DIRECTOR GENERAL NAVAL PROJECTS VISAKHAPATNAM-14 NOTICE INVITING TENDER (NIT)

- 1. A tender is invited for the work as mentioned in Appendix 'A' to this NOTICE INVITING TENDER (NIT).
- 2. The work is estimated to cost as indicated in aforesaid Appendix 'A'. This estimate, however, is not a guarantee and is merely given as a rough guide and if the work cost more or less, the tenderer/ bidder will have no claim on that account.
- 3. The work is to be completed within the period as indicated in aforesaid Appendix 'A' in accordance with the phasing, if any, indicated in the tender from the date of handing over site, which will be on or about two weeks after the date of Acceptance of tender.
- 4. Contractors whose names are in the DGNP (V)/ MES approved list and within whose financial category the estimated amount would fall and unenlisted contractors may also submit tender / bid subject to fulfil other criteria metioned in Appendix 'A'. However, in case of term contracts, enlisted contractors of Class 'SS' to 'E' may submit tender. Not more than one tender shall be submitted/uploaded by one contractor/firm of contractors. Under no circumstances will a father and his son[s] or other close relations who have business dealing with one another be allowed to tender/bid for the same contract as separate competitors. Two firms shall be deemed to have business dealing if any of the partners/proprietor/director is common among both of them. A breach of this condition will render the tenders/ bids of both parties liable to rejection.
- 5. **The Deputy Director General and Chief Engineer**, Office of the Director General Naval Project, Naval Base Post, Visakhapatnam 530 014 will be the Accepting Officer here-in-after referred to as such for the purpose of this contract.
- 6. Not more than one tender/bid shall be submitted/uploaded by one bidder firm. Under no circumstances will a father and his son(s) or other close relations who have business dealing with one another be allowed to tender/bid for the same tender as separate competitors. A breach of this condition will render the tenders/bids of both the parties liable for rejection.
- 7. The Technical Bid and Financial Bid (Cover-1 and Cover-2) shall be uploaded by the contractor on or before the date & time mentioned in NIT. A scanned copy of DD with enlistment details and other documents as specified in Appendix A shall be uploaded as Cover-1 (Technical bid) of the tender on e-tendering portal. DD is refundable in case the contractor is not considered eligible in technical evaluation of Cover 1 resulting in non-opening of Cover-2 (Price bid). The applicant contractor shall bear the cost of bank charges for procuring and encashing the DD including revalidation of DDs and shall not have any claim from Government whatsoever on this account.
- 8. Tender form and conditions of contract and other necessary documents shall be available on www.defproc.gov.in web site for download and shall form part of contract agreement in case the tender/bid is accepted.
- 9. In case of DGNP(V)/ MES enlisted contractor who has not executed the Standing Security Bond and un enlisted contractor, the **Cover-I** shall be accompanied by Earnest Money for the amount mentioned in Appendix 'A' in the form of deposit at call receipt in favour of concerned DGNP(V) (see Appendix 'A') by a Scheduled Bank or in received treasury Challan the amount being credited to the revenue deposit of the concerned DGNP(V) (see Appendix 'A'). The DGNP(V) will return the Earnest Money, wherever applicable, to all unsuccessful tenderers/bidders by endorsing an authority on the deposit at call receipt for it's refund, on receipt of intimation from the Accepting Officer to do that.

NOTICE INVITING TENDER (Contd...)

- 10. In case of successful contractor i e the lowest contractor having submitted EMD, he shall have the option of converting the EMD instrument into part of the Performance Security to be deposited by him within 28 days from the receipt of intimation of acceptance of tender from Accepting Officer.
- 11. Sample of materials and stores to be supplied by the contractor will also be available for inspection by the bidder at the office of concerned GE during working hours. The bidder is advised to visit the site of work by making prior appointment with **GE**, who is the Executing Agency of the work (see Appendix 'A'). The bidder shall be deemed to have full knowledge of all relevant documents, samples, site etc whether he has inspected them or not.
- 12. Any bid which proposes any alteration to any of the conditions laid down or proposes any other new condition whatsoever, is liable to be rejected.
- 13. The uploading of bid by a bidder implies that bidder has read this notice and the Conditions of Contract and has made himself aware of the scope and specification of work to be done and of the conditions and rates at which stores (as applicable) etc. will be issued to him and local conditions and other factors having bearing on the execution of the work.
- 14. The bidder must be in possession of a copy of the MES Schedule (SSR) (Part-I & Part-II of latest edition) including amendments and errata thereto.
- 15. Accepting Officer does not bind himself to accept the lowest or any tender/bid or to give any reason for not doing so.
- 16. The Accepting Officer reserves the right to accept a tender submitted by a public Undertaking/Small & Medium Enterprises (SMEs), giving a price preference/purchase preference over other tender(s)/bids which may be lower, as are admissible under the Government Policy. No claim for any compensation or otherwise shall be admissible from such tenderer/bidder whose tender/bid is rejected.
- 17. A contractor whose name is in the MES approved list of any MES formation and who has deposited standing security and executed standing security Bond may bid without depositing earnest money along with the tender.
- 18. This notice of tender will be available on website <u>www.indiannavy.nic.in</u> and <u>www.mes.gov.in</u>.
- 19. This **Notice Inviting Tender (NIT)** including Appendix "A" and Annexures shall form part of the contract.

