

DIRECTOR GENERAL NAVAL PROJECTS VISAKHAPATNAM-14**NOTICE INVITING TENDER (NIT)**

1. A tender is invited for the work as mentioned in Appendix 'A' to this NOTICE INVITING TENDER (NIT).
2. The work is estimated to cost as indicated in aforesaid Appendix 'A'. This estimate, however, is not a guarantee and is merely given as a rough guide and if the work cost more or less, tenderer/ bidder will have no claim on that account. The tender shall be based on as mentioned in aforesaid Appendix 'A'.
3. The work is to be completed within the period as indicated in aforesaid Appendix 'A' in accordance with the phasing, if any, indicated in the tender form the date of handing over site, which will be on or about two weeks after the date of Acceptance of tender.
4. Contractors whose names are in the DGNP (V)/ MES approved list and within whose financial category the estimated amount would fall and unenlisted contractors may also submit tender / bid subject to fulfil other criteria mentioned in Appendix 'A'. Not more than one tender/bid shall be submitted/uploaded by one contractor or one firm of contractors. Under no circumstances will a father and his son[s] or other close relations who have business dealings with one another will be allowed to tender/bid for the same contract as separate competitors. Two firms shall be deemed to have business dealing if any of the partners/proprietor/director is common among both of them. A breach of this condition will render the tenders/ bids of both parties liable to rejection.
5. **The Deputy Director General and Chief Engineer**, Office of the Director General Naval Project, Naval Base Post, Visakhapatnam – 530 014 will be the Accepting Officer here-in-after referred to as such for the purpose of this contract.
6. The Technical Bid and Financial Bid (**Cover-1 and Cover-2**) shall be uploaded by the tenderer/ bidder on or before the date & time mentioned in NIT. A scanned copy of DD with enlistment/ approved details and other documents as specified in Appendix A shall be uploaded as **Cover-1** (Technical bid) of the tender/ bid on e-tendering portal. DD is refundable in case the contractor is not considered eligible in technical evaluation of **Cover 1** resulting in non-opening of **Cover-2** (Price bid). The applicant contractor shall bear the cost of bank charges for procuring and encasing the DD including revalidation of DDs and shall not have any claim from Government whatsoever on this account.
7. Tender form and conditions of contract and other necessary documents shall be available on www.defproc.gov.in web site for download and shall form part of contract agreement in case the tender/bid is accepted.
8. In case of DGNP(V)/ MES enlisted contractor who has not executed the Standing Security Bond and unenlisted contractor, the **Cover-I** shall be accompanied by Earnest Money for the amount mentioned in Appendix 'A' in the form of deposit at call receipt in favour of concerned DGNP(V) (see Appendix 'A') by a Scheduled Bank or in received treasury Challan the amount being credited to the revenue deposit of the concerned DGNP(V) (see Appendix 'A'). The DGNP(V) will return the Earnest Money, wherever applicable, to all unsuccessful tenderers/bidders by endorsing an authority on the deposit at call receipt for it's refund, on receipt of intimation from the Accepting Officer to do that.
9. A contractor whose name is in the MES approved list of any MES formation and who has deposited standing security and executed standing security Bond may bid without depositing earnest money along with the tender.

NOTICE INVITING TENDER (Contd...)

10. The DGNP (V) will either return the Earnest Money to the successful tenderer/bidder by endorsing an authority on the deposit-at-call receipt for its refund on receipt of an appropriate amount of **performance security** in the forms mentioned in para 11.1 below.
11. **Performance Security**
- 11.1 Within 28 days of receipt of the letter of Acceptance, the successful contractor shall deliver to the Accepting Officer a Performance Security in favour of DGNP(V) in any of the forms given below for an amount equivalent to **5%** of the contract amount:-
 - (a) A Bank Guarantee in the prescribed form.
 - (b) Government Securities, FDR or any other Government instruments stipulated by the Accepting Officer.
- Note: However the tenderer will be allowed to avail provision of reduced Performance Security of an amount equal to 3% of contract amount by submitting an undertaking certificate.**
- 11.2 Regarding performance security, all conditions are mentioned in instructions on filling and submission of tender
12. Copies of the drawings and other document pertaining to the work signed for the purpose of identification by the Accepting Officer or his accredited representative, sample of materials and stores to be supplied by the contractor will also be available for inspection by the tenderer /bidder at the office of Accepting Officer and concerned GE during working hours.
13. The tenderers/bidders are advised to visit the site of work by making prior appointment with **GE (Wks) 3 / GE (Services)** who is also the Executing Agency of the work (see appendix 'A'). The tenderers/ bidders shall be deemed to have full knowledge of all relevant documents, samples, site etc. whether they have inspected them or not. **The contractor should examine site conditions and all factors requiring timely completion while bidding.**
14. Any tender/bid which proposes any alteration to any of the conditions laid down or which proposes any other condition or prescription whatsoever, is liable to be rejected.
15. The uploading of bid by a bidder implies that bidder has read this notice and the Conditions of Contract and has made himself aware of the scope and specification of work to be done and of the conditions and rates at which stores, tools and plants (as applicable) etc. will be issued to him and local conditions and other factors having bearing on the execution of the work.
16. Tenderers/ bidders must be in possession of a copy of the MES Standard Schedule of Rates (see Appendix 'A') including amendments and errata thereto (if any).
17. The Accepting Officer reserves the right to accept a tender submitted by a public Undertaking, giving a price preference over other Tender(s)/bids which may be lower, as are admissible under the Government Policy No claim for any compensation or otherwise shall be admissible from such tenderer/bidder whose tender/bid is rejected.

