.	FORM-17: CHECKLIST	Clause 2.10.2(q)			
30.	Duly signed RFQ (Blank)	Clause 2.10.2(v)			





S. No.	Description	Ref.	Put ✓ or X as Applicable	Page No.	Remarks
31.	Duly signed correspondence, addendum, corrigendum issued by the Employer in relation to the Subject RFQ, in token of receipt and acceptance	Clause 2.10.2(v)			
32.	Any other document required to be submitted as per the RFQ				

Note: The Applicants are advised NOT to submit company brochures, profile or similar literature of their organisation, because such material shall not be considered for evaluation.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ANNEXURE-1

EXCHANGE RATES

Wherever requires an Applicant to state a monetary amount, Applicants should indicate the INR equivalent using the rate of exchange determined as follows:

1. For construction turnover or financial data required for each year - exchange rate prevailing on the last day of the respective Financial Year (in which the amounts for that year is to be converted) was originally established.
2. Value of single contract - Exchange rate prevailing on the date of the contract.
3. For the purpose of conversion of foreign currency to Indian rupees (INR), the Applicants shall use the reference rates of foreign currency published by:
 - (a) Reserve Bank of India (www.rbi.org.in).
 - (b) In case the exchange rate is not published by Reserve Bank of India, then the "selling rate" of such currency shall be taken from the web site: <http://www.oanda.com>.
 - (c) In case a particular currency rate is not available on the above website also, then the "mid-market" rate of such currency shall be taken from the following internet web site: <http://www.xe.com>.
4. Any error in determining the exchange rates in the Application may be corrected by the Employer.





Project Seabird Phase IIA
RFQ: Provision of infrastructure works consisting of Roads & Bridges, Drains, Water Supply, Sewerage, Slope Protection, Waste Management, Power System including Water and Sewage Treatment Plants at Naval Base Karwar under Other Works Package (PMC01)

ANNEXURE-2

FORMAT FOR APPLICATION FOR SITE VISIT TO IHQ MoD (NAVY) / NAVAL BASE KARWAR

1. Name:
2. Occupation:
3. Sex:
4. Firm's Name:
5. Date of Birth:
6. Nationality:
7. Parent's Name:
8. Present Address:
9. Permanent Address:
10. Addresses in India:
11. Passport No.:
 - (a) Place of Issue Date of Issue
 - (b) Issuing Authority Valid up to
12. Whether previously visited in IN Ships/ Establishments:

Place	Date of Visits	Purpose
-------	----------------	---------
13. Proposed date(s) of visit:
14. Purpose of visit
15. Mobile No.

Note: In case of foreign nationals, scanned copy of valid passport is required to be attached and for Indian nationals, scanned copy of government issued valid Photo ID proof is required to be attached.