20 **BIDDER FROM A COUNTRY WHICH SHARES A LAND BORDER WITH INDIA:**

- 20.1 Any bidder from a country which shares a land border with India will be eligible to bid in this tender only if the bidder is registered with the Competent Authority.
- "Bidder" (including the term 'tenderer', 'contractor' or 'service provider' in certain contexts) means any person or firm or company, including any member of a consortium or joint venture (that is an **association of firms** or companies), every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency branch or office controlled by such person, participating in a **tendering** process.

NOTICE INVITING TENDER (Contd...)

- 20.3 "Bidder from a country which shares a land border with India" for the purpose of this Order means: -
 - (a) An entity incorporated, established or registered in such a country; or
 - (b) A subsidiary of an entity incorporated, established or registered in such a country; or
 - (c) An entity substantially controlled through entities incorporated, established or registered in such a country; or
 - (d) An entity whose beneficial owner is situated in such a country; or
 - (e) An Indian (or other) agent of such an entity; or
 - (f) A natural person who is a citizen of such a country; or
 - (g) A consortium or joint venture where any member of the consortium or joint venture falls under any of the above
- 20.4 The beneficial owner for the purpose of (20.3) above will be as under:
 - (a) In case of a company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has a controlling ownership interest or who exercises control through other means.

Explanation:-

- (i) "Controlling ownership interest" means ownership of or entitlement to more than twenty-five per cent of shares or capital or profits of the company;
- (ii) "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements;
- (b) In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership;
- (c) In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than fifteen percent of the property or capital or profits of such association or body of individuals;
- (d) Where no natural person is identified under (a) or (b) or (c) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;
- (e) In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- 20.5 An Agent is a person employed to do any act for another, or to represent another in dealings with third person.
- 20.6 Contractor shall submit a certificate as per format given in Annexure-II on non-judicial stamp paper of Rs. 100/- duly signed by authorized bidder and attested by the Magistrate/ Notary public.
- 20.7 A certificate is attached herewith as Annexure -III regarding any bidder apply this tender from a country which shares a land border with India will be certified by the department.

Signature of contractor Date:

AAD (Contracts) for Accepting Officer

APPENDIX 'A' TO NOTICE INVITING TENDER (NIT)

1.	Name of Work	:	PROVISION OF TECHNICAL AREA INFRASTRUCTURE (UNDER WP-6) AT NAVAL STATION RAMBILLI, NEAR VISAKHAPATNAM		
2.	Estimated Cost	:	Rs. 541.50 Crore [At Par Market]		
3.	Period of completion	:	730 [Seven hundred thirty] days		
4.	Cost of tender documents	:	Rs. 3000/- in the form of DD/ Bankers cheque from any schedule Bank in favour of DGNP (V) and payable at Visakhapatnam. Note: In case of retendering, the contractor who had quoted in the previous call is not required to submit the cost of tender.		
5.	Website/portal address	:	www.defproc.gov.in		
6.	Type of contract	•	The tender shall be based on Drawings and Specifications with Pre-Priced Schedules [IAFW – 2159] and General Conditions of Contracts [IAFW – 2249] with Schedule "A" [List of Items of work] pre-priced by DGNP. The tenderers are required to quote their Lumpsum amounts for Pre-Priced parts of Schedule "A" and quote rates against items of other parts of Schedule "A".		
7.	Timeline details:	:			
	[a] Bid submission start date	:)		
	[b] Bid submission end date	:	Refer critical dates on the website		
	[c] Date of bid opening	:	J		
8.	Eligibility Criteria				
	(a) For DGNP [V] / MES	:	They shall satisfy the following: -		
	Enlisted contractors		(i) Enlistment in Class "SS" & Category "a[i] & b[ii]" as per MES enlistment rules.		
			(ii) They should not carry adverse remarks in Work Load Return or any similar report circulated by competent engineer authority.		
			(iii) Prequalification criteria as in Note No. 1 below.		
	(b) For contractors not enlisted with DGNP/MES	:	(i) Contractors not enlisted with DGNP/MES should meet the enlistment criteria of 'SS' class with "a[i] & b[ii]" Category contractor with regard to satisfactorily completion of requisite value works with Central / State Government/ Central /State PSUs / AWHO / AFNHB / CGEWHO / DGMAP, annual turnover, Bank Solvency, Working Capital and requirements given in Para 1.4 & 1.5 of Section 1 of MES Manual of Contracts - 2020 as available in all MES formations as well as MES website (www.mes.gov.in).		