NOTICE INVITING TENDER (Contd...)

18. Accepting Officer does not bind himself to accept the lowest or any tender/bid or to give any reason for not doing so.

19 **BIDDER FROM A COUNTRY WHICH SHARES A LAND BORDER WITH INDIA:**

19.1 Any bidder from a country which shares a land border with India will be eligible to bid in this tender only if the bidder is registered with the Competent Authority.

19.2 "Bidder" (including the term 'tenderer', 'contractor' or 'service provider' in certain contexts) means any person or firm or company, every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency branch or office controlled by such person, participating in a **tendering** process.

19.3 "Bidder from a country which shares a land border with India" for the purpose of this Order means: -

- (a) An entity incorporated, established or registered in such a country; or
- (b) A subsidiary of an entity incorporated, established or registered in such a country; or
- (c) An entity substantially controlled through entities incorporated, established or registered in such a country; or
- (d) An entity whose beneficial owner is situated in such a country; or
- (e) An Indian (or other) agent of such an entity; or
- (f) A natural person who is a citizen of such a country; or

19.4 The beneficial owner for the purpose of (19.3) above will be as under:

- (a) In case of a company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has a controlling ownership interest or who exercises control through other means.

Explanation—

- (i) "Controlling ownership interest" means ownership of or entitlement to more than twenty-five per cent. of shares or capital or profits of the company;
 - (ii) "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements;
- (b) In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership;

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- (c) In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than fifteen percent of the property or capital or profits of such association or body of individuals;
- (d) Where no natural person is identified under (a) or (b) or (c) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;
- (e) In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- 19.5 An Agent is a person employed to do any act for another, or to represent another in dealings with third person.
- 19.6 Contractor shall submit a certificate as per format given in Annexure-II on non-judicial stamp paper of ` 100/- duly signed by authorized bidder and attested by the Magistrate/ Notary public.
- 19.7 A certificate is attached herewith as Annexure-III regarding any bidder apply this tender from a country which shares a land border with India will be certified by the department.
20. This notice of tender will be available on website www.indiannavy.nic.in and www.mes.gov.in.
21. This **Notice Inviting Tender (NIT)** including Appendix "A" and Annexure-I, II & III shall form part of the contract.

Signature of contractor
Date:

DD (Contracts)
for Accepting Officer

APPENDIX 'A' TO NOTICE INVITING TENDER (NIT)

1.	Name of Work	:	AUGMENTATION OF ENERGY BLOCK [BLDG NO -07 EXTENSION AT ND(V)]
2.	Estimated Cost	:	1863.00 Lakhs [At Par Market]
3.	Period of completion	:	550 [Five Hundred Fifty] days
4.	Cost of tender documents	:	<p>3000/- in the form of DD/ Bankers cheque from any schedule Bank in favour of DGNP (V) and payable at Visakhapatnam.</p> <p>Note: In case of retendering, the contractor who had quoted in the previous call is not required to submit the cost of tender.</p>
5.	Website/portal address	:	www.defproc.gov.in
6.	Type of contract	:	The tender shall be based on measurement contracts (IAFW-1779-A) and GCC (IAFW-2249) with Schedule 'A' (list of items of work) to be priced by tenderer. The tenderers are required to quote their rates against items of parts of schedule "A" (Price Bid)
7.	Timeline details:	:	
	[a] Bid submission start date	:	} Refer critical dates on the website
	[b] Bid submission end date	:	
	[c] Date of bid opening	:	
8.	Eligibility Criteria	:	
	(a) For DGNP [V] / MES Enlisted contractors	:	Contractors shall be enlisted with DGNP(V)/ MES in Class "SS" and above and category a[i] & b[ii] subject to satisfactory remarks wrt performance in respect of works in hand reflected in work load return (WLR) or any other report circulated by competent engineer authority.
	(b) For contractors not enlisted with MES	:	(i) Contractor not enlisted with DGNP(V)/ MES should meet the enlistment criteria of 'SS' class & "a [i]" & "b [ii]" Category contractor with regard to satisfactorily completion of requisite value works with Central / State Government/ Central /State PSUs / AWHO / AFNHB / CGEWHO / DGMAP, annual turnover, bank solvency, working capital and other requirements given in Para 1.4 & 1.5 of Section 1 of MES Manual of Contracts - 2020 as available in all MES formations as well as MES website (www.mes.gov.in)

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			(ii) Not carrying adverse remarks in Work Load Rreport or any similar report circulated by competent engineer authority, if already working in MES.				
			(iii) Not suspended / debarred / backlisted (either permanently or temporarily) from participating in any bid or for business dealings by any Central/State Government Department or any Central / State Government PSU or any autonomous body under Central / State Government or any Local Body as on the bid submission end date.				
9.	Tender issuing and Accepting Officer	:	<table border="1"> <thead> <tr> <th>Issuing Officer</th> <th>Accepting Officer</th> </tr> </thead> <tbody> <tr> <td> Shri. Shailendra Kumar Director (Contracts) DGNP, Naval base post Visakhapatnam 530018 Phone No. 0891- 2750908 Email ID: dircontdgnpv2-mes@gov.in </td> <td> Brig. S Ramanathan Deputy Director General and Chief Engineer DGNP, Naval base post Visakhapatnam 530018 Phone No. 0891- 2577376 Email ID: dgnpv2-mes@gov.in </td> </tr> </tbody> </table>	Issuing Officer	Accepting Officer	Shri. Shailendra Kumar Director (Contracts) DGNP, Naval base post Visakhapatnam 530018 Phone No. 0891- 2750908 Email ID: dircontdgnpv2-mes@gov.in	Brig. S Ramanathan Deputy Director General and Chief Engineer DGNP, Naval base post Visakhapatnam 530018 Phone No. 0891- 2577376 Email ID: dgnpv2-mes@gov.in
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10.	Executing agency	:	GE (Works) 3, GE(S) & CWE (Wks) 1				
11.	Earnest Money	:	` 12,60,000.00 (Rupees twelve lakhs sixty thousand only) in favour of DGNP Visakhapatnam in the form of Deposit at call Receipt, BGB not acceptable. (refer note 21 below)				

Notes:

- After opening of cover 1, if the number of MES enlisted contractors of "SS" class as well as un-enlisted contractors, if any, fulfilling the other eligibility criteria given in NIT are less than 7 (seven), applications in respect of contractors one class below the eligible class shall also be considered subject to fulfilment other eligibility criteria given in NIT. Therefore MES contractos one class below may also bid for this tender. However contractors one class below shall not be considered in case their present residual work in hand is more than twice their present tendering limit. Such bidders shall upload in their Cover-1 bid details of works in hand showing names of work, names of Accepting Officer, Contract amounts, dates of commencement and completion (stipulated) and progress as on bid submission end date. These details shall be verified by the Tender Issuing Authority from concerned formations in case bids of such contractors are considered for evaluation.
- In case after opening of Cover 1, the number of MES enlisted contractors of "SS" class as well as un-enlisted contractors, if any, fulfilling the other eligibility criteria given in NIT are 7(seven) or more, applications of only those one class below the eligible class bidders shall be considered who are meeting the criteria of up gradation in SS class with respect of past experience of completed works (individual work experience and average annual turnover as applicable) and financial soundness (solvency/financial soundness and working capital) as per details given in Manual on Contracts. Therefore, such contractos shall upload the requisite information / deocuments in the Cover 1.

APPENDIX 'A' TO NOTICE INVITING TENDER (NIT) [CONTD...]

4. Unenlisted contractors shall be considered provided he meets the criteria. However, foreign firms shall not be eligible for this tender. However Indian firms having foreign national / Indian nationals staying abroad / Indian national having taken foreign citizenship, as directors shall be considered subject to security clearance from the concerned authorities
5. Contractors enlisted with DGNP(V)/MES will upload following documents in Cover 1 for checking eligibility:-
 - (a) Application for tender on firms letterhead
 - (b) Enlistment letter issued by the Registering Authority duly renewed for the cyclic period in vogue i.e at present 2020 to 2025.
 - (c) Scanned copy of DD / Bankers Cheque towards cost of tender and EMD instrument in case SSD bond is not signed at the time of registration.
 - (d) Integrity Pact (IP) duly signed on each page by bidder(s).
 - (e) Any other document required as described in this Appendix.
5. Contractors not enlisted with DGNP(V)/MES will upload following documents in Cover 1 for checking eligibility:-
 - (a) Application for tender on firms letterhead
 - (b) Scanned copy of DD / Bankers Cheque towards cost of tender and EMD instrument **(Exempted against submission of bid Security Declaration refer Note.21 below).**
 - (c) Copy of Police verification report / Police Clearance Certificate / Character Certificate from police authority of the area where the registered office of the firm is located / notarised copy of valid passport of proprietor/each partner/each Director.
 - (d) Documents required for enlistment in MES for SS Class mentioned in para8(b) above as per Para 1.5 of Section I of MES Manual on Contracts 2020.
 - (e) Details of works being executed in MES, if any
 - (f) Integrity Pact (IP) duly signed on each page by bidder(s).
 - (i) Any other document required as described in this Appendix.
6. Tenders not accompanied by scanned copies of requisite DD/Bankers Cheque towards cost of tender and earnest money (as applicable) in **Cover 1** shall not be considered for validation of 'T' bid and their financial bids will not be opened.
7. Contractors should ensure that their original physical DD's and Earnest Money Deposit (EMD) instruments (as applicable) reach this office of Accepting Officer within 07 days of bid submission end date, failing which following action shall be taken:-
 - (a) In case of tenders from an enlisted contractors of DGNP / MES, where scanned copies of requisite DD/Bankers Cheque towards cost of tender has been uploaded in Cover 1 but physical copies are not received within the stipulated period, their financial bid **(Cover 2)** will be opened. However, non-submission of physical copies of cost of tender shall be considered as wilful negligence of the tenderer with ulterior motives and such tenderer shall be banned from bidding for a period of six months commencing from the date of opening of Finance Bid **(Cover-2)**.