APPENDIX 'A' TO NOTICE INVITING TENDER (NIT) [CONTD...]

			(ii) Not carrying adverse remarks in latest Work Load Report published or any similar report circulated by competent engineer authority, if already working in MES.					
			(iii) Not suspended / debarred / backlisted (either permanently or temporarily) from participating in any bid or for business dealings by any Central/State Government Department or any Central / State Government PSU or any autonomous body under Central / State Government or any Local Body as on the bid submission end date.					
			(iv) Prequalification criteria as in Note No. 1 below.					
			(v) Details of works completed and under progress in DGNP/MES to be submitted in the following format: -					
			Srl CA Value Date of Date of Completion Date of Completion Work					
			(vi) Un-enlisted Contractos who have secured two works in DGNP/MES should get themselves registred in the appropriate designated class with any Registering Authority, else the firm will not be eligible for participation in the tender unless until the firm is enlisted with the MES/DGNP"					
9.	Tender issuing and	:	Issuing Officer Accepting Officer					
	Accepting Officer		Shri Shailendra Kumar Director (Contracts) DGNP, Naval base post Visakhapatnam 530018 Phone No. 0891- 2750908 Email ID: dircontdgnpv2-mes@nic.in Brig S Ramanathan Deputy Director General and Chief Engineer DGNP, Naval base post Visakhapatnam 530018 Phone No. 0891- 2577376 Email ID: dgnpv2-mes@nic.in					
10.	Executing agency	:	GE (Works) 2 & CWE (Works) 2					
11.	Earnest Money	:	Rs. 15,00,000.00 (Rupees fifteen lakhs only) in favour of DGNP Visakhapatnam in the form of Deposit at call Receipt, BGB not acceptable.					

Notes:-

1. **PRE-QUALIFICATION CRITERIA (PQC):**

- [a] Average annual financial turnover during the last 3 years, ending 31st March 2022 should be at least **Rs. 243.70 Crore**.
- **[b] Experience** of having successfully completed *similar integrated works during last seven years ending last day of month previous to the month of bid submission start date should be either of the following: -
 - (i) Three similar completed works costing not less than the amount equal to **Rs. 216.60 Crore each**.

OR

(ii) Two similar completed works costing not less than the amount equal to **Rs. 270.75 Crore each**

OR

- (iii) One similar completed work costing not less than the amount equal to **Rs. 433.20 Crore.**
- [c] Similar work shall mean "Construction of RCC framed Structures" under Government / PSU departments / AWHO / AFNHB / CGEWHO / DGMAP. Further the contractor must have the following experience in addition: -
 - (i) The contractor must have experience of execution of one work of minimum value of **Rs. 115 Crore involving buildings on Pile foundation**.
 - (ii) The contractor must have executed DG Set of capacity **1x 3000KVA or 2 x 1500KVA** each.
 - (iii) The contractor must have executed **HVAC work of minimum 1x600TR Capacity.**

Note: The works at c(i) to c(iii) are not necessary to be part of the same work and need not be necessary in qualifying work also.

- [d] For the purpose of value of completed works, the value of previously completed works shall be enhanced @ 5% per year to bring them at par with present cost.
- [e] The bidder shall also submit affidavit duly notarized that the firm shall not have incurred losses during the three consecutive years in last five years.
- 2. After opening of cover 1, if the number of MES enlisted contractors of "SS" class as well as un-enlisted contractors, if any, fulfilling the other eligibility criteria given in NIT are lessthan 7 (seven), applications in respect of contractors one class below the eligible class shall also be considered subject to fulfilment of PQC as per Note 1 above and other eligibility criteria given in NIT. Therefore, MES contractos one class below may also bid for this tender. However, contractors one class below shall not be considered in case their present residual work in hand is more than **twice** their present tendering limit. Such bidders shall upload in their Cover-1 bid details of works in hand showing names of work, names of Accepting Officer, Contract amounts, dates of commencement and completion (stipulated) and progress as on bid submission end date. These details shall be verified by the Tender Issuing Authority from concerned formations in case bids of such contractors are considered for evaluation.

- 3. In case after opening of Cover 1, the number of MES enlisted contractors of "SS" class as well as un-enlisted contractors, if any, fulfilling the other eligibility criteria given in NIT are 7(seven) or more, applications of only those one class below the eligible class bidders shall be considered who will fulfil the PQC as per Note 1 above and are meeting the criteria of up gradation in SS class with respect of past experience of completed works (individual work experience and average annual turnover as applicable) and financial soundness (solvency/financial soundness and working capital) as per details given in Manual on Contracts. Therefore, such contractos shall upload the requisite information / deocuments in the Cover 1.
- 4. Unenlisted contractors shall be considered provided he meets the criteria. However, foreign firms shall not be eligilge for this tender. However Indian firms having foreign national / Indian nationals staying abroad / Indian national having taken foreign citizenship, as diectors shall be considered subject to security clearance from the concerned authorities
- 5. Contractors enlisted with DGNP(V)/MES will upload following documents in Cover 1 for checking eligibility: -
 - (a) Application for tender on firm's letter head
 - (b) Enlistment letter issued by the Registering Authority duly renewed for the cyclic period in vogue i.e at present 2020 to 2025.
 - (c) Scanned copy of DD / Bankers Cheque towards cost of tender and EMD instrument in case SSD bond is not signed at the time of registration.
 - (d) Documents required in respect of PQC criteria.
 - (e) Integrity Pact (IP) duly signed on each page by bidder(s).
 - (f) Certificate stating that bidder or representative of bidder has visited the site duly countersigned by GE
 - (g) Any other document required as described in this Appendix.
- 6. Contractors not enlisted with DGNP(V)/MES will upload following documents in Cover 1 for checking eligibility:-
 - (a) Application for tender on firm's letterhead
 - (b) Scanned copy of DD / Bankers Cheque towards cost of tender and EMD.
 - (c) Copy of Police verification report / Police Clearance Certificate / Character Certificate from police authority of the area where the registered office of the firm is located / notarised copy of valid passport of proprietor/each partner/each Director.
 - (d) Documents required for enlistment in DGNP/MES for SS Class as per Para 1.5 of Section I of MES Manual on Contracts 2020.
 - (e) Documents required in respect of PQC criteria: as mentioned in note No.1 here-inbefore.
 - (f) Details of works being executed in DGNP/MES, if any
 - (g) Integrity Pact (IP) duly signed on each page by bidder(s).
 - (h) Certificate stating that bidder or representative of bidder has visited the site duly countersigned by GE.
 - (i) Any other document required as described in this Appendix.
- 7. Tenders not accompanied by scanned copies of requisite DD/Bankers Cheque towards cost of tender and earnest money (as applicable) in **Cover-1** shall not be considered for validation of Technical bid **(Cover-1)** and their financial bids will not be opened.

APPENDIX 'A' TO NOTICE INVITING TENDER (NIT) [CONTD...]