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- (b) In case of tenders from un-enlisted contractors, where scanned copies of requisite DD/Bankers Cheque towards cost of tender have been uploaded in Cover 1 but physical copies are not received within the stipulated period, their financial bids **(Cover 2)** will not be opened. Name of such contractors along with complete address shall be circulated for not opening of their bids for a period of six months commencing from the date of opening of financial bid **(Cover-2)**.
- (c) In case of tenders from enlisted contractor and un enlisted contractors, where scanned copies of instruments for Earnest Money Deposit (as applicable) have been uploaded in Cover 1 but the same are not received in physical form within stipulated period, such tenders shall not qualify for opening of financial bid **(Cover-2)**.
8. Contractor will not be allowed to execute the work by subletting or through power of attorney holder to a third party/ another firm on his behalf. However, a contractor can execute the work through Power of Attorney to sons/daughters/spouse of proprietor/ partner/ Director and firm's own employees, Director, Project Manager provided they are not having a separate enlisted firm in DGNP/MES in their name as proprietor/ partner/ Director.
9. After opening of Cover 1 and during its technical evaluation, in case any deficiency is noticed in the documents required to be uploaded by the tenderers as per NIT, a communication in the form of e-mail/ sms/ speed post etc. shall be sent to the contractor to rectify the deficiency within a period of seven days from date of communication falling which financial bid **(cover-2)** shall not be opened and contractor shall not have any claim on the same.
10. Invitation for e-tender does not constitute any guarantee for validation of 'Technical' bid and subsequent opening of financial bid of any applicant/ bidder, merely by virtue of enclosing DD. Accepting Officer reserves the right to reject the Technical bid **(Cover-1)** and not to open the financial bid **(Cover-2)** of any applicant/ bidder. Technical bid validation shall be decided by the Accepting Officer based on eligibility of the firm as per criteria given in Appendix. Tenderer/bidder will be informed regarding non-validation of his Technical bid assigning reasons therefore through tender evaluation report, which shall be uploaded on the www.defproc.gov.in website. Such tenderer, if desires may appeal to the Next Higher Engineer Authority (NHEA) viz **DGNP Visakhapatnam** on email ID: encdgnpv@navy.gov.in with copy to the Accepting Officer on email dgnpv2-mes@gov.in before the scheduled date of opening of Financial Bid **(Cover-2)**. NHEA shall decide the matter within a period of seven working days from the date of receipt of appeal. The decision of the Next Higher Engineer Authority (NHEA) i.e. DGNP, Visakhapatnam shall be final and binding. The contractor/bidder shall not be entitled for any compensation whatsoever for rejection of his bid.
11. In case an un-enlisted contractor is already executing in DGNP(V)/MES he shall not be considered eligible for the subject tender if the total value of such works is more than twice tendering limit of the DGNP(V)/MES class of contractor for which it is eligible. For this purpose, details of the works being executed by such a contractor shall be uploaded in the Cover-1 of the bid and shall be checked/verified by Accepting Officer.
12. In case the BOQ is revised through the corrigendum and the bidder has failed to quote on revised BOQ (ie he has quoted on pre revised BOQ) such bid shall be treated as wilful negligence by the bidder and his quotation shall be considered non-bonified. In such cases, the lowest tender shall be determined from amongst the valid / bonafied bids only. Accepting Officer may decide whether to re-tender or consider the lowest bonafied tender for acceptance.
13. Revoking the offer or revising the rates upward or offering voluntary reduction by the lowest tenderer after opening of **cover-1** shall be considered as a wilful default. For this default a penalty of an amount equal to Earnest Money shall be levied. In case of unenlisted tenderer,

APPENDIX 'A' TO NOTICE INVITING TENDER (NIT) [CONTD...]

- Earnest Money Deposited by him shall be forfeited. In case of DGNP / MES enlisted tenderer having deposited the Standing Security Bond, an amount equal to the Earnest Money stipulated in the NIT shall be notified to the tenderer for depositing the amount through MRO and consideration of such tenderers in tender evaluation for future works shall remain suspended till the aforementioned amount is deposited in Govt Treasury. No other disciplinary / administrative action shall be taken against such tenderers. In such situation, the next lowest offer shall not be considered for acceptance. Instead, retendering shall be resorted to in a transparent and fair manner and the defaulting tenderer and his related firm if any shall not be eligible for this tender in second call or subsequent calls.
14. Tender to related firms shall not be issued simultaneously. Firms shall be treated as related if Proprietor / one or more Partners / Directors are common. Decision of Accepting Officer on issue / deny the tender to any of the related firms shall be final and binding.
 15. The bidder/ tenderer shall also ensure compliance the EPF & MP Act 1952 by the sub contractor, if any engaged by the contractor for the subject work.
 16. **NO payments** to contractors/firms will be made unless **proof of payment of wages** to the workmen is submitted by the contractors/firms. In case payments are made in current coin or currency notes, certificate will be submitted by the contractors/firms along with undertaking by the concerned workmen asking for payment other than by cheque or by crediting in the bank account of the employee/workman.
 17. Court of the place from where tender has been published shall alone have jurisdiction to decide any dispute out of or in respect of this tender. After acceptance of tender, Condition 72-Jurisdiction of Courts of IAFW-2249 shall be applicable.
 18. Prior to commencement of electrical work/ electrical component of works, copy of valid Electrical License in the name of the contractor or copy of agreement with agency having valid Electrical License along with agency's Electrical License shall be submitted by the contractor to the Accepting Officer through GE with copy to CWE. In case contractor does not possess valid Electrical License and he intends to get such work executed through agency having valid Electrical License and with whom he has agreement, prior approval of such agency shall be got done from competent authority, who shall be concerned CWE (concerned CE Zone in case there is no CWE). Copy of License shall be submitted to GE as well as CWE/CE Zone for future reference.
 19. Supervisor for execution of electrical works / electrical component of works employed by the contractor shall possess Supervisor Certificate of Competency issued by concerned State Government/Union Territory and the worker/tradesmen for execution of electrical works/ electrical component of works shall hold necessary Permit issued by concerned State Government/ Union Territory."
 20. **INTEGRITY PACT:** Integrity pact is an integral part of tender/bid documents. Scanned copy of Integrity pact duly signed on each page by the bidder shall be uploaded as a part of technical bid (**Cover-1**) and original IP duly on all pages shall be forwarded by post along with demand draft to Accepting Officer. Bidders who do not upload scanned copy of IP duly signed will be informed through option of "Short Fall Documents"(in e-tendering portal). Any bidder who fails to forward the copy of IP duly signed even after this communication shall be disqualified in the Technical Bid (**Cover-1**) evaluation and his financial bid will not be opened.