- 8. Contractors should ensure that their original physical DD's and Earnest Money Deposit (EMD) instruments (as applicable) shall reach the office of Accepting Officer **within 07 days** of bid submission end date, failing which following action shall be taken:-
 - (a) In case of tenders from an enlisted contractor of DGNP / MES, where scanned copies of requisite DD/Bankers Cheque towards cost of tender has been uploaded in Cover 1 but physical copies are not received within the stipulated period, their financial bid (Cover-2) will be opened. However, non-submission of physical copies of cost of tender shall be considered as wilful negligence of the tenderer with ulterior motives and such tenderer shall be banned from bidding for a period of six months commencing from the date of opening of Finance Bid (Cover-2).
 - (b) In case of tenders from un-enlisted contractors, where scanned copies of requisite DD/Bankers Cheque towards cost of tender have been uploaded in Cover 1 but physical copies are not received within the stipulated period, their financial bids (Cover-2) will not be opened. Name of such contractors along with complete address shall be circulated for not opening of their bids for a period of six months commencing from the date of opening of financial bid (Cover-2).
 - (c) In case of tenders from enlisted contractor and un enlisted contractors, where scanned copies of instruments for Earnest Money Deposit (as applicable) have been uploaded in Cover 1 but the same are not received in physical form within stipulated period, such tenders shall **not qualify for opening of financial bid (Cover-2).**
- 9. Contractor will not be allowed to execute the work by subletting or through power of attorney holder to a third party/ another firm on his behalf. However, contractor can execute the work through Power of Attorney to sons/daughters/spouse of proprietor/ partner/ Director and firm's own employees, Director, Project Manager provided they are not having a separate enlisted firm in DGNP/MES in their name as proprietor/ partner/ Director.
- 10. After opening of **Cover -1** and during its technical evaluation, in case any deficiency is noticed in the documents required to be uploaded by the tenderers as per NIT, a communication in the form of e-mail/ sms/ speed post etc. shall be sent to the contractor to rectify the deficiency within a period of seven days from date of communication failing which thier financial bid **(cover-2)** shall not be opened and contractor shall not have any claim on the same.
- 11. Invitation for e-tender does not constitute any guarantee for validation of 'Technical' bid and subsequent opening of financial bid of any applicant/ bidder, merely by virtue of enclosing DD. Accepting Officer reserves the right to reject the Technical bid (Cover-1) and not to open the financial bid (Cover-2) of any applicant/ bidder. Technical bid validation shall be decided by the Accepting Officer based on eligibility of the firm as per criteria given in Appendix. Tenderer/bidder will be informed regarding non-validation of his Technical bid assigning reasons therefore through tender evaluation report, which shall be uploaded on the www.defproc.gov.in website. Such tenderer, if desires may appeal to the Next Higher Engineer Authority (NHEA) viz **Director General, Naval Projects, Visakhapatnam** on email ID: encdgnpv@navy.gov.in with copy to the Accepting Officer on email dgnpv2-mes@nic.in before the scheduled date of opening of Financial Bid (Cover-2). NHEA shall decide the matter within a period of seven working days from the date of receipt of appeal. The decision of the Next Higher Engineer Authority (NHEA) i.e. DGNP, Visakhapatnam shall be final and binding. The contractor/bidder shall not be entitled for any compensation whatsoever for rejection of his bid.

APPENDIX 'A' TO NOTICE INVITING TENDER (NIT) [CONTD...]

- 12. In case the BOQ is revised through the corrigendum and the bidder has failed to quote on revised BOQ (ie he has quoted on pre revised BOQ) such bid shall be treated as wilful negligence by the bidder and his quotation shall be considered non-bonified. In such cases, the lowest tender shall be determined from amongst the valid / bonafied bids only. Accepting Officer may decide whether to re-tender or consider the lowest bonafied tender for acceptance.
- 13. Revoking the offer or revising the rates upward or offering voluntary reduction by the tenderer after opening of **Cover-2** shall be considered as a wilful default. For this default a penalty of an amount equal to Earnest Money shall be levied. In case of unenlisted tenderer, Earnet Money Deposited by him shall be forfeited. In case of DGNP / MES enlisted tenderer having deposited the Standing Security Bond, an amount equal to the Earnest Money stipulated in the NIT shall be notified to the tenderer for depositing the amount through MRO and consideration of such tenderers in tender evaluation for future works shall remain suspended till the aforementioned amount is deposited in Govt Treasury. No other disciplinary / administrative action shall be taken against such tenderers. In such situation, the next lowest offer shall not be considered for acceptance. Instead, retendering shall be resorted to in a transparent and fair manner and the defaulting tenderer and his related firm if any shall not be eligible for this tender in second call or subsequent calls.
- 14. Tender to related firms shall not be issued simultaneously. Firms shall be treated as related if Proprietor / one or more Partners / Directors are common. Decission of Accepting Officer on issue / deny the tender to any of the related firms shall be final and binding.
- 15. The bidder/ tenderer shall also ensure compliance the EPF & MP Act 1952 by the sub contractor, if any engaged by the contractor for the subject work.
- 16. **NO payments** to contractors/firms will be made unless **proof of payment of wages** to the workmen is submitted by the contractors/firms. In case payments are made in current coin or currency notes, certificate will be submitted by the contractors/firms along with undertaking by the concerned workmen asking for payment other than by cheque or by crediting in the bank account of the employee/workman.
- 17. Court of the place from where tender has been published shall alone have jurisdiction to decide any dispute out of or in respect of this tender. After acceptance of tender, Condition 72-Jurisdiction of Courts of IAFW-2249 shall be applicable.
- 18. Prior to commencement of electrical work/ electrical component of works, copy of valid Electrical License in the name of the contractor or copy of agreement with agency having valid Electrical License along with agency's Electrical License shall be submitted by the contractor to the Accepting Officer through GE with copy to CWE. In case contractor does not possess valid Electrical License and he intends to get such work executed through agency having valid Electrical License and with whom he has agreement, prior approval of such agency shall be got done from competent authority, who shall be concerned CWE (concerned CE Zone in case there is no CWE). Copy of License shall be submitted to GE as well as CWE/DGNP for future reference.
- 19. Supervisor for execution of electrical works / electrical component of works employed by the contractor shall possess Supervisor Certificate of Competency issued by concerned State Government/Union Territory and the worker/tradesmen for execution of electrical works/ electrical component of works shall hold necessary Permit issued by concerned State Government/ Union Territory."

20. **INTEGRITY PACT:** Integrity pact is an integral part of tender/bid documents. Scanned copy of Integrity pact duly signed on each page by the bidder shall be uploaded as a part of technical bid **(Cover-1)** and original IP duly on all pages shall be forwarded by post along with demand draft to Accepting Officer. Bidders who do not upload scanned copy of IP duly signed will be informed through option of "Short Fall Documents" (in e-tendering portal). Any bidder who fails to forward the copy of IP duly signed even after this communication shall be disqualified in the Technical Bid **(Cover-1)** evaluation and his financial bid will not be opened.

21. **JOINT VENTURE:**

- Joint Venture (JV) shall not comprise more than two firms (called parties of JV). The lead party shall have at least 60% share of interest in the JV. The other than lead party shall have at least 30% share of interest in the JV. The format of agreement is at **Annexure-IV**. The JV shall be considered as un-enlisted contractor. The JV shall submit Earnest Money Deposit for all tenders mandatorily. Any bid received from JV firm without EMD shall be considered as non-bonafide tender.
- A valid agreement shall exist between the parties of JV defining clearly the role, responsibility and scope of work of each party, percentage share of each party along with nomination of leader (Lead Party) for the purpose of this work and a confirmation that the parties of the JV are jointly and severally responsible.
- 21.3 Joint Venture shall be allowed only for works more than 100 crore.
- 21.4 (a) Foreign Companies shall not be permitted to participate in JV
 - (b) (i) Indian Companies having Director(s) of foreign origin and Indian Companies having Director(s) of Indian origin but residing abroad/having foreign citizenship shall be permitted to participate in JV. However, security clearance in such cases shall be processed as brought out in Section 1.3(g) of Manual On Contracts 2020.
 - (ii) Case for security clearance shall be processed to E-in-C' s Branch on PRIORITY after opening 'T' bid (Cover-1). Further, processing of tender to open Finance Bid (Cover-2) shall not be held up awaiting receipt of security clearance. However, if the JV requiring security clearance of Director(s) becomes L1, the tender shall be accepted only on receipt of security clearance. For this, the Accepting Officer will pursue the security clearance vigorously.
- 21.5 JV as a single unit or each party of the JV shall have Permanent Account Number(PAN) and GSTIN. However, if the contract is awarded to the JV, then PAN & GSTIN shall be obtained by the JV as a single unit.
- 21.6 Parties to the JV shall meet the following laid down criteria for the purpose of forming a JV:-

Criteria	Lead Party	Other than Lead party	Overall as a JV
Average Annual turnover as per NIT/ Tender documents	Min 60%	Min 30%	100%
Technical Capacity as Per NIT/ Tender documents	Min 30% of Prequalification Criteria	Min 30% of Pre- qualification Criteria	

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- 21.7 (i) The JV shall collectively meet the working capital criteria as contained in the NIT/ Tender documents.
 - (ii) The JV shall collectively meet the Bank solvency/ Financial soundness for engagement criteria as contained in the NIT/ Tender documents.
- 21.8 Both the parties to the JV either individually (single entity of the JV) or collectively (lead and other than lead) shall fulfil the 100% of the Technical qualifying criteria as stipulated in the NIT/Tender documents.
- 21.9 Both the parties of the JV shall jointly possess the required T&P, machinery and Engineering/ supervision staff. T&P can be either on ownership basis or leasehold as stipulated in NIT/Tender documents and documentary prof of the same shall be submitted.
- JV concluded up to the date of bid submission are permitted to apply. Copy of JV should be uploaded along with Technical Bid (Cover-1). The Department reserves the right to verify the particulars furnished by the applicant independently. If any information furnished by the applicant JV is found incorrect and/or misleading and/or false representation and/or deliberately suppressed information, at a later stage, the JV and both the parties shall be liable to be debarred from tendering/ taking up of any work in DGNP(V)/ MES.
- Party/parties will not be allowed to bid for the same tender in their independent capacity as well as under JV. Also, no party will be allowed to bid for the same tender under multiple JVs. In case of violation (party/ parties biding independently as well as under JV for the same tender, party/ parties biding for the same tender under multiple JVs), the bid of the party/ parties concerned as well as the bid(s) of the related JV(s) shall not be opened (ie shall not be qualified in 'T' bid Cover-1).
- 21.12 The lead party shall attend all progress review meetings and shall be answerable to all issues relating to the project.
- 21.13 For any of the defaults as under of the JV, administrative action shall be taken against both the parties of JV:-
 - (a) In case of non-submission of physical original documents of cost of tender, EMD Baring from bidding for six months.
 - (b) Due to default in performance of Contract etc Administrative actions as per existing instructions.
- 21.14 Any unrealized recovery from JV shall be recovered proportionately from the parties in proportion to their percentage share in the JV. If it is not possible to recover proportionate share (partly/fully) from one party, it shall be recovered from other party.