APPENDIX 'A' TO NOTICE INVITING TENDER (NIT) [CONTD...]

21. **Refer Para 11 of Appendix 'A' to Notice Inviting Tender:-** Bidders are exempted for submission of Earnest Money deposit (EMD) along with **Cover-1** against submission of BSD on Rs. 100/- judicial stamp paper as given below and upload scan copy BSD in support of EMD exemption document in e-tendering portal:-

BID SECURING DECLARATION (BSD)

Name of Work :-

Tender ID :-

Name of Contractor :-

I/We hereby understand and accept that I/We withdraw or modify my/our bids during the period of validity, or if I/We are awarded the contract and on being called upon to submit the Performance Security, fails to submit the Performance Security before deadline defined in the request for Bid documents/ Notice Inviting Tender, I/We shall be debarred from exemption of submitting Bid Security/ Earnest Money Deposit for period of 6 (Six) months from the date I/We are declared disqualified from exemption from submission of Earnest Money Deposit/ Security Deposit, for all tenders issued by MES during this period.

Date:
Place:

Signature of Contractor

Signature of contractor

DD (Contracts)
For Accepting OfficerFile No. DG/3408/07/E8
Dated: July 2021**Office of the Director General
Naval Projects**
Naval Base Post
Visakhapatnam – 530 014

ELIGIBILITY CRITERIA FOR UNENLISTED CONTRACTORS**ELIGIBILITY CRITERIA FOR UNENLISTED CONTRACTORS**
Para 1.4 & 1.5 of Section 1 of MES Manual of Contracts - 20201.4 **CRITERIA FOR FRESH ENLISTMENT & UPGRADATION:**

(a) Criteria for Fresh Enlistment in various classes shall be as under:-

Class	Past Experience of Completed Works in Last 5 years & Average Annual Turn Over (in Rs)	Financial Soundness (in Rs)
(1)	(2)	(3)
'SS'	(a) Two works costing not less than Rs. 12.00 crore each. or One work costing not less than Rs. 20 crore. and (b) Average annual turn over for two consecutive years shall not be less than Rs. 20 crore	(a) Solvent upto Rs. 8.00 crore or Financially sound for engagement upto Rs. 40.00 crore. (b) Working capital not less than Rs. 75.00 lakh
'S'	(a) Two works costing not less than Rs. 7.50 crore each or One work costing not less than Rs. 12 crore and (b) Average annual turn over for two consecutive years shall not be less than Rs. 12 crore	(a) Solvent upto Rs. 4.00 crore or Financially sound for engagement upto Rs. 15.00 crore (b) Working capital not less than Rs. 50.00 lakh
'A'	(a) Two works costing not less than Rs. 4.00 crore each. or One work costing not less than Rs. 6.00 crore. and (b) Average annual turnover for two consecutive years shall not be less than Rs. 6.00 crore	(a) Solvent upto Rs. 2.00 crore or Financially sound for engagement upto Rs 7.50 crore. (b) Working capital not less than Rs 30.00 lakh
'B'	(a) Two works costing not less than Rs. 1.50 crore each. or One work costing not less than Rs. 2.50 crore. and (b) Average annual turn over for two consecutive years shall not be less than Rs. 300.00 lakh.	(a) Solvent upto Rs. 100.00 lakh or Financially sound for engagement upto Rs. 3.00 crore. (b) Working capital not less than Rs. 12.00 lakh
'C'	(a) Two works costing not less than Rs. 50.00 Lakh each. or One work costing not less than Rs.80.00 Lakh. and (b) Average annual turn over for two consecutive years shall not be less than Rs. 100.00 lakh.	(a) Solvent upto Rs. 40.00 lakh or Financially sound for engagement upto Rs. 100.00 Lakh (b) Working capital not less than Rs. 10.00 lakh

Annexure No. I (Contd..)

(1)	(2)	(3)
'D'	(a) Two works costing not less than Rs. 25.00 Lakh each. or One work costing not less than Rs. 40.00 Lakh. and (b) Average annual turn over for two consecutive years shall not be less than Rs. 50.00 lakh.	(a) Solvent upto Rs. 20.00 lakh or Financially sound for engagement upto Rs. 50.00 Lakh. (b) Working capital not less than Rs. 7.50 lakh
'E'	(a) Three works costing not less than Rs. 7.50 Lakh. or Two works costing not less than Rs. 10.00 Lakh each or One work costing not less than Rs. 20.00 Lakh each and (b) Average annual turn over for two consecutive years shall not be less than 25.00 lakh.	(a) Solvent upto Rs. 10.00 lakh or Financially sound for engagement upto Rs. 25.00 lakh. (b) Working capital Rs. 5.00 lakh

(b) Criteria for upgradation in various classes shall be as under:-

Class	Past Experience of Completed Works in Last 5 years & Average Annual Turn Over (in Rs)	Financial Soundness (in Rs)	Limit of Minimum Reserves (See Note 5 below)
(1)	(2)	(3)	(4)
'SS'	(a) Two works costing not less than Rs. 12.00 crore each. or One work costing not less than Rs. 20 crore. and (b) Average annual turn over for two consecutive years shall not be less than Rs. 20 crore	(a) Solvent upto Rs. 8.00 crore or Financially sound for engagement upto Rs. 40.00 crore. (b) Working capital not less than Rs. 75.00 lakh	Rs. 120.00 Lakh
'S'	(a) Two works costing not less than Rs. 7.50 crore each or One work costing not less than Rs. 12 crore and (b) Average annual turn over for two consecutive years shall not be less than Rs. 12 crore	(a) Solvent upto Rs. 4.00 crore or Financially sound for engagement upto Rs. 15.00 crore (b) Working capital not less than Rs. 50.00 lakh	Rs. 80.00 Lakh
'A'	(a) Two works costing not less than Rs. 4.00 crore each. or One work costing not less than Rs. 6.00 crore. and (b) Average annual turnover for two consecutive years shall not be less than Rs. 6.00 crore	(a) Solvent upto Rs. 2.00 crore or Financially sound for engagement upto Rs 7.50 crore. (b) Working capital not less than Rs 30.00 lakh	Rs. 75.00 Lakh

Annexure No. I (Contd..)

(1)	(2)	(3)	(4)
'B'	(a) Two works costing not less than Rs. 1.50 crore each. or One work costing not less than Rs. 2.50 crore. and (b) Average annual turn over for two consecutive years shall not be less than Rs. 300.00 lakh.	(a) Solvent upto Rs. 100.00 lakh or Financially sound for engagement upto Rs. 3.00 crore. (b) Working capital not less than Rs. 12.00 lakh	Rs. 60.00 Lakh
'C'	(a) Two works costing not less than Rs. 50.00 Lakh each. or One work costing not less than Rs. 80.00 Lakh. and (b) Average annual turn over for two consecutive years shall not be less than Rs. 100.00 lakh.	(a) Solvent upto Rs. 40.00 lakh or Financially sound for engagement upto Rs. 100.00 Lakh (b) Working capital not less than Rs. 10.00 lakh	Rs. 25.00 Lakh
'D'	(a) Two works costing not less than Rs. 25.00 Lakh each. or One work costing not less than Rs. 40.00 Lakh. and (b) Average annual turn over for two consecutive years shall not be less than Rs. 50.00 lakh.	(a) Solvent upto Rs. 20.00 lakh or Financially sound for engagement upto Rs. 50.00 Lakh. (b) Working capital not less than Rs. 7.50 lakh	Rs. 12.50 Lakh

NOTES:-

- Similar works mentioned above shall mean Building works with pile foundation including HT Power Supply in Govt.
- No joint venture, no consortium partners shall be permitted to participate in the tender.
- To arrive at the value of similar work completed to the present value, escalation @ 5 % per annum for each completed year from the actual completion date (as mentioned in the completion certificate) on the actual value of work completed may be added. The value so arrived shall be compared with the above mentioned requirement for the purpose of eligibility criteria.
- Authority competent to enlist shall be called Registering Authority.
- The categories for enlistment shall be decided on the basis of nature of works executed by Contractor. Contractors who have experience of executing of Prefab/Pre-Engineered Structures and fulfil other criteria, shall also be eligible for enlistment in category a(i). At the time of renewal, contractors already enlisted in Cat (a) (i) Building & Roads, shall be enlisted in Cat (a) (i) Building works & (a) (v) Roads. In case of E class contractors, Registering Authority shall issue suitable amendments to enlistment letters in respect of separate categories for buildings works i.e. a(i) and roads i.e. a(v) without any application from contractor.

Annexure No. I (Contd.,)

6. For enlistment/ upgradation/ renewal/ reclassification etc, in category (b) Electrical Engineering Works (ii) to (iii), a declaration/undertaking shall be obtained from the applicant contractor in affidavit form whereby the contractor shall undertake to either obtain valid Electrical Licence of required class in its name or engage an agency having valid Electrical Licence of required class through MoU (Memorandum of Understanding) for execution of all electrical works as well as works under category (c) [including its sub categories (i) to (vii)] and the category (d)(v) to the extent Rule 29 under Part III of Central Electricity Authority (Measures Relating to Safety and Electric Supply) Regulations, 2010 is applicable either in full or part of the scope of work of contract awarded to him and that all such electrical works shall be executed by him through such agency only (either self or other agency) having valid Electrical Licence of required class from State /Union Territory Authorities where works are to be executed.
7. Working capital with the Contractor shall be judged from balance sheet/bank's certificate as per Appendix 1.3 / bank statement for last 6 month/overdraft or cash credit facility from bank/fixed deposit receipts with banks.
8. The requirements of minimum reserves are applicable for enlistment related process of existing contractors i.e. Change in constitution/Renewal/Reclassification (Revision in Monetary Limit) etc, if they have contracts in hand not having Performance Security provisions, till their contracts are completed and their Final Bills do not become MINUS. For this, these contractors will submit affidavit on non-judicial stamp paper of appropriate value (minimum value Rs. 100) declaring that all their contracts without Performance Security provisions are completed and the Final Bills are not MINUS Immovable/movable property (minimum reserve) shall be exclusively in the name of Contractor and not in the name of family members/relatives/others. In case of Limited companies, immovable/movable property (considered for minimum reserve) shall be exclusively in the name of Company and should be reflected in the Balance Sheet of the Company.
9. "Last two consecutive years" shall mean immediate last two consecutive financial years reckoned from the date of application for upgradation. Two consecutive years for the purpose of fresh enlistment shall mean any of the two consecutive financial years in last Five Financial Years preceding the date of application for enlistment. For documents in support of works experience, TDS certificate for IT/Sales Tax/ VAT/GST shall also be asked from applicant firms. All documents related to works experience and turnover (except Form 26 AS and portal generated GST return) shall be got independently verified from the client/issuing authority. Registering Authority may cook clarification from the Chartered Accountant in case of any doubt. Annual turnover to be considered for enlistment purpose shall mean the turnover from all businesses carried out by the applicant firm in relation to construction work related to categories of works for which the enlistment is being sought It may be total turnover as shown in the audited balance sheet or part thereof. Necessary clarification shall be obtained from the Chartered Accountant whose audited balance sheet is submitted by the applicant firm in case considered necessary by the Registering Authority.
10. Upgradation of enlisted contractor shall be done gradually in stages. Before a contractor is considered for upgradation, he should have worked at least for two years in the present tendering limit and should meet the criteria laid down. Normally upgradation will be done only to one next higher class at a time. However, if performance of any particular contractor is of very high order and Registering Authority considers upgradation of such contractor by more than one class justified, the same shall be done only with prior approval of E-in-C's Branch. Following considerations shall be applied to ascertain whether the performance of contractor is of very high order or otherwise:
 - (a) Contractor has completed works, value of which is more than 1.25 times the requirement in which the upgradation is being considered.

Annexure No. I (Contd.,)

- (b) Average Annual Turnover of the contractor is more than 1.25 times the requirement in which the upgradation is being considered.

Example: For a contractor enlisted in class 'C' having tendering limit of 100 lakh, proposed to be upgraded to class 'A' having tendering limit of 7.50 crore, minimum value of works completed and turn over shall be as under :

- (i) Two works completed costing not less than 5.00 crore each (1.25 x 4.00)
Or

One work costing not less than 7.50 crore (1.25 x 6.00)

- (ii) Average annual turnover 7.5 crore (1.25 x 6.00)

- (c) Average marks secured in Performance Reports (Stage-II) for the works completed in last five years shall not be less than 70%. Where report for any work is not available, it will be deemed to contain only 60% average marks.

11. Contractors of 'A' class and above only shall be eligible for enlistment in categories (a) (iii) Runways and Pavements and (a)(iv) Marine and Harbour Works subject to prior approval of E-in-C.

12. The criteria laid down above for enlistment/ upgradation may be relaxed in the case of contractors whose performance has been found to be of a very high order. Such relaxation may, however be given only with the prior approval of E-in-C's Branch.

1.5 **DOCUMENTS REQUIRED TO BE SUBMITTED BY THE CONTRACTOR FOR ENLISTMENT IN MES.**

- (a) Application for enrolment as contractor on IAFW-2190 (Revised 2020). Coloured Photographs affixed on application shall be self-attested.
- (b) Affidavit for constitution of firm.
- (c) List of works executed during last five years (As per Appendix 1.2) including copies of Work Orders/Work allotment letters, Copies of completion certificates and Form 16 A/TDS certificate for GST (GSTR-7A).
- (d) Annual turnover certificate duly supported with audited balance sheet. The turn over certificate must bear the UDIN (Unique Document Identification Number). The turn over certificate and audited balance sheet should be from same Chartered Accountant. In case balance sheets are not audited, Form 26 AS and /or Annual GST return shall be forwarded in support of turnover.
- (e) Audited balance sheet of last five years in case of Limited companies.
- (f) Solvency certificate from scheduled bank as per specimen given in Appendix- 1.3.
- (g) Working Capital certificate from scheduled bank as per specimen given in Appendix- 1.3.
- (h) Affidavit from contractor that there is no Government dues/ recovery outstanding against him. If there is any Government dues/recovery outstanding from the contractor, enlistment/ upgradation shall not be carried out.

Annexure No. I (Contd.,)

- (j) Affidavit from contractor giving brief details of arbitration / litigation cases he was involved in Registering Authority will critically examine such details and if contractor is considered habitual litigant, his enlistment/ upgradation shall not be carried out. Contractor shall be deemed to be a habitual litigant if he moves the court more than once ignoring arbitration clause and court does not uphold his view.
- (k) Two self-attested photographs of Proprietor/Partners/ Directors of firm for verification of character and antecedents from the police authorities. Places where online police verification process is available, the contractor will carry out police verification of the Proprietor/Partners/Directors and submit the verification reports for cross verification by the Department. Alternatively the contractor may submit a copy of valid passport issued by Govt of India
- (l) Copy of partnership deed in case of partnership firm.
- (m) Memorandum and Articles of Association in case of Limited Companies.
- (n) Copy of Registration Certificate of firm with Registrar of Companies/Register of Firms.
- (o) Affidavit that no near relatives) of the contractor or his/their employees/agents is/are working as Gazetted/ Commissioned Officer(s) in DGNP/ MES/ Corps of Engineers/Ministry of Defence. If the near relative(s) is/are working in such capacity in any formation upto Zonal CE, he/ they shall furnish details. The contractor shall not be entitled to tender for the works in entire area of CE Zone.
- (p) Affidavit that no near relative(s) of the contractor or his/their employees/agents is/are working as Junior Engineer(s) in DGNP/ MES/ Corps of Engineers. If his near relative(s) is/are working in such capacity in any formation upto CWE/ GE(I) office, the contractor shall furnish details. The contractor shall not be entitled to tender for the works in entire area of that CWE/GE(I).
- (q) Copy of power of attorney/Resolution of the Board in favour of any Partner/ Director of the firm.
- (r) Copy of immediate last Income Tax Return.
- (s) A separate sheet containing specimen signatures (signed in black ink) and affixed with photographs of Proprietor/Partners/Directors (to be used as Appendix B of enlistment letter).
- (t) Self-attested copy of PAN Card of Proprietor, Partners, Directors and the firm/ Company.
- (u) Self-attested copies of GST registration, EPFO registration and ESIC registration certificates.

Notes:-

- (i) All the documents submitted by the contractor shall be signed by Proprietor/ all Partners/ all Directors unless specifically authorised to a Partner/Director through POA or Resolution of the Board.
- (ii) Photocopies of documents shall be self-attested. Registering Authority shall ask production of original documents to verify the photocopies.

Annexure No. I (Contd.,)

- (iii) All affidavits shall be given on non-judicial stamp paper of appropriate value duly signed by the contractor and attested by the Magistrate/Notary public.
- (iv) Registering Authority shall cross-verify the genuineness of financial documents at Para (d) & (e) above from issuing Chartered Accountant (CA) and documents at Para (f) & (g) from the concerned Bank branch by referring in writing to them alongwith copy of above documents submitted by contractor. Registering Authority is also at liberty to verify the genuineness of all the documents from other reliable/authentic sources.
- (v) All documents related to work experience and turnover (except Form 26AS and portal generated GST return) shall be got independently verified from the client/issuing Authority. For documents in support of works experience, TDS certificate for IT /Sales Tax/ VAT/GST shall also be asked from applicant firms. Registering Authorities may seek clarifications from the Chartered Accountant in case of any doubt.
- (vi) Documents mentioned at Para 1.5 (a) and 1.5 (s) shall not be applicable for the purpose of documents to be uploaded for bidding purpose by unenlisted firms.

APPENDIX 1.2

Sl. No.	CA NO	Name of work	Amount of contract	Formation Viz CE, CWE, GE or officer of other Deptt. (Fax No. /email address/ address with pin code)	Date of commencement	Original date of completion	Actual date of completion	Remarks
1	2	3	4	5	6	7	8	9

Signature of contractor

Annexure No. I (Contd.,)

APPENDIX 1.3**FORM OF SOLVENCY CERTIFICATE FROM A NATIONALISED / SCHEDULED BANK**Bank Address and code

This is certified that to the best of our knowledge and information that M/s /Shri /Smt _____ having address _____, a customer of our bank are/ is respectable and can be considered solvent upto Rs. _____ (Rupees _____) / financially sound for any engagement upto Rs. _____ (Rupees _____). This certificate is issued without any guarantee or responsibility on the bank or any of the officers.

Date:

Place: Signature of Bank Manager
(Name, Designation, personal code
No. of Signatory & Seal of Bank

Note: In Case of partnership firm, certificate to include names of all partners as per record with bank

FORM OF WORKING CAPITAL CERTIFICATE FROM A NATIONALISED / SCHEDULED BANKBank Address and code

This is certified that M/s /Shri /Smt _____ having address _____, has/ have maintaining a saving bank account/ current/ fixed deposit account with this branch of bank since _____ and an amount not less than Rs. _____ (Rupees _____) has been available to the credit in his/ her/ their account Nos. _____ for the last three months.

The Firm is enjoying overdraft/ credit facility upto limit of Rs. _____.

Date:

Place: Signature of Bank Manager
(Name, Designation, personal code
No. of Signatory & Seal of Bank

Note: Please forward above documents issued by your Bankers in original to be received in the office of DGNP (V) within 05 days of opening date of Technical bid (**Cover I**). Above certificates shall not be older than 6months as on closing date of Bid submission. Signatory shall endorse his rubber seal, indicate his name & bank employee number, Bank address particulars like full address, telephone & fax number.

AAD (Contracts)
For Accepting Officer

Annexure No. II

Certificate

"I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India: I certify that my firm not from such a country or, if from such a country, has been registered with the Competent Authority. I hereby certify that my firm fulfills all requirements in this regard and is eligible to be participated."

* Where applicable, evidence of valid registration by the Competent Authority shall be attached.

(Signature of Contractor)

Annexure No. III

Certificate

"I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India: I certify that this bidder is not from such a country or, if from such a country, has been registered with the Competent Authority. I hereby certify that this bidder fulfills all requirements in this regard and is eligible to be considered."

* Where applicable, evidence of valid registration by the Competent Authority shall be attached.

(S Ramanathan)
Brig
DDG & CE
Accepting Officer