Signature of contractor

AAD (Contracts) For Accepting Officer

File No. DG/3440/25 /E8

Dated: **21** Feb 2023

Office of the Director General Naval Projects Naval Base Post Visakhapatnam – 530 014

Annexure No. I

APPENDIX 1.3

FORM OF SOLVENCY CERTIFICATE FROM A NATIONALISED / SCHEDULED BANK

Bank Address and code This is certified that to the best of our knowledge and information that M/s /Shri /Smt having address ______, a customer of our bank are/ is respectable and can be considered solvent upto Rs. _____ (Rupees_____) / financially sound for issued without any guarantee or responsibility on the bank or any of the officers. Place: Signature of Bank Manager (Name, Designation, personal code Date: No. of Signatory & Seal of Bank Note: In Case of partnership firm, certificate to include names of all partners as per record with bank FORM OF WORKING CAPITAL CERTIFICATE FROM A NATIONALISED / SCHEDULED BANK Bank Address and code This is certified that M/s /Shri /Smt _____ having address _____, has/ have maintaining a saving bank account/ current/ fixed deposit account with this branch of bank since _____ and an amount not less than Rs. _______ (Rupees______) has been available to the credit in his/ her/ their account Nos. ____ __ for the last three months. The Firm is enjoying overdraft/ credit facility upto limit of Rs. Place: Signature of Bank Manager Date: (Name, Designation, personal code No. of Signatory & Seal of Bank

Note: Please forward above documents issued by your Bankers in original to be received in the office of DGNP (V) within 05 days of opening date of Technical bid **(Cover I)**. Above certificates shall not be older than 6months as on closing date of Bid submission. Signatory shall endorse his rubber seal, indicate his name & bank employee number, Bank address particulars like full address, telephone & fax number.

AAD (Contracts)
For Accepting Officer

Annexure No. II

Certificate

"I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India: I certify that my firm not from such a country or, if from such a country, has been registered with the Competent Authority. I hereby certify that my firm fulfills all requirements in this regard and is eligible to be participated."

* Where applicable, evidence of valid registration by the Competent Authority shall be attached.

(Signature of Contractor)

Annexure No. III

Certificate

"I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India: I certify that this bidder is not from such a country or, if from such a country, has been registered with the Competent Authority. I hereby certify that this bidder fulfills all requirements in this regard and is eligible to be considered."

* Where applicable, evidence of valid registration by the Competent Authority shall be attached.

(S Ramanathan)
Brig
DDG & CE
Accepting Officer

ANNEXURE 'IV'

FORMAT FOR JOINT BIDDING AGREEMENT FOR JOINT VENTURE

(to be executed on stamp paper of appropriate value)

THIS JOINT BIDDING AGREEMENT is entered into on this theday of20
AMONGST
1(hereinafter referred to as the 'First Part' which expression shall, unless repugnant to the context include its successors and permitted assigns)
AND
2
The above mentioned parties of the FIRST and SECOND PART are collectively referred to as the "Parties" and each is individually referred to as a "Party"
WHEREAS,
(A) The Director Geneal Naval Projects, Visakhapatnam, represented by its (Name of tendering office) and having its office at (hereinafter referred to as the "Authority" which expression shall, unless repugnant to the context or meaning thereof, include its administrators, successors and assigns) has invited bid by its Tender ID No
(B) The Parties are interested in jointly bidding for the tender as member of a Joint Venture and in accordance with the terms and conditions of the tender document in respect of the work, and
(C) It is necessary condition under the pre-qualifying criteria (PQC) that the parties of the Joint Venture shall enter into a Joint Bidding Agreement and furnish a copy thereof with the Application.
NOW IT IS HEREBY AGREED as follows:

1. **Definitions and Interpretations**

In this Agreement, the capitalised terms shall, unless the context otherwise requires, have the meaning ascribed thereto under the PQC in the Notice Inviting Tender.

2. **Joint Venture**

- (a) The parties do hereby irrevocably constitute a Joint Venture for the purposes of jointly participating in the Bidding Process for the project.
- (b) The Parties hereby undertake to participate in the Bidding Process only through this Joint Venture and not individually and/or through any other Joint Venture constituted for this Project, either directly or indirectly.

ANNEXURE 'IV' [CONTD...]

3. Covenants

The parties hereby undertake that in the event the Joint Venture is declared the selected Bidder and awarded the contract, it shall perform all its obligations as the Contractor in terms of the Contract.

4. Role of the Parties.

The parties hereby undertake to perform the roles and responsibilities as described below:

- (a) Party of the First Part shall be the Lead member of the Joint Venture and shall have the power of attorney from the other Party for conducting all business for and on behalf of the Joint Venture during the Bidding Process and execution process as well as post execution process.
- (b) Party of the Second Part shall be the Member of the Joint Venture.

5. **Joint and Several Liability**

The parties do hereby undertake to be jointly and severally responsible for all obligations and liabilities relating to the Project and in accordance with the terms and conditions given in the NIT and Tender Documents.

6. **Field of expertise**

The parties do hereby declare that the field of expertise of the parties are as under:-

First Party:

Second Party:

7. Share of Work in the Project

The parties agree that the proportion of the Contract to be allocated among the parties shall be as follows:--

First Party:

Second Party:

8. Representation of the Parties

Each Party represents to the other Party as of the date of this Agreement that:

- (a) Such Party is duly organised, validly existing all in good standing under the laws of its incorporation and has all requisite power and authority to enter into this Agreement;
- (b) The execution, delivery and performance by such Party of this Agreement has been authorised by all necessary and appropriate corporate or governmental action and a copy of the extract of the charter documents and board resolution /power of attorney in favour of the person executing this Agreement for the delegation of power and authority to execute this Agreement on behalf of the Joint Venture party is annexed to this Agreement, and will not, to the best of its knowledge: -
 - (i) Require any consent or approval not already obtained;
 - (ii) Violate any applicable law presently in effect and having applicability to it;
 - (iii) Violate the memorandum and articles of association, by-laws or other applicable organisational documents thereof;

ANNEXURE 'IV' [CONTD...]

- (iv) Violate any clearance, permit, concession, grant, license or other governmental authorisation, approval, judgement, order or decree or any mortgage agreement, indenture or any other instrument to which such Party is a Party or by which such Party or any of its properties or assets are bound or that is otherwise applicable to such Party; or
- (v) Create or impose any liens, mortgages, pledges, claims, security interest, charges or encumbrances or obligations to create a lien, charge, pledge, security, interest, encumbrances or, mortgage in or on the property of such Party, except for encumbrances that would not, individually or in the aggregate, have a material adverse effect on the financial condition or prospects or business of such Party so as to prevent such Party from fulfilling its obligations under this Agreement.
- (c) This Agreement is the legal and binding obligation of such Party, enforceable inaccordance with its terms against it; and
- (d) There is no litigation pending or, to the best of such Party's knowledge, threatened to which it or any of its Affiliates is a Party that presently affects or which would have a material adverse effect on the financial condition or prospects or business of such Party in the fulfilment of its obligations under this Agreement.

9. Termination

This Agreement shall be effective from the date hereof and shall continue in full force and effect until completion of Defect Liability Period under and in accordance with the Contract, in case the Project is awarded to the Joint Venture. However, in case the Joint Venture does not pre-qualify for the Project or does not get selected for award of the Project, the Agreement will stand terminated in case the Applicant does not pre-qualify or upon return of the Bid Security by the Authority to the Bidder, as the case, may be.

10. Miscellaneous

- (a) This Joint Bidding Agreement shall be governed by Laws of India.
- (b) The Parties acknowledge and accept that this Agreement shall not be amended by the Parties without the prior written consent of the Authority.

IN WITNESS WHEREOF THE PARTIES ABOVE NAMED HAVE EXECUTED AND DELIVERED THIS AGREEMENT AS OF THE DATE FIRST ABOVE WRITTEN

SIGNED, SEALED AND DELIVERED SIGNED, SEALED AND DELIVERED

For and on behalf of

LEAD member by: SECOND PART

(Signature)(Signature)(Name)(Name)(Designation)(Designation)(Address)(Address)

WITNESS

In the presence of:

1. 2